

## OTHER INDEPENDENT AGENCIES

### ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

#### *Federal Funds*

#### General and special funds:

#### SALARIES AND EXPENSES

#### Program and Financing (in millions of dollars)

Identification code 95-1700-0-1-751	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 13.0)	1		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1		
23.95 New obligations	-1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1		
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	1	1	
73.10 New obligations	1		
73.20 Total outlays (gross)	-2		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1		
86.93 Outlays from current balances	1		
87.00 Total outlays (gross)	2		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1		
90.00 Outlays			

Pursuant to P.L. 104-52, the Administrative Conference of the United States shut down.

### ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

#### *Federal Funds*

#### General and special funds:

#### SALARIES AND EXPENSES

#### Program and Financing (in millions of dollars)

Identification code 55-0100-0-1-808	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 11.1)	1		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1		
23.95 New obligations	-1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1		
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1		
73.20 Total outlays (gross)	-1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1		

Net budget authority and outlays:	
89.00 Budget authority	1
90.00 Outlays	1

Pursuant to P.L. 104-52, the Advisory Commission on Intergovernmental Relations shut down.

#### Personnel Summary

Identification code 55-0100-0-1-808	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment	9		
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment	1		

### ADVISORY COUNCIL ON HISTORIC PRESERVATION

#### *Federal Funds*

#### General and special funds:

#### SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), **[\$2,500,000] \$2,745,000. Provided, That none of these funds shall be available for the compensation of Executive Level V or higher positions. (Department of the Interior and Related Agencies Appropriations Act, 1997.)**

#### Program and Financing (in millions of dollars)

Identification code 95-2300-0-1-303	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	3	3	3
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	3	3	3
23.95 New obligations	-3	-3	-3
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	3	3	3
<b>Change in unpaid obligations:</b>			
73.10 New obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The Council provides independent advice to the President and the Congress relating to the national historic preservation program.

#### Object Classification (in millions of dollars)

Identification code 95-2300-0-1-303	1996 actual	1997 est.	1998 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	3	3	3

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Personnel Summary**

Identification code 95-2300-0-1-303	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	34	32	32

**AMERICAN BATTLE MONUMENTS COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one for replacement only) and hire of passenger motor vehicles; and insurance of official motor vehicles in foreign countries, when required by law of such countries; **[\$22,265,000] \$23,897,000**, to remain available until expended: *Provided*, That where station allowance has been authorized by the Department of the Army for officers of the Army serving the Army at certain foreign stations, the same allowance shall be authorized for officers of the Armed Forces assigned to the Commission while serving at the same foreign stations, and this appropriation is hereby made available for the payment of such allowance: *Provided further*, That when traveling on business of the Commission, officers of the Armed Forces serving as members or as Secretary of the Commission may be reimbursed for expenses as provided for civilian members of the Commission: *Provided further*, That the Commission shall reimburse other Government agencies, including the Armed Forces, for salary, pay, and allowances of personnel assigned to it. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 74-0100-0-1-705	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Administration and U.S. memorials .....	3	2	2
00.02 European memorials and cemeteries .....	15	16	17
00.03 Mediterranean memorials and cemeteries .....	3	3	4
00.04 Asian memorials and cemeteries .....	1	1	1
10.00 Total obligations .....	22	22	24
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	22	22	24
23.95 New obligations .....	-22	-22	-24
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	22	22	24
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	3	4	3
73.10 New obligations .....	22	22	24
73.20 Total outlays (gross) .....	-21	-22	-24
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	4	3	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	18	19	21
86.93 Outlays from current balances .....	3	3	3
87.00 Total outlays (gross) .....	21	22	24
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	22	22	24
90.00 Outlays .....	21	22	22

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since April 6, 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and for the design, construction, and maintenance of permanent military cemetery memorials in foreign countries.

**Object Classification (in millions of dollars)**

Identification code 74-0100-0-1-705	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	10	10	11
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	11	11	12
12.1 Civilian personnel benefits .....	4	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	2	1	1
26.0 Supplies and materials .....	1	1	1
99.5 Below reporting threshold .....	3	3	4
99.9 Total obligations .....	22	22	24

**Personnel Summary**

Identification code 74-0100-0-1-705	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	364	364	363
1005 Full-time equivalent of overtime and holiday hours .....	4	4	4

**FOREIGN CURRENCY FLUCTUATIONS**

**Program and Financing (in millions of dollars)**

Identification code 74-0101-0-1-705	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....	1		
24.40 Unobligated balance available, end of year: Uninvested balance .....			
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

**Trust Funds**

**CONTRIBUTIONS**

**Unavailable Collections (in millions of dollars)**

Identification code 74-8569-0-7-705	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....		5	9
<b>Receipts:</b>			
02.01 Contributions, American Battle Monuments Commission .....	5	10	50
04.00 Total: Balances and collections .....	5	15	59
<b>Appropriation:</b>			
05.01 Contributions .....		-6	-12
07.99 Total balance, end of year .....	5	9	47

**Program and Financing (in millions of dollars)**

Identification code 74-8569-0-7-705	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.03 Korean War memorial .....	1		
00.04 World War II memorial .....	2	8	12
10.00 Total obligations (object class 32.0) .....	3	8	12

<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40	Uninvested balance .....	1	
21.41	U.S. Securities: Par value .....	5	1
21.99	Total unobligated balance, start of year .....	5	2
22.00	New budget authority (gross) .....	6	12
23.90	Total budgetary resources available for obligation .....	5	8
23.95	New obligations .....	-3	-8
Unobligated balance available, end of year:			
24.40	Uninvested balance .....	1	
24.41	U.S. Securities: Par value .....	1	
24.99	Total unobligated balance, end of year .....	2	

<b>New budget authority (gross), detail:</b>			
60.27	Appropriation (trust fund, indefinite) .....	6	12

<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year: Obligated balance:			
72.40	Appropriation .....	1	
73.10	New obligations .....	3	8
73.20	Total outlays (gross) .....	-2	-8
Unpaid obligations, end of year: Obligated balance:			
74.40	Appropriation .....	1	

<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority .....	6	12
86.98	Outlays from permanent balances .....	2	2
87.00	Total outlays (gross) .....	2	8

<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	6	12
90.00	Outlays .....	2	8

**Purchase of flowers.**—Private citizens contribute funds for the purchase of flowers to decorate graves and tablets of the missing at the cemeteries and memorials administered by the Commission.

**Repair of non-Federal war memorials.**—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

**World War II Memorial.**—Public Law 103-32 authorized the American Battle Monuments Commission to collect private contributions to fund construction of a memorial in the District of Columbia to honor members of the Armed Forces of the United States who served in World War II. The Commission projects that contributions to the World War II Memorial Fund will reach \$50 million in 1998.

**APPALACHIAN REGIONAL COMMISSION**

**Federal Funds**

**General and special funds:**

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding section 405 of said Act, and for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian Regional Commission and for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109 and hire of passenger motor vehicles, **[\$160,000,000] \$165,000,000**, to remain available until expended. (*Energy and Water Development Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 46-0200-0-1-452		1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>				
Direct program:				
Appalachian regional development programs:				
01.01	Appalachian development highway system .....	141	140	90
01.02	Area development program .....	67	105	66

01.03	Local development district and technical assistance program .....	6	5	5
01.91	Total Appalachian regional development programs .....	214	250	161
Salaries and expenses:				
02.01	Federal Co-chairman and staff .....	1	1	1
02.02	Administrative expenses .....	2	2	3
02.91	Total salaries and expenses .....	3	3	4
10.00	Total obligations .....	217	253	165

<b>Budgetary resources available for obligation:</b>				
Unobligated balance available, start of year:				
21.40	Uninvested balance .....	130	88	
22.00	New budget authority (gross) .....	170	160	165
22.10	Resources available from recoveries of prior year obligations .....	5	5	
23.90	Total budgetary resources available for obligation .....	305	253	165
23.95	New obligations .....	-217	-253	-165
Unobligated balance available, end of year:				
24.40	Uninvested balance .....	88		

<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	170	160	165

<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year: Obligated balance:				
72.40	Appropriation .....	423	399	450
73.10	New obligations .....	217	253	165
73.20	Total outlays (gross) .....	-236	-197	-188
73.45	Adjustments in unexpired accounts .....	-5	-5	
Unpaid obligations, end of year: Obligated balance:				
74.40	Appropriation .....	399	450	427

<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	14	15	16
86.93	Outlays from current balances .....	222	182	172
87.00	Total outlays (gross) .....	236	197	188

<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	170	160	165
90.00	Outlays .....	237	197	188

This appropriation establishes a framework for joint Federal and State efforts to create opportunities for self-sustaining economic development and improved quality of life for the people of Appalachia. Program investments are made in the Appalachian Region for wide-ranging assistance including development highways and area development. The States, acting through the Appalachian Regional Commission (ARC), are responsible for recommending local and State projects within their borders for assistance under this program. Special targeting to distressed counties is a part of the State allocation formula.

1. **Appalachian development highway system.**—The Appalachian development highway system (ADHS), including local access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. Studies have found that the ADHS has been important to economic development in the Region. The budget for 1998 provides \$90 million for highway construction.

In addition to the ARC funding, the 1998 Budget provides \$200 million in 1998 from the Highway trust fund for the ADHS. The Administration's proposal for the reauthorization of ISTEA will include funding for the construction of the ADHS for 1998 and beyond. These funds will be under the programmatic and administrative jurisdiction of the ARC.

The cumulative status of the system of roads, including mileage prefinaanced by the States, follows:

Development systems miles (Prefinanced miles included) (cumulative):	1996 actual	1997 est.	1998 est.
Miles contracted .....	2,323	2,354	2,376
Miles completed .....	2,204	2,239	2,270

**General and special funds—Continued**

APPALACHIAN REGIONAL COMMISSION—Continued

	1996 actual	1997 est.	1998 est.
Access Roads (cumulative):			
Miles contracted .....	914	920	925
Miles completed .....	892	900	904
Funds committed (cumulative-in millions of dollars):			
Development highway .....	4,131	4,227	4,313
Access roads .....	220	222	224
Administration and other .....	40	42	44
Totals .....	4,391	4,491	4,581
Prefinanced by States .....	212	210	210
Annual obligations (\$ millions) .....	141	140	90

2. *Area development program.*—Area development funds are provided to each of the Appalachian States by allocation. This funding is used to help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed and underdeveloped counties. In 1996, the Commission allocated 30% of area development funding specifically to these 115 severely distressed counties in addition to the overall State allocations. The Commission is also allocating 30% of area development funding to distressed counties in 1997. Investments made by ARC in Appalachia, coupled with the Administration's national economic policies have reduced the number of distressed Appalachian counties over the last three years from 115 to 94, a nearly 20% reduction.

The area development program funds projects which advance the goals and objectives of ARC's strategic plan. This strategic plan commits ARC to achieving five broad goals which are undergirded by 21 objectives. These five goals are: (1) Appalachian residents will have the skills and knowledge necessary to compete in the world economy in the 21st century; (2) Appalachian communities will have the physical infrastructure necessary for self-sustaining economic development and improved quality of life; (3) the people of Appalachia will have the vision and capacity to mobilize and work together for sustained economic progress and improvement of their communities; (4) Appalachian residents will have access to financial and technical resources to help build dynamic and self-sustaining local economies; and, (5) Appalachian residents will have access to affordable, quality health care. The Commission has taken aggressive steps to ensure that the area development program will make progress on accomplishing these goals, to better target resources to those communities with the greatest needs, and to increase flexibility in project submission.

Each Governor will submit for Commission approval an annual strategy statement detailing the areas of emphasis within the Region for ARC funds. Projects submitted by the Governors will include a description of goals and objectives, and projected inputs, outputs, efficiency and outcomes. After project completion, projected outputs and outcomes will be compared to actual results.

The Commission's regional initiatives are a key component to accomplishing the strategic plan. These regional initiatives are: (1) internationalization of the economy; (2) telecommunications; (3) leadership and civic development; and, (4) creating entrepreneurial economies. The first three initiatives, launched prior to the adoption of the strategic plan, will conclude their three-year cycle at the end of FY 1997 and their relationship to the five goals will be reevaluated. The fourth initiative, "creating entrepreneurial economies," is the centerpiece policy of the Federal Co-Chairman and will likely receive an allocation from the overall area development funding of up to \$5 million in FY 1998.

The budget for 1998 provides \$66 million for area development.

The approximate project workload follows:

	1996 actual	1997 est.	1998 est.
Area development projects .....	435	450	475

3. *Local development districts and technical assistance programs.*—The multi-county local development districts (LDDs) are the mechanism for ensuring that the local governments in Appalachia plan and work together on a regional basis. They provide competent support staff to member governments to plan, initiate, and implement projects at the grassroots level. Technical assistance serves to strengthen the LDDs, their staff and operations, and their member units of government. The 1998 Budget provides \$4 million for the LDDs and \$1 million for technical assistance, with the approximate approved workload as follows:

	1996 actual	1997 est.	1998 est.
Planning districts aided .....	69	69	69
Technical assistance projects .....	8	8	8

4. *Salaries and expenses.*—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of the Appalachian program with Federal agencies. Since 1989, the Office of the Federal Co-Chairman includes an Inspector General.

The Federal Government contributes 50 percent of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The staff members are not Federal employees but are employees of the jointly-supported Commission. The budget for 1998 provides \$4 million for salaries and expenses.

Object Classification (in millions of dollars)

Identification code 46-0200-0-1-452	1996 actual	1997 est.	1998 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	2	2	3
41.0 Grants, subsidies, and contributions .....	29	35	13
99.0 Subtotal, direct obligations .....	32	38	17
Allocation Account:			
11.1 Personnel compensation: Full-time permanent .....	2	2	2
41.0 Grants, subsidies, and contributions .....	183	213	146
99.0 Subtotal, allocation account .....	185	215	148
99.9 Total obligations .....	217	253	165
Obligations are distributed as follows:			
Appalachian Regional Commission .....	32	42	32
Department of Agriculture .....	20	26	17
Department of Commerce .....	6	10	8
Department of Defense .....	0	0	0
Department of Education .....	3	10	5
Department of Energy .....	0	0	0
Department of Health and Human Services .....	0	0	0
Department of Housing and Urban Development .....	10	13	9
Department of Interior .....	0	0	0
Department of Transportation .....	141	140	90
Environmental Protection Agency .....	1	2	1
Tennessee Valley Authority .....	4	10	3

Personnel Summary

Identification code 46-0200-0-1-452	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	10	11	11

**Trust Funds**

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 46-9971-0-7-452	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....			

Receipts:				
02.01	General fund contributions, Appalachian Regional Commission .....	2	2	2
02.02	Fees for services, Appalachian Regional Commission .....	2	2	2
02.99	Total receipts .....	4	4	4
Appropriation:				
05.01	Miscellaneous trust funds .....	-5	-5	-5
07.99	Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 46-9971-0-7-452				
1996 actual    1997 est.    1998 est.				
<b>Obligations by program activity:</b>				
10.00	Total obligations .....	5	5	5
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested balance .....	1	1	1
22.00	New budget authority (gross) .....	5	5	5
23.90	Total budgetary resources available for obligation .....	6	6	6
23.95	New obligations .....	-5	-5	-5
24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	1	1	1
<b>New budget authority (gross), detail:</b>				
60.27	Appropriation (trust fund, indefinite) .....	5	5	5
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	1	1	1
73.10	New obligations .....	5	5	5
73.20	Total outlays (gross) .....	-5	-5	-5
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	4	4	4
86.98	Outlays from permanent balances .....	1	1	1
87.00	Total outlays (gross) .....	5	5	5
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	5	5	5
90.00	Outlays .....	5	5	5

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

Object Classification (in millions of dollars)

Identification code 46-9971-0-7-452				
1996 actual    1997 est.    1998 est.				
11.8	Personnel compensation: Special personal services payments .....	3	3	3
12.1	Civilian personnel benefits .....	1	1	1
23.2	Rental payments to others .....	1	1	1
99.9	Total obligations .....	5	5	5

**ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, [S3,540,000] \$3,640,000. Provided, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. (Department of Transportation and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-3200-0-1-751				
1996 actual    1997 est.    1998 est.				
<b>Obligations by program activity:</b>				
10.00	Total obligations .....	3	4	4
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	3	4	4
23.95	New obligations .....	-3	-4	-4
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	3	4	4
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....		1	
73.10	New obligations .....	3	4	4
73.20	Total outlays (gross) .....	-3	-5	-4
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	1		
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	3	4	4
86.93	Outlays from current balances .....		1	
87.00	Total outlays (gross) .....	3	5	4
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	3	4	4
90.00	Outlays .....	3	5	4

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Since that time, the Access Board has been the only independent Federal agency whose primary mission is accessibility for people with disabilities. The Access Board has responsibility under three major pieces of legislation: The Architectural Barriers Act of 1968 (ABA); The Americans with Disabilities Act of 1990 (ADA); and The Telecommunications Act of 1996.

The Access Board's first major responsibility was to enforce the ABA, ensuring accessibility in facilities built, altered, or leased using certain Federal funds. In fiscal year 1998, the Board will continue to process, investigate, and resolve complaints of noncompliance. The Access Board has a proven record of voluntary, amicable resolution of access issues. Under the Americans with Disabilities Act (ADA), the Access Board gained responsibility for two major public roles: to develop minimum accessibility guidelines for places of public accommodation, commercial facilities, State and local government facilities, and transportation vehicles and facilities, all of which are covered under the ADA; and to offer training and technical assistance to individuals and organizations throughout the country on removing architectural, transportation and communication barriers.

In pursuing these responsibilities under the ADA, the Board uses citizens' advisory committees, negotiated rule-making, and other communication channels to encourage the public's full participation in the Federal rulemaking process for developing its ADA Accessibility Guidelines (ADAAG). In addition, the Board is working with the building industry toward the development of a single set of minimum accessibility guidelines, using ADAAG as the basis.

Under the Telecommunications Act, the Access Board is charged with developing accessibility guidelines for telecommunications equipment and customer premises equipment, in conjunction with the Federal Communications Commission. The Telecommunications Act requires that such equipment be "designed, developed, and fabricated to be accessible to and usable by individuals with disabilities, if readily achievable."

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Object Classification (in millions of dollars)**

Identification code 95-3200-0-1-751	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	2
25.1 Advisory and assistance services .....		1	
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	3	4	4

**Personnel Summary**

Identification code 95-3200-0-1-751	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	29	33	34

**ARMS CONTROL AND DISARMAMENT AGENCY**

**Federal Funds**

**General and special funds:**

**ARMS CONTROL AND DISARMAMENT ACTIVITIES**

For necessary expenses not otherwise provided, for arms control, nonproliferation, and disarmament activities, **[\$41,500,000]** \$46,200,000, of which not to exceed \$50,000 shall be for official reception and representation expenses as authorized by the Act of September 26, 1961, as amended (22 U.S.C. 2551 et seq.). (*Department of State and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 94-0100-0-1-153	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Program operation .....	42	41	45
00.02 External research .....	1	1	1
10.00 Total obligations .....	43	42	46

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested balance .....		1	
22.00 New budget authority (gross) .....	39	42	46
22.10 Resources available from recoveries of prior year obligations .....	4		
23.90 Total budgetary resources available for obligation .....	43	43	46
23.95 New obligations .....	-43	-42	-46
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1		

**New budget authority (gross), detail:**

40.00 Appropriation .....	39	42	46
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	22	21	21
73.10 New obligations .....	43	42	46
73.20 Total outlays (gross) .....	-39	-42	-45
73.45 Adjustments in unexpired accounts .....	-4		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	21	21	22

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	30	32	35
86.93 Outlays from current balances .....	9	10	10
87.00 Total outlays (gross) .....	39	42	45

**Net budget authority and outlays:**

89.00 Budget authority .....	39	42	46
90.00 Outlays .....	39	42	45

The Arms Control and Disarmament Agency (ACDA) advises the President and the Secretary of State on arms control, nonproliferation, and disarmament activities and participates in negotiations with other countries seeking inter-

national agreements to control, reduce, or eliminate arms. Among the activities to which ACDA resources will be devoted are: the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations; research on arms control; verification and compliance; arms transfer reviews; and the preparation of reports on arms control matters.

In addition, the 1998 budget includes funds for the U.S. share of costs of the Nuclear Non-Proliferation Treaty review conference and the implementation of the Comprehensive Test Ban Treaty.

**Object Classification (in millions of dollars)**

Identification code 94-0100-0-1-153	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	13	14	14
11.3 Other than full-time permanent .....	1	1	1
11.8 Special personal services payments .....	6	6	6
11.9 Total personnel compensation .....	20	21	21
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services .....	13	12	13
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....			3
99.0 Subtotal, direct obligations .....	43	42	46
99.9 Total obligations .....	43	42	46

**Personnel Summary**

Identification code 94-0100-0-1-153	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	221	245	245
1005 Full-time equivalent of overtime and holiday hours .....	4	4	4

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION**

**Trust Funds**

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8281-0-7-502	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Interest on investments, Barry Goldwater Scholarship and Excellence in Education Foundation .....	4	5	5
<b>Appropriation:</b>			
05.01 Barry Goldwater Scholarship and Excellence in Education Foundation .....	-4	-5	-5
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-8281-0-7-502	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Scholarships .....	2	2	2
00.02 Administration .....	1	1	1
10.00 Total obligations .....	3	3	3

**Budgetary resources available for obligation:**

21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	57	58	60
22.00 New budget authority (gross) .....	4	5	5
23.90 Total budgetary resources available for obligation .....	61	63	65
23.95 New obligations .....	-3	-3	-3
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	58	60	62

New budget authority (gross), detail:				
60.27	Appropriation (trust fund, indefinite)	4	5	5
Change in unpaid obligations:				
73.10	New obligations	3	3	3
73.20	Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:				
86.97	Outlays from new permanent authority	3	3	3
Net budget authority and outlays:				
89.00	Budget authority	4	5	5
90.00	Outlays	3	3	3

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering.

**Scholarship awards.**—This activity is comprised of scholarships awarded to cover eligible educational expenses. The Foundation awarded 264 scholarships in FY 1996 and plans to award approximately 250 scholarships in FYs 1997 and 1998.

**Program administration.**—This activity covers the costs of operating the program.

Object Classification (in millions of dollars)				
Identification code		1996 actual	1997 est.	1998 est.
41.0	Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5	Below reporting threshold	1	1	1
99.9	Total obligations	3	3	3

Personnel Summary				
Identification code		1996 actual	1997 est.	1998 est.
1001	Total compensable workyears: Full-time equivalent employment	2	2	2

## CENTRAL INTELLIGENCE AGENCY

### Federal Funds

#### General and special funds:

##### CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System; **[\$196,400,000] \$196,900,000. Further, for the foregoing purposes, \$209,900,000 to be available only during fiscal year 1999. (Department of Defense Appropriations Act, 1997.)**

Program and Financing (in millions of dollars)					
Identification code		1996 actual	1997 est.	1998 est.	1999 est.
Obligations by program activity:					
10.00	Total obligations	214	196	197	210
Budgetary resources available for obligation:					
22.00	New budget authority (gross)	214	196	197	210
23.95	New obligations	-214	-196	-197	-210
New budget authority (gross), detail:					
Current:					
40.00	Appropriation	214	196	197	
Permanent:					
65.00	Advance appropriation (definite)				210

70.00	Total new budget authority (gross)	214	196	197	210
Change in unpaid obligations:					
73.10	New obligations	214	196	197	210
73.20	Total outlays (gross)	-214	-196	-197	-210
Outlays (gross), detail:					
86.90	Outlays from new current authority	214	196	197	
86.97	Outlays from new permanent authority				210
87.00	Total outlays (gross)	214	196	197	210
Net budget authority and outlays:					
89.00	Budget authority	214	196	197	210
90.00	Outlays	214	196	197	210

This appropriation provides for payment to the Fund: (a) for interest on the unfunded liability; (b) for the cost of annuity disbursements attributable to military service; (c) for the amount of normal costs not met by employee and employer contributions; and (d) for financing, in 30 equal installments, the unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The request for 1998 includes the twenty-first installment for the unfunded liability created by the liberalized benefits authorized by Public Law 94-522, and the appropriate annual installments for salary increases authorized in prior years.

Object Classification (in millions of dollars)					
Identification code		1996 actual	1997 est.	1998 est.	1999 est.
12.1	Civilian personnel benefits	89	85	81	86
13.0	Benefits for former personnel	125	111	116	124
99.9	Total obligations	214	196	197	210

## CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

### Trust Funds

#### CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

##### Unavailable Collections (in millions of dollars)

Identification code		1996 actual	1997 est.	1998 est.
Balance, start of year:				
01.99	Balance, start of year			
Receipts:				
02.01	Earnings on investments		1	
Appropriation:				
05.01	Christopher Columbus Fellowship Foundation		-1	
07.99	Total balance, end of year			

##### Program and Financing (in millions of dollars)

Identification code		1996 actual	1997 est.	1998 est.
Obligations by program activity:				
10.00	Total obligations (object class 99.5)		1	
Budgetary resources available for obligation:				
21.41	Unobligated balance available, start of year: U.S. Securities: Par value		8	8
22.00	New budget authority (gross)		1	
23.90	Total budgetary resources available for obligation		9	8
23.95	New obligations		-1	
24.41	Unobligated balance available, end of year: U.S. Securities: Par value		8	8
New budget authority (gross), detail:				
60.27	Appropriation (trust fund, indefinite)		1	
Change in unpaid obligations:				
73.10	New obligations		1	
Net budget authority and outlays:				
89.00	Budget authority		1	

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 76-8187-0-7-502	1996 actual	1997 est.	1998 est.
90.00 Outlays .....			

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund. Interest from the trust fund will be used to operate the Foundation's program.

The Foundation plans to award three grants totaling \$500,000 in FY 1998. Grants are awarded to support a three-tiered program encompassing Past, Present and Future Frontiers of Discovery. Past tier grants will be awarded to an individual whose creative thinking has led to a process, product or discovery that has made a significant impact on our society. Present tier grants have included a grant for a teacher and student interns at a marine biotechnology and education center, and a one-time fellowship for a professor researching and developing a promising new medical technology. Future tier grants included grants for an innovative secondary school teaching project relating to creative thinking, and a community innovation competition program utilizing youth to develop creative solutions to community problems.

Personnel Summary

Identification code 76-8187-0-7-502	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1	1	1

COMMISSION OF FINE ARTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses made necessary by the Act establishing a Commission of Fine Arts (40 U.S.C. 104), \$867,000. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-2600-0-1-451	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	1
23.95 New obligations .....	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	1	1
73.20 Total outlays (gross) .....	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

The Commission advises the President, Congress, and Department heads on matters of architecture, sculpture, paint-

ing, and other fine arts. The primary function is to preserve and enhance the appearance of the National Capital.

Personnel Summary

Identification code 95-2600-0-1-451	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7	7	7

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956(a)), as amended, \$6,000,000. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-2602-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	6	6	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	6	6	6
23.95 New obligations .....	-6	-6	-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	6	6	6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	6	6	6
73.20 Total outlays (gross) .....	-6	-6	-6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	6	6	6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6	6	6
90.00 Outlays .....	6	6	6

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

COMMISSION ON CIVIL RIGHTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, [\$8,740,000] \$11,000,000: Provided, That not to exceed \$50,000 may be used to employ consultants: Provided further, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the Chairperson who is permitted 125 billable days. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-1900-0-1-751	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	9	9	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9	9	11
23.95 New obligations .....	-9	-9	-11
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	9	9	11

Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation .....	1	1
73.10	New obligations .....	9	9
73.20	Total outlays (gross) .....	-9	-9
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation .....	1	1
<hr/>			
Outlays (gross), detail:			
86.90	Outlays from new current authority .....	8	8
86.93	Outlays from current balances .....	1	1
87.00	Total outlays (gross) .....	9	9
<hr/>			
Net budget authority and outlays:			
89.00	Budget authority .....	9	9
90.00	Outlays .....	9	9

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises and reports on Federal agencies enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information to Government officials, organizations, and the public. This is accomplished through the issuance of Commission publications. In accordance with the 1994 legislation reauthorizing the Commission, the Commission issues public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

Object Classification (in millions of dollars)			
Identification code 95-1900-0-1-751	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1	Full-time permanent .....	5	5
11.3	Other than full-time permanent .....		1
11.9	Total personnel compensation .....	5	6
12.1	Civilian personnel benefits .....	1	1
23.1	Rental payments to GSA .....	1	1
25.2	Other services .....	1	1
99.5	Below reporting threshold .....	1	
99.9	Total obligations .....	9	9

**Personnel Summary**

Identification code 95-1900-0-1-751	1996 actual	1997 est.	1998 est.
1001	Total compensable workyears: Full-time equivalent employment .....	93	95
			110

**COMMISSION ON NATIONAL AND COMMUNITY SERVICE**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)			
Identification code 95-2150-0-1-808	1996 actual	1997 est.	1998 est.
Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation .....	18	
73.20	Total outlays (gross) .....	-6	
73.40	Adjustments in expired accounts .....	-12	
<hr/>			
Outlays (gross), detail:			
86.93	Outlays from current balances .....	6	
<hr/>			
Net budget authority and outlays:			
89.00	Budget authority .....		
90.00	Outlays .....	6	

The Commission has been merged into the Corporation for National and Community Service according to the provisions of The National and Community Service Trust Act of 1993 (P.L. 103-82). Funds to carry out the programs previously administered by the Commission under the National and Community Service Act of 1990, as amended, are reflected in the request of the Corporation for National and Community Service.

**COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by the Act of June 23, 1971, Public Law 92-28; **[\$1,800,000]** \$1,940,000. (*Independent Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)			
Identification code 95-2000-0-1-505	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00	Total obligations .....	2	2
<hr/>			
Budgetary resources available for obligation:			
22.00	New budget authority (gross) .....	2	2
23.95	New obligations .....	-2	-2
<hr/>			
New budget authority (gross), detail:			
40.00	Appropriation .....	2	2
<hr/>			
Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation .....	1	
73.10	New obligations .....	2	2
73.20	Total outlays (gross) .....	-2	-3
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation .....	1	
<hr/>			
Outlays (gross), detail:			
86.90	Outlays from new current authority .....	2	2
86.93	Outlays from current balances .....		1
87.00	Total outlays (gross) .....	2	3
<hr/>			
Net budget authority and outlays:			
89.00	Budget authority .....	2	2
90.00	Outlays .....	2	3

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

The Committee for Purchase From People Who Are Blind or Severely Disabled was established by the Wagner-O'Day Act of 1938, as amended. Its primary objective is to increase the employment opportunities for people who are blind or have other severe disabilities and, whenever possible, to prepare them to engage in competitive employment. In 1998, approximately 32,000 people who are blind or have other severe disabilities are projected to be employed in over 660 producing nonprofit agencies. The Committee's duties include promoting the program; determining which products and services are suitable for Government procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such products and services; determining the fair market price for products and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 1998 the Committee expects to have nearly 5,000 items on its Procurement List and sales of \$760 million.

The Committee staff's responsibilities include promoting and assessing the overall program; supervising the selection and assignment of new products and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance.

Object Classification (in millions of dollars)			
Identification code 95-2000-0-1-505	1996 actual	1997 est.	1998 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	2	2

**Personnel Summary**

Identification code 95-2000-0-1-505	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	17	19	19

**COMMODITY FUTURES TRADING COMMISSION**

**Federal Funds**

**General and special funds:**

**COMMODITY FUTURES TRADING COMMISSION**

For necessary expenses to carry out the provisions of the Commodity Exchange Act, as amended (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed \$25,000 for employment under 5 U.S.C. 3109; **[\$55,101,000]**, \$60,101,000 including not to exceed \$1,000 for official reception and representation expenses: *Provided*, That the Commission is authorized to charge reasonable fees to attendees of Commission sponsored educational events and symposia to cover the Commission's costs of providing those events and symposia, and notwithstanding 31 U.S.C. 3302, said fees shall be credited to this account, to be available without further appropriation. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 95-1400-0-1-376	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Market surveillance, analysis, and research .....	13	11	12
00.02 Enforcement .....	20	23	26

00.03 Contract markets and registered futures associations, regulatory development and registration and audit and review .....	18	18	19
00.04 Proceedings .....	3	3	3
10.00 Total obligations .....	54	55	60
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	54	55	60
23.95 New obligations .....	-54	-55	-60
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	54	55	60
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	5	9	9
73.10 New obligations .....	54	55	60
73.20 Total outlays (gross) .....	-50	-55	-60
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	9	9	10
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	46	49	54
86.93 Outlays from current balances .....	4	6	6
87.00 Total outlays (gross) .....	50	55	60
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	54	55	60
90.00 Outlays .....	50	55	60

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

The Administration proposes additional resources above the fiscal year 1997 level for the Commission. These increases would enhance the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, such increases would provide the Commission with the enforcement and surveillance resources necessary to respond to the continued growth and use of complex trading and derivative instruments.

**Market surveillance, analysis and research.**—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

	1996 actual	1997 est.	1998 est.
Trader and broker reports analyzed (thousands) .....	977	1,100	3,000
Weekly surveillance sheets analyzed .....	2,977	3,100	3,300
Economic review of futures contract rule changes completed ..	98	99	100
Economic review of new futures contracts completed .....	42	42	42
Economic review of option rule changes completed .....	8	9	10
New options contract reviews completed .....	50	50	50

**Enforcement.**—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding cus-

tomers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

	1996 actual	1997 est.	1998 est.
Investigations:			
Opened .....	113	95	100
Closed .....	66	100	110
Cases:			
Opened .....	38	54	55
Closed .....	30	50	55

**Contract markets and registered futures associations, regulatory development and registration and audit and review program.**—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates with other domestic and international regulators relative to cross border financial services affecting futures and options products.

	1996 actual	1997 est.	1998 est.
Oversight audits of futures commission merchants .....	28	20	30
Oversight audits of commodity pool operators .....	13	20	30
Contract market rule reviews .....	555	550	700
Contract market rule enforcement reviews completed .....	4	5	5
Trade practice investigations completed .....	128	132	138
Self-Regulatory Organization: Financial rule enforcement reviews .....	3	2	4

**Proceedings.**—The proceedings program provides a forum for resolution of customer complaints against persons or firms registered under the Commodity Exchange Act.

	1996 actual	1997 est.	1998 est.
Reparations:			
Received and docketed .....	172	200	210
Dismissed .....	27	40	45
Referred for hearing .....	136	154	160
Pending .....	39	45	50

**Object Classification (in millions of dollars)**

Identification code 95-1400-0-1-376	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent .....	29	32	34
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	32	35	37
12.1 Civilian personnel benefits .....	6	7	8
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	6	6	8
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	4	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
99.9 Total obligations .....	54	55	60

**Personnel Summary**

Identification code 95-1400-0-1-376	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	541	580	600
1005 Full-time equivalent of overtime and holiday hours .....	2	2	2

**CONSUMER PRODUCT SAFETY COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the [rate for GS-18] maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$500 for official reception and representation expenses, [\$42,500,000] \$45,000,000. (Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 61-0100-0-1-554	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Hazard identification and analysis .....	6	7	7
00.02 Hazard assessment and reduction .....	7	8	8
00.03 Compliance and enforcement .....	14	15	17
00.04 Consumer information .....	5	5	5
00.05 Agency management .....	8	8	8
00.91 Total direct program .....	40	43	45
01.01 Reimbursable program .....	1	1	1
10.00 Total obligations .....	41	44	46
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	41	44	46
23.95 New obligations .....	-41	-44	-46
New budget authority (gross), detail:			
Current:			
40.00 Appropriation .....	40	43	45
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
70.00 Total new budget authority (gross) .....	41	44	46
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	7	5	5
73.10 New obligations .....	41	44	46
73.20 Total outlays (gross) .....	-43	-44	-46
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	5	5	6
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	36	38	40
86.93 Outlays from current balances .....	6	5	5
86.97 Outlays from new permanent authority .....	1	1	1
87.00 Total outlays (gross) .....	43	44	46
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority .....	40	43	45
90.00 Outlays .....	42	43	45

**Product safety and enforcement.**—The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Object Classification (in millions of dollars)

Identification code 61-0100-0-1-554	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	26	27	28
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	27	28	29
12.1 Civilian personnel benefits .....	5	5	5
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.2 Other services .....	2	3	4
25.3 Purchases of goods and services from Government accounts .....	1	1	1
99.0 Subtotal, direct obligations .....	39	42	44
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	41	44	46

**Personnel Summary**

Identification code 61-0100-0-1-554	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	469	480	480
1005 Full-time equivalent of overtime and holiday hours .....	1	1	1

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**

**Federal Funds**

**General and special funds:**

**NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the Corporation for National and Community Service in carrying out the National and Community Service Act of 1990 (Public Law 103-82), as amended, \$546,500,000, to remain available until September 30, 1999, of which \$162,000,000 is available only for America Reads; and not to exceed \$100,000,000, to remain available until expended, shall be transferred to the National Service Trust Fund for educational awards authorized under subtitle D of the title I of the Act, of which not to exceed \$10,000,000 shall be available for national service scholarships for high school students performing community service: *Provided*, That not to exceed \$2,500 is for official reception and representation expenses.

[For necessary expenses for the Corporation for National and Community Service (referred to in the matter under this heading as the "Corporation") in carrying out programs, activities, and initiatives under the National and Community Service Act of 1990 (referred to in the matter under this heading as the "Act") (42 U.S.C. 12501 et seq.), \$400,500,000, of which \$265,000,000 shall be available for obligation from September 1, 1997, through September 30, 1998: *Provided*, That not more than \$25,000,000 shall be available for administrative expenses authorized under section 501(a)(4) of the Act (42 U.S.C. 12671(a)(4)): *Provided further*, That not more than \$2,500 shall be for official reception and representation expenses: *Provided further*, That not more than \$59,000,000, to remain available without fiscal year limitation, shall be transferred to the National Service Trust account for educational awards authorized under subtitle D of title I of the Act (42 U.S.C. 12601 et seq.): *Provided further*, That not more than \$215,000,000 of the amount provided under this heading shall be available for grants under the National Service Trust program authorized under subtitle C of title I of the Act (42 U.S.C. 12571 et seq.) (relating to activities including the Americorps program), of which not more than \$40,000,000 may be used to administer, reimburse or support any national service program authorized under section 121(d)(2) of such Act (42 U.S.C. 12581(d)(2)): *Provided*

*further*, That not more than \$5,500,000 of the funds made available under this heading shall be made available for the Points of Light Foundation for activities authorized under title III of the Act (42 U.S.C. 12661 et seq.): *Provided further*, That no funds shall be available for national service programs run by Federal agencies authorized under section 121(b) of such Act (42 U.S.C. 12571(b)): *Provided further*, That to the maximum extent feasible, funds appropriated in the preceding proviso shall be provided in a manner that is consistent with the recommendations of peer review panels in order to ensure that priority is given to programs that demonstrate quality, innovation, replicability, and sustainability: *Provided further*, That not more than \$18,000,000 of the funds made available under this heading shall be available for the Civilian Community Corps authorized under subtitle E of title I of the Act (42 U.S.C. 12611 et seq.): *Provided further*, That not more than \$43,000,000 shall be available for school-based and community-based service-learning programs authorized under subtitle B of title I of the Act (42 U.S.C. 12521 et seq.): *Provided further*, That not more than \$30,000,000 shall be available for quality and innovation activities authorized under subtitle H of title I of the Act (42 U.S.C. 12853 et seq.): *Provided further*, That not more than \$5,000,000 shall be available for audits and other evaluations authorized under section 179 of the Act (42 U.S.C. 12639): *Provided further*, That no funds from any other appropriation, or from funds otherwise made available to the Corporation, shall be used to pay for personnel compensation and benefits, travel, or any other administrative expense for the Board of Directors, the Office of the Chief Executive Officer, the Office of the Managing Director, the Office of the Chief Financial Officer, the Office of National and Community Service Programs, the Civilian Community Corps, or any field office or staff of the Corporation working on the National and Community Service or Civilian Community Corps programs: *Provided further*, That to the maximum extent practicable, the Corporation shall increase significantly the level of matching funds and in-kind contributions provided by the private sector, shall expand significantly the number of educational awards provided under subtitle D of title I, and shall reduce the total Federal costs per participant in all programs.] (Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 95-2720-0-1-506	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 National Service Trust .....	23	95	100
00.02 AmeriCorps grants .....	279	335	296
00.03 Innovation assistance and other activities .....	31	54	30
00.04 Evaluation .....	5	7	7
00.05 National Civilian Community Corps .....	21	18	26
00.06 Learn and Serve America .....	61	66	53
00.07 NCSA program administration .....	26	25	29
00.08 Points of Light Foundation .....	5	5	6
10.00 Total obligations .....	451	605	547
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	279	227	22
22.00 New budget authority (gross) .....	400	400	547
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	678	627	569
23.95 New obligations .....	-451	-605	-547
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	227	22	22
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	400	400	547
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	138	290	540
73.10 New obligations .....	451	605	547
73.20 Total outlays (gross) .....	-299	-355	-428
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	290	540	658
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	37	114	195
86.93 Outlays from current balances .....	262	241	233

87.00	Total outlays (gross) .....	299	355	428
Net budget authority and outlays:				
89.00	Budget authority .....	400	400	547
90.00	Outlays .....	299	355	428

The Corporation for National and Community Service engages Americans of all ages and backgrounds in community-based service which addresses the nation's educational, human, public safety, and environmental needs to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

**National Service Trust.** The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

**AmeriCorps grants.** With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address problems they identify by using the skills of individuals serving in National Service positions.

**Innovation, assistance, and other activities.** This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding.

**Evaluation.** This activity will determine the impact and effectiveness of Corporation programs.

**National Civilian Community Corps.** This residential National Service program provides unique service opportunities for members and communities.

**Learn and Serve America.** Through grants to State educational agencies, colleges and consortia of colleges and nonprofit organizations, and other means, curriculum will be improved and opportunities provided to students to participate in service learning activities.

**NCSA program administration.** Up to fifty percent of these funds will be provided to State Commissions to develop National Service plans and manage these activities within their States. The remaining funds will be used by the Corporation to administer these activities.

**Points of Light Foundation.** A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

Object Classification (in millions of dollars)

Identification code 95-2720-0-1-506	1996 actual	1997 est.	1998 est.	
Personnel compensation:				
11.1	Full-time permanent .....	6	6	7
11.3	Other than full-time permanent .....	8	7	10
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	16	15	19
12.1	Civilian personnel benefits .....	3	3	4
21.0	Travel and transportation of persons .....	4	5	5
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services .....	24	29	29
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....			1
41.0	Grants, subsidies, and contributions .....	377	454	387
92.0	National Service Trust .....	23	95	100
99.5	Below reporting threshold .....	2	2	
99.9	Total obligations .....	451	605	547

Personnel Summary

Identification code 95-2720-0-1-506	1996 actual	1997 est.	1998 est.	
Total compensable workyears:				
1001	Full-time equivalent employment .....	188	197	197
1005	Full-time equivalent of overtime and holiday hours .....	1	1	1

DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, [**\$213,969,000**] \$260,300,000, to remain available until September 30, 1999, and of which \$38,000,000 is available only for America Reads. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-0103-0-1-506	1996 actual	1997 est.	1998 est.	
Obligations by program activity:				
Direct program:				
00.01	Volunteers in Service to America .....	41	41	54
00.03	National Senior Service Corps .....	128	145	176
00.05	Program support .....	29	28	30
00.91	Total direct program .....	198	214	260
01.01	Reimbursable program .....	6	6	6
10.00	Total obligations .....	204	220	266
Budgetary resources available for obligation:				
22.00	New budget authority (gross) .....	204	220	266
23.95	New obligations .....	-204	-220	-266
New budget authority (gross), detail:				
Current:				
40.00	Appropriation .....	198	214	260
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	6	6	6
70.00	Total new budget authority (gross) .....	204	220	266
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	94	108	115
73.10	New obligations .....	204	220	266
73.20	Total outlays (gross) .....	-186	-213	-246
73.40	Adjustments in expired accounts .....	-4		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	108	115	135
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	117	120	145
86.93	Outlays from current balances .....	63	87	95
86.97	Outlays from new permanent authority .....	6	6	6
87.00	Total outlays (gross) .....	186	213	246
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-1	-1	-1
88.40	Non-Federal sources .....	-5	-5	-5
88.90	Total, offsetting collections (cash) .....	-6	-6	-6
Net budget authority and outlays:				
89.00	Budget authority .....	198	214	260
90.00	Outlays .....	180	207	240

**Volunteers in Service to America.**—The AmeriCorps\*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

**National Senior Service Corps.**—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including helping children learn to read and working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

**Program support.**—Costs of program direction and administration are financed by this activity.

**General and special funds—Continued**

**DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES—Continued**

**Object Classification (in millions of dollars)**

Identification code 95-0103-0-1-506	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	15	14	15
11.3 Other than full-time permanent .....	1	1	2
11.8 Special personal services payments .....	24	24	31
11.9 Total personnel compensation .....	40	39	48
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	3	3	4
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	7	7	9
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	137	153	187
99.0 Subtotal, direct obligations .....	198	213	259
99.0 Reimbursable obligations .....	6	6	6
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	204	220	266

**Personnel Summary**

Identification code 95-0103-0-1-506	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	329	362	362
1005 Full-time equivalent of overtime and holiday hours .....	2	2	2

**OFFICE OF THE INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$2,000,000]** \$2,500,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act of 1997.)

**Program and Financing (in millions of dollars)**

Identification code 95-2721-0-1-506	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
<b>Unobligated balance available, start of year:</b>			
22.00 New budget authority (gross) .....	2	2	2
23.95 New obligations .....	-2	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	2	2
<b>Change in unpaid obligations:</b>			
<b>Unpaid obligations, start of year: Obligated balance:</b>			
72.40 Appropriation .....	1	1	1
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-2	-2
<b>Unpaid obligations, end of year: Obligated balance:</b>			
74.40 Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	2	2	2

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

**Object Classification (in millions of dollars)**

Identification code 95-2721-0-1-506	1996 actual	1997 est.	1998 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	2	2

**Personnel Summary**

Identification code 95-2721-0-1-506	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	11	15	15

**Trust Funds**

**GIFTS AND CONTRIBUTIONS**

**Unavailable Collections (in millions of dollars)**

Identification code 95-9972-0-7-506	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Gifts and contributions .....	2		
02.02 Interest on investment .....	10	16	20
02.03 Payment from the general fund .....	23	95	100
02.99 Total receipts .....	35	111	120
<b>Appropriation:</b>			
05.01 Gifts and contributions .....	-35	-111	-120
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-9972-0-7-506	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2) .....	14	35	42
<b>Budgetary resources available for obligation:</b>			
<b>Unobligated balance available, start of year:</b>			
21.40 Uninvested balance .....		10	
<b>U.S. Securities:</b>			
21.41 Par value .....	199	211	297
21.42 Unrealized discounts .....	-3	-4	-4
21.99 Total unobligated balance, start of year .....	196	217	293
22.00 New budget authority (gross) .....	35	111	120
23.90 Total budgetary resources available for obligation .....	231	328	413
23.95 New obligations .....	-14	-35	-42
<b>Unobligated balance available, end of year:</b>			
24.40 Uninvested balance .....	10		
<b>U.S. Securities:</b>			
24.41 Par value .....	211	297	376
24.42 Unrealized discounts .....	-4	-4	-5
24.99 Total unobligated balance, end of year .....	217	293	371
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	35	111	120
<b>Change in unpaid obligations:</b>			
<b>Unpaid obligations, start of year: Obligated balance:</b>			
72.40 Appropriation .....	6		
73.10 New obligations .....	14	35	42
73.20 Total outlays (gross) .....	-20	-35	-42
<b>Unpaid obligations, end of year: Obligated balance:</b>			
74.40 Appropriation .....			
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances .....	20	35	42
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	35	111	120
90.00 Outlays .....	20	35	42

The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from indi-

viduals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

**CORPORATION FOR PUBLIC BROADCASTING**

**Federal Funds**

**General and special funds:**

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting, as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year [1999] 2000, [\$250,000,000] \$325,000,000. *Provided*, That no funds made available to the Corporation for Public Broadcasting by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 20-0151-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	275	260	250
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	275	260	250
23.95 New obligations .....	-275	-260	-250
<b>New budget authority (gross), detail:</b>			
65.00 Advance appropriation (definite) .....	275	260	250
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	275	260	250
73.20 Total outlays (gross) .....	-275	-260	-250
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	275	260	250
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	275	260	250
90.00 Outlays .....	275	260	250

The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related primarily to program production or acquisition. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance. The appropriation for the Corporation is enacted two years in advance. For 1998, an appropriation of \$250 million was enacted in 1996.

For 2000, the Administration is requesting \$325 million for general programming and system support. In addition, the Corporation should be reauthorized this year, its most recent authorization having expired at the end of fiscal year 1996. The Corporation celebrates its 30th anniversary of service to the American people. Public broadcasting plays a vital role in the educational and cultural development of our Nation. The proposed funding level will allow the Corporation to maintain quality public service programming and to meet the needs of American public telecommunications. The table below illustrates the 1997-2000 funding levels.

Summary of Funding Levels, 1997-2000 (in thousands of dollars)

	1997 enacted	1998 enacted	1999 enacted	2000 est.
Corporation for Public Broadcasting .....	260	250	250	325

**COURT OF VETERANS APPEALS**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Veterans Appeals as authorized by 38 U.S.C. sections 7251- [7292] 7298, \$9,380,000, of which \$851,000[, to remain available until September 30, 1998,] shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102-229. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 95-0300-0-1-705	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	9	9	9
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9	9	9
23.95 New obligations .....	-9	-9	-9
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	9	9	9
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	9	9	9
73.20 Total outlays (gross) .....	-9	-9	-9
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	8	9	9
86.93 Outlays from current balances .....	1		
87.00 Total outlays (gross) .....	9	9	9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	9	9
90.00 Outlays .....	9	9	9

The Veterans Judicial Review Act, 38 U.S.C. §§7251-7292 (1988) established the United States Court of Veterans Appeals under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. §1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

**Practice Registration Fees.**—This fund is established under 38 U.S.C. §7285. The fund, which receives no appropriations, will be used by the U.S. Court of Veterans Appeals to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

**Object Classification (in millions of dollars)**

Identification code 95-0300-0-1-705	1996 actual	1997 est.	1998 est.
11.3 Personnel compensation: Other than full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	9	9	9

**Personnel Summary**

Identification code 95-0300-0-1-705	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	82	81	79

**Trust Funds**

**COURT OF VETERANS APPEALS RETIREMENT FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8290-0-7-705	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....	2	3	4
Receipts:			
02.03 Employing agency contributions .....	1	1	1
04.00 Total: Balances and collections .....	3	4	5
07.99 Total balance, end of year .....	3	4	5

This fund, established under 38 U.S.C. §7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 3.5 percent of their salaries for survivor annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Veterans Appeals.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy

Act of 1954, as amended by Public Law 100-456, section 1441, **[\$16,000,000] \$17,500,000**, to remain available until expended. *Further, for these activities to become available for obligation on October 1, 1998 and remain available until expended, \$17,500,000. (Energy and Water Development Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 95-3900-0-1-053	1996 actual	1997 est.	1998 est.	1999 est.
<b>Obligations by program activity:</b>				
10.00 Total obligations .....	17	17	18	18
<b>Budgetary resources available for obligation:</b>				
21.40 Unobligated balance available, start of year: Uninvested balance .....	2	2	1	1
22.00 New budget authority (gross) .....	17	16	18	18
23.90 Total budgetary resources available for obligation .....	19	18	19	19
23.95 New obligations .....	-17	-17	-18	-18
24.40 Unobligated balance available, end of year: Uninvested balance .....	2	1	1	1
<b>New budget authority (gross), detail:</b>				
Current:				
40.00 Appropriation .....	17	16	18	
Permanent:				
65.00 Advance appropriation (definite) .....				18
70.00 Total new budget authority (gross) .....	17	16	18	18
<b>Change in unpaid obligations:</b>				
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	6	6	6	7
73.10 New obligations .....	17	17	18	18
73.20 Total outlays (gross) .....	-17	-17	-17	-18
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	6	6	7	7
<b>Outlays (gross), detail:</b>				
86.90 Outlays from new current authority .....	11	10	11	
86.93 Outlays from current balances .....	6	7	6	7
86.97 Outlays from new permanent authority .....				11
87.00 Total outlays (gross) .....	17	17	17	18
<b>Net budget authority and outlays:</b>				
89.00 Budget authority .....	17	16	18	18
90.00 Outlays .....	17	17	17	18

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100-456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (DOE) (as defined in Public Law 100-456). In addition, the National Defense Authorization Act for fiscal years 1992 and 1993 (Public Law 102-190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons, and to approve any DOE plans to resume plutonium operations at the Rocky Flats Plant, Golden, Colorado. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to ensure that both public and worker health and safety are adequately protected.

**Object Classification (in millions of dollars)**

Identification code 95-3900-0-1-053	1996 actual	1997 est.	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	8	9	9	9
12.1 Civilian personnel benefits .....	2	2	2	2
23.1 Rental payments to GSA .....	2	2	2	2
25.1 Advisory and assistance services .....	3	2	2	2
25.2 Other services .....	1	1	1	1
99.5 Below reporting threshold .....	1	1	2	2

99.9	Total obligations .....	17	17	18	18
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90.00	Outlays .....	712	719	712
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**Personnel Summary**

Identification code 95-3900-0-1-053	1996 actual	1997 est.	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	104	146	143	139

**Summary of Budget Authority and Outlays**

(in millions of dollars)			
	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority .....	712	719	712
Outlays .....	712	719	712
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			58
Outlays .....			-180
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Total:			
Budget Authority .....	712	719	770
Outlays .....	712	719	532

**DISTRICT OF COLUMBIA**

**Federal Funds**

**General and special funds:**

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA**

For payment to the District of Columbia for the fiscal year ending September 30, [1997] 1998, \$660,000,000, as authorized by section 502(a) of the District of Columbia Self-Government and Governmental Reorganization Act, Public Law 93-198, as amended (D.C. Code, sec. 47-3406.1). (*District of Columbia Appropriations Act, 1997.*)

**FEDERAL CONTRIBUTION TO RETIREMENT FUNDS**

For the Federal contribution to the Police Officers and Fire Fighters', Teachers', and Judges' Retirement Funds, as authorized by the District of Columbia Retirement Reform Act, approved November 17, 1979 (93 Stat. 866; Public Law 96-122), \$52,070,000. (*District of Columbia Appropriations Act, 1997.*)

**[PRESIDENTIAL INAUGURATION]**

[For payment to the District of Columbia in lieu of reimbursements for expenses incurred in connection with Presidential inauguration activities, \$5,702,000, as authorized by section 737(b) of the District of Columbia Self-Government and Governmental Reorganization Act, Public Law 93-198, as amended (D.C. Code, sec. 1-1803), which shall be appropriated by the Chief Financial Officer within the various appropriation headings in this Act.] (*District of Columbia Appropriations Act, 1997.*)

**[FEDERAL CONTRIBUTION FOR REPAIR OF DRINKING WATER SYSTEM]**

[For a Federal contribution to the District of Columbia Financial Responsibility and Management Assistance Authority for contracting with a private entity (or entities) to carry out a program to inspect, flush, and repair the drinking water distribution system of the District of Columbia, \$1,000,000.] (*District of Columbia Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 20-1700-0-1-806	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Payment to the District of Columbia general fund .....	660	660	660
00.03 Retirement funds contribution .....	52	52	52
00.04 Inaugural payment .....		7	
10.00 Total obligations (object class 41.0) .....	712	719	712
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....			
22.00 New budget authority (gross) .....	712	719	712
23.90 Total budgetary resources available for obligation .....	712	719	712
23.95 New obligations .....	-712	-719	-712
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	712	719	712
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	712	719	712
73.20 Total outlays (gross) .....	-712	-719	-712
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	712	719	712
86.93 Outlays from current balances .....			
87.00 Total outlays (gross) .....	712	719	712
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	712	719	712

The annual Federal payment to the Government of the District of Columbia compensates the District for the net costs imposed by the presence of the Federal Government in Washington, D.C. While the Federal presence may actually add more to District revenues than it does to operating costs (because local income, property and sales tax receipts are highly dependent on Federal employment in the city), a lump-sum, unrestricted Federal payment is provided to the District Government each year to help fund local budgetary expenditures. A \$660 million Federal payment is proposed for 1998.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA**

**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 20-1700-2-1-806	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Payment to the District of Columbia general fund .....			-660
00.03 Retirement funds contribution .....			-52
00.04 National Capital improvement plan .....			770
10.00 Total obligations (object class 41.0) .....			58
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			58
23.95 New obligations .....			-58
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			58
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			58
73.20 Total outlays (gross) .....			180
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....			238
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			-180
86.93 Outlays from current balances .....			
87.00 Total outlays (gross) .....			-180
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			58
90.00 Outlays .....			-180

The Administration will propose legislation for Federal assumption of District governmental functions in which there is a clear Federal interest, capability and/or responsibility including certain pension plans and parts of the criminal justice system. Under the legislation, resources will be invested also to improve the District's capital infrastructure and strengthen its economic base. The proposal will draw on Federal government technical expertise to help make the District government effective in such areas as individual income tax collection.

For FY 1998, estimated Federal discretionary costs under the legislation will be \$770 million. In exchange, the proposal

**General and special funds—Continued**

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA—Continued**

will end the annual Federal payment and retirement contribution which are estimated at \$712 million for FY 1998.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 20-1700-4-1-806	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Retirement payments			400
00.03 Trustee fee			22
10.00 Total obligations (object class 41.0)			422
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			422
23.95 New obligations			-422
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)			422
<b>Change in unpaid obligations:</b>			
73.10 New obligations			422
73.20 Total outlays (gross)			-422
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority			422
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-422
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			

**Proposed mandatory pension payments to District beneficiaries are deficit neutral because they are offset by existing pension assets.**

**LOANS TO THE DISTRICT OF COLUMBIA FOR CAPITAL PROJECTS**

**Status of Direct Loans (in millions of dollars)**

Identification code 20-0137-0-1-806	1996 actual	1997 est.	1998 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year	75	63	51
1251 Repayments: Repayments and prepayments	-12	-12	-12
1290 Outstanding, end of year	63	51	39

The District has borrowed funds from the U.S. Treasury to finance capital projects. While the authority to borrow for capital projects was terminated in 1983, the District had outstanding debt issued under this authority. The schedule above details the status of this debt as of September 30, 1996.

**FEDERAL PAYMENT FOR WATER AND SEWER SERVICES**

**Program and Financing (in millions of dollars)**

Identification code 20-0155-0-1-806	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 23.3)	33	22	22
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	33	22	22
23.95 New obligations	-33	-22	-22

<b>New budget authority (gross), detail:</b>			
60.05 Appropriation (indefinite)			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	33	22	22
70.00 Total new budget authority (gross)	33	22	22

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	9	9	9
73.10 New obligations	33	22	22
73.20 Total outlays (gross)	-33	-22	-22
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	9	9	9

<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	33	22	22

<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-33	-22	-22

<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	1		

**Federal agencies make payments to this account for the water and sewer services provided by the District.**

**REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA PROGRAM ACCOUNT**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 20-0144-0-1-806	1996 actual	1997 est.	1998 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
1150 Direct loan levels	379	461	
<b>Direct loan subsidy (in percent):</b>			
1320 Subsidy rate	0.00	0.00	
<b>Direct loan subsidy budget authority:</b>			
1330 Subsidy budget authority			
<b>Direct loan subsidy outlays:</b>			
1340 Subsidy outlays			

**REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 20-4561-0-3-806	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Repayable advances to the District of Columbia	379	461	
00.02 Interest to Treasury	2	17	5
10.00 Total obligations	381	478	5
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross)	381	478	5
23.95 New obligations	-381	-478	-5
<b>New financing authority (gross), detail:</b>			
67.10 Authority to borrow	379	461	
<b>Spending authority from offsetting collections:</b>			
68.00 Offsetting collections (cash)	149	396	466
68.47 Portion applied to debt reduction	-147	-379	-461
68.90 Spending authority from offsetting collections (total)	2	17	5
70.00 Total new financing authority (gross)	381	478	5
<b>Change in unpaid obligations:</b>			
73.10 New obligations	381	478	5
73.20 Total financing disbursements (gross)	-381	-478	-5
87.00 Total financing disbursements (gross)	381	478	5

Offsets:				
Against gross financing authority and financing disbursements:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-149	-396	-466
<b>Net financing authority and financing disbursements:</b>				
89.00	Financing authority .....	232	82	-461
90.00	Financing disbursements .....	232	82	-461

**Status of Direct Loans (in millions of dollars)**

Identification code 20-4561-0-3-806	1996 actual	1997 est.	1998 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans .....			
1131	Direct loan obligations exempt from limitation .....	379	461	
1150	Total direct loan obligations .....	379	461	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	147	379	461
1231	Disbursements: Direct loan disbursements .....	379	461	
1251	Repayments: Repayments and prepayments .....	-147	-379	-461
1290	Outstanding, end of year .....	379	461	

Temporary advances are made by the U.S. Treasury to the District of Columbia to meet short-term cash requirements, resulting from variations in the rate of disbursements and tax collections during the year (Sec. 47-3401, D.C. Code, as amended). Advances to the District for FY 1995 through FY 1997 are required to be repaid with the Federal payment for the following fiscal year. Advances made thereafter are to be repaid using general fund revenues from the District of Columbia. The schedule above details the status of these advances as of September 30, 1996.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.	
Offsetting receipts from the public:				
20-295000	Repayment of loans and advances to the District of Columbia .....	12	12	12
General Fund Offsetting receipts from the public .....				
	12	12	12	

**GENERAL PROVISIONS**

SEC. 101. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 102. Except as otherwise provided in this Act, all vouchers covering expenditures of appropriations contained in this Act shall be audited before payment by the designated certifying official and the vouchers as approved shall be paid by checks issued by the designated disbursing official.

SEC. 103. Whenever in this Act, an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.

SEC. 104. Appropriations in this Act shall be available, when authorized by the Mayor, for allowances for privately-owned automobiles and motorcycles used for the performance of official duties at rates established by the Mayor: *Provided*, That such rates shall not exceed the maximum prevailing rates for such vehicles as prescribed in the Federal Property Management Regulations 101-7 (Federal Travel Regulations).

SEC. 105. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned

with the work of the District of Columbia government, when authorized by the Mayor: *Provided*, That the Council of the District of Columbia and the District of Columbia Courts may expend such funds without authorization by the Mayor.

SEC. 106. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of judgments that have been entered against the District of Columbia government: *Provided*, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947, approved March 31, 1956 (70 Stat. 78; Public Law 84-460; D.C. Code, sec. 47-1812.11(c)(3)).

SEC. 107. Appropriations in this Act shall be available for the payment of public assistance without reference to the requirement of section 544 of the District of Columbia Public Assistance Act of 1982, effective April 6, 1982 (D.C. Law 4-101; D.C. Code, sec. 3-205.44), and for the non-Federal share of funds necessary to qualify for Federal assistance under the Juvenile Delinquency Prevention and Control Act of 1968, approved July 31, 1968 (82 Stat. 462; Public Law 90-445; 42 U.S.C. 3801 et seq.).

SEC. 108. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 109. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.

SEC. 110. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, salary, past work experience, and salary history are not available for inspection by the House and Senate Committees on Appropriations, the Subcommittee on the District of Columbia of the House Committee on Government Reform and Oversight, the Subcommittee on Oversight of Government Management and the District of Columbia of the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative.

SEC. 111. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making payments authorized by the District of Columbia Revenue Recovery Act of 1977, effective September 23, 1977 (D.C. Law 2-20; D.C. Code, sec. 47-421 et seq.).

SEC. 112. No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 113. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: *Provided*, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Columbia and the Congress the actual borrowings and spending progress compared with projections.

SEC. 114. The Mayor shall not borrow any funds for capital projects unless the Mayor has obtained prior approval from the Council of the District of Columbia, by resolution, identifying the projects and amounts to be financed with such borrowings.

SEC. 115. The Mayor shall not expend any moneys borrowed for capital projects for the operating expenses of the District of Columbia government.

SEC. 116. None of the funds appropriated by this Act may be obligated or expended by reprogramming except pursuant to advance approval of the reprogramming granted according to the procedure set forth in the Joint Explanatory Statement of the Committee of Conference (House Report No. 96-443), which accompanied the District of Columbia Appropriation Act, 1980, approved October 30, 1979 (93 Stat. 713; Public Law 96-93), as modified in House Report No. 98-265, and in accordance with the Reprogramming Policy Act of 1980, effective September 16, 1980 (D.C. Law 3-100; D.C. Code, sec. 47-361 et seq.): *Provided*, That for the fiscal year ending September 30, [1997]1998 the above shall apply except as modified by Public Law 104-8.

SEC. 117. None of the Federal funds provided in this Act shall be obligated or expended to provide a personal cook, chauffeur, or

**General and special funds—Continued**

## GENERAL PROVISIONS—Continued

other personal servants to any officer or employee of the District of Columbia.

SEC. 118. None of the Federal funds provided in this Act shall be obligated or expended to procure passenger automobiles as defined in the Automobile Fuel Efficiency Act of 1980, approved October 10, 1980 (94 Stat. 1824; Public Law 96-425; 15 U.S.C. 2001(2)), with an Environmental Protection Agency estimated miles per gallon average of less than 22 miles per gallon: *Provided*, That this section shall not apply to security, emergency rescue, or armored vehicles.

SEC. 119. (a) Notwithstanding section 422(7) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973, approved December 24, 1973 (87 Stat. 790; Public Law 93-198; D.C. Code, sec. 1-242(7)), the City Administrator shall be paid, during any fiscal year, a salary at a rate established by the Mayor, not to exceed the rate established for Level IV of the Executive Schedule under 5 U.S.C. 5315.

(b) For purposes of applying any provision of law limiting the availability of funds for payment of salary or pay in any fiscal year, the highest rate of pay established by the Mayor under subsection (a) of this section for any position for any period during the last quarter of calendar year [1996] 1997 shall be deemed to be the rate of pay payable for that position for September 30, [1996] 1997.

(c) Notwithstanding section 4(a) of the District of Columbia Redevelopment Act of 1945, approved August 2, 1946 (60 Stat. 793; Public Law 79-592; D.C. Code, sec. 5-803(a)), the Board of Directors of the District of Columbia Redevelopment Land Agency shall be paid, during any fiscal year, per diem compensation at a rate established by the Mayor.

SEC. 120. Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978, effective March 3, 1979 (D.C. Law 2-139; D.C. Code, sec. 1-601.1 et seq.), enacted pursuant to section 422(3) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973, approved December 24, 1973 (87 Stat. 790; Public Law 93-198; D.C. Code, sec. 1-242(3)), shall apply with respect to the compensation of District of Columbia employees: *Provided*, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5, United States Code.

SEC. 121. The Director of the Department of Administrative Services may pay rentals and repair, alter, and improve rented premises, without regard to the provisions of section 322 of the Economy Act of 1932 (Public Law 72-212; 40 U.S.C. 278a), based upon a determination by the Director, that by reason of circumstances set forth in such determination, the payment of these rents and the execution of this work, without reference to the limitations of section 322, is advantageous to the District in terms of economy, efficiency, and the District's best interest.

SEC. 122. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, [1997] 1998, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year [1997] 1998 revenue estimates as of the end of the first quarter of fiscal year [1997] 1998. These estimates shall be used in the budget request for the fiscal year ending September 30, [1998] 1999. The officially revised estimates at midyear shall be used for the midyear report.

SEC. 123. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985, effective February 21, 1986 (D.C. Law 6-85; D.C. Code, sec. 1-1183.3), except that the District of Columbia Public Schools may renew or extend sole source contracts for which competition is not feasible or practical, provided that the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated Board of Education rules and procedures.

SEC. 124. For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended, the term "program, project, and activity" shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to

the aggregate total of those accounts: *Provided*, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended.

SEC. 125. In the event a sequestration order is issued pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended, after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: *Provided*, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act that are not specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended.

SEC. 126. Nothing in this Act shall be construed to authorize any office, agency or entity to expend funds for programs or functions for which a reorganization plan is required but has not been approved by the Council pursuant to section 422(12) of the District of Columbia Self-Government and Governmental Reorganization Act of 1973, approved December 24, 1973 (87 Stat. 790; Public Law 93-198; D.C. Code, sec. 1-242(12)) and the Governmental Reorganization Procedures Act of 1981, effective October 17, 1981 (D.C. Law 4-42; D.C. Code, secs. 1-299.1 to 1-299.7). Appropriations made by this Act for such programs or functions are conditioned on the approval by the Council of the required reorganization plans.

SEC. 127. (a) An entity of the District of Columbia government may accept and use a gift or donation during fiscal year [1997] 1998 if—

(1) the Mayor approves the acceptance and use of the gift or donation: *Provided*, That the Council of the District of Columbia may accept and use gifts without prior approval by the Mayor; and

(2) the entity uses the gift or donation to carry out its authorized functions or duties.

(b) Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection (a) of this section, and shall make such records available for audit and public inspection.

(c) For the purposes of this section, the term "entity of the District of Columbia government" includes an independent agency of the District of Columbia.

(d) This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. 128. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979, effective March 10, 1981 (D.C. Law 3-171; D.C. Code, sec. 1-113(d)).

[SEC. 129. None of the funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.]<sup>1</sup>

SEC. 129. [130.] No funds made available pursuant to any provision of this Act shall be used to implement or enforce any system of registration of unmarried, cohabiting couples whether they are homosexual, lesbian, or heterosexual, including but not limited to registration for the purpose of extending employment, health, or governmental benefits to such couples on the same basis that such benefits are extended to legally married couples; nor shall any funds made available pursuant to any provision of this Act otherwise be used to implement or enforce D.C. Act 9-188, signed by the Mayor of the District of Columbia on April 15, 1992. (*District of Columbia Appropriations Act, 1997*.)

<sup>1</sup>The Administration proposes to delete this provision and will work with the Congress to address the issue of abortion funding.

**EQUAL EMPLOYMENT OPPORTUNITY  
COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621-634), the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; not to exceed **[\$27,500,000,] \$26,500,000** for payments to State and local enforcement agencies for services to the Commission pursuant to title VII of the Civil Rights Act of 1964, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991; **[\$239,740,000] \$246,000,000**. *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,500 from available funds. *(Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 45-0100-0-1-751	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Executive direction and program support .....	20	20	21
00.02 Enforcement .....	187	192	198
00.03 State and local grants .....	26	28	27
10.00 Total obligations .....	233	240	246
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	233	240	246
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	232	240	246
23.95 New obligations .....	-233	-240	-246
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	233	240	246
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	29	34	18
73.10 New obligations .....	233	240	246
73.20 Total outlays (gross) .....	-225	-256	-245
73.40 Adjustments in expired accounts .....	-3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	34	18	19
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	202	228	233
86.93 Outlays from current balances .....	23	28	12
87.00 Total outlays (gross) .....	225	256	245
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	233	240	246
90.00 Outlays .....	225	256	245

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or handicap status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

**WORKFLOW ANALYSIS**

	1996 actual	1997 est.	1998 est.
Title VII:			
Only			
Charges filed: .....	46,109	52,038	52,171
Charges resolved: .....	60,947	56,036	46,697
With concurrents*			
Charges filed: .....	55,391	62,514	62,673
Charges resolved: .....	73,388	67,475	56,229
Age Discrimination in Employment Act:			
Only			
Charges filed: .....	8,377	9,454	9,478
Charges resolved: .....	11,535	10,606	8,838
With concurrents			
Charges filed: .....	15,697	17,716	17,761
Charges resolved: .....	21,247	19,535	16,279
Equal Pay Act:			
Only			
Charges filed: .....	25	28	28
Charges resolved: .....	64	59	49
With concurrents			
Charges filed: .....	963	1,087	1,090
Charges resolved: .....	1,453	1,336	1,113
Americans with Disabilities Act:			
Only			
Charges filed: .....	12,339	13,926	13,961
Charges resolved: .....	16,117	14,818	12,349
With concurrents			
Charges filed: .....	18,019	20,336	20,388
Charges resolved: .....	23,444	21,555	17,962
Total:			
Charges filed: .....	77,990	88,019	88,243
Charges resolved: .....	103,517	95,130	79,275

Totals for all charges do not equal the sum of all statutes because many charge filings allege issues/bases under more than one statute.

**The budget for the agency supports three activities:**

**Executive direction and program support.**—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency.

**Enforcement.**—This activity resolves charges of employment discrimination filed with the Commission and litigates to enforce compliance with title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991.

**State and local grants.**—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints.

**Object Classification (in millions of dollars)**

Identification code 45-0100-0-1-751	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	125	131	136
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	7	8	8
11.9 Total personnel compensation .....	134	141	146
12.1 Civilian personnel benefits .....	27	28	29
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	22	22	23
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services .....	10	11	11
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	5	1	1
41.0 Grants, subsidies, and contributions .....	26	28	27
99.9 Total obligations .....	233	240	246

**Personnel Summary**

Identification code 45-0100-0-1-751	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	2,676	2,680	2,680
1005 Full-time equivalent of overtime and holiday hours .....	1	1	1

**Public enterprise funds:**

**EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING  
REVOLVING FUND**

**Program and Financing (in millions of dollars)**

Identification code 45-4019-0-4-751	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5)	1	1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	2	2
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	3	3	3
23.95 New obligations	-1	-1	-1
24.40 Unobligated balance available, end of year:			
Uninvested balance	2	2	2
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	1	1	1
86.98 Outlays from permanent balances			
87.00 Total outlays (gross)	-1	-1	-1
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-1	-1	-1

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

**EXPORT-IMPORT BANK OF THE UNITED STATES**

**Federal Funds**

**Credit accounts:**

**EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT**

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country other than a nuclear-weapon State as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act that has detonated a nuclear explosive after the date of enactment of this Act.

**SUBSIDY APPROPRIATION**

For the cost of direct loans, loan guarantees, and insurance [and tied-aid grants] as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, [\$726,000,000] \$632,000,000, to remain available until September 30, [1998] 1999: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided*

further, That such sums shall remain available until [2012] 2013 for the disbursement of direct loans, loan guarantees, and insurance [and tied-aid grants] obligated in fiscal years [1997 and] 1998 and 1999: *Provided further*, That up to \$50,000,000 of funds appropriated by this paragraph shall remain available until expended and may be used for tied-aid grant purposes: *Provided further*, That none of the funds appropriated by this paragraph may be used for tied-aid credits or grants except through the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export-Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State, or any agency or national thereof.

**ADMINISTRATIVE EXPENSES**

For administrative expenses to carry out the direct and guaranteed loan and insurance programs (to be computed on an accrual basis), including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$20,000 for official reception and representation expenses for members of the Board of Directors, [\$46,614,000] \$48,614,000: *Provided*, That necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading: *Provided further*, That, effective July 21, 1997, notwithstanding any other provision of law, none of the funds made available by this or any other Act may be made available to compensate the incumbent Chairman and President of the Export-Import Bank: *Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, [1997] 1998. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1997.*)

**Unavailable Collections (in millions of dollars)**

Identification code 83-0100-0-1-155	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year	253	229	287
<b>Receipts:</b>			
02.01 Export-Import Bank direct loans, negative subsidies	27	58	51
02.02 Export-Import Bank direct loans, downward reestimates of subsidies	193		
02.99 Total receipts	220	58	51
04.00 Total: Balances and collections	473	287	338
<b>Appropriation:</b>			
05.01 Export-Import Bank direct loans program account	-244		
07.99 Total balance, end of year	229	287	338

**Program and Financing (in millions of dollars)**

Identification code 83-0100-0-1-155	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan subsidy	69	28	28
00.02 Guaranteed loan subsidy	771	688	594
00.06 Direct grants	29	45	
00.07 Upward reestimates of subsidy	244		
00.08 Loan modifications	24	10	10
00.09 Administrative expenses	43	47	49
10.00 Total obligations	1,180	818	681
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	415	345	300
22.00 New budget authority (gross)	1,035	773	681
22.10 Resources available from recoveries of prior year obligations	78		
22.30 Unobligated balance expiring	-2		
23.90 Total budgetary resources available for obligation	1,526	1,118	981
23.95 New obligations	-1,180	-818	-681

24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	345	300	300
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	833	773	681
40.75	Reduction pursuant to P.L. 104-208 .....	-42		
43.00	Appropriation (total) .....	791	773	681
Permanent:				
60.25	Appropriation (special fund, indefinite) .....	244		
70.00	Total new budget authority (gross) .....	1,035	773	681
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	1,944	2,339	2,607
73.10	New obligations .....	1,180	818	681
73.20	Total outlays (gross) .....	-707	-550	-575
73.45	Adjustments in unexpired accounts .....	-78		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	2,339	2,607	2,713
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	81	131	125
86.93	Outlays from current balances .....	382	419	450
86.97	Outlays from new permanent authority .....	244		
87.00	Total outlays (gross) .....	707	550	575
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	1,035	773	681
90.00	Outlays .....	707	550	575

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 83-0100-0-1-155	1996 actual	1997 est.	1998 est.	
<b>Direct loan levels supportable by subsidy budget authority:</b>				
1150	Direct loans .....	1,101	1,765	1,660
1150	Direct grants .....	136	135	
1159	Total direct loan levels .....	1,237	1,900	1,660
<b>Direct loan subsidy (in percent):</b>				
1320	Direct loans .....	6.26	1.63	1.69
1320	Direct grants .....	21.68	33.33	0.00
1329	Weighted average subsidy rate .....	7.92	3.89	1.69
<b>Direct loan subsidy budget authority:</b>				
1330	Direct loans .....	69	29	28
1330	Direct grants .....	29	45	
1339	Total subsidy budget authority .....	98	74	28
<b>Direct loan subsidy outlays:</b>				
1340	Direct loans .....	59	73	71
1340	Direct grants .....	8	7	7
1349	Total subsidy outlays .....	67	80	78
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>				
2150	Loan guarantees .....	10,281	14,648	15,413
2159	Total loan guarantee levels .....	10,281	14,648	15,413
<b>Guaranteed loan subsidy (in percent):</b>				
2320	Guaranteed Loans .....	7.50	4.69	3.85
2329	Weighted average subsidy rate .....	7.50	4.69	3.85
<b>Guaranteed loan subsidy budget authority:</b>				
2330	Subsidy budget authority .....	771	687	594
2339	Total subsidy budget authority .....	771	687	594
<b>Guaranteed loan subsidy outlays:</b>				
2340	Subsidy outlays .....	344	414	439
2349	Total subsidy outlays .....	344	414	439
<b>Administrative expense data:</b>				
3510	Budget authority .....	46	47	49
3590	Outlays .....	45	46	48

The purpose of the Export-Import Bank (Eximbank) is to aid in financing and promoting U.S. exports. To accomplish its objectives, the bank's authority and resources are used

to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet foreign officially sponsored export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The bank provides its export credit support through direct loan, loan guarantee and insurance programs. The bank is actively assisting small- and medium-sized businesses.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis.

**Object Classification (in millions of dollars)**

Identification code 83-0100-0-1-155	1996 actual	1997 est.	1998 est.	
11.1	Personnel compensation: Full-time permanent .....	26	28	28
12.1	Civilian personnel benefits .....	5	6	6
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	4	5
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services .....	3	5	5
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	3	1	2
41.0	Grants, subsidies, and contributions .....	1,137	771	632
99.9	Total obligations .....	1,180	818	681

**Personnel Summary**

Identification code 83-0100-0-1-155	1996 actual	1997 est.	1998 est.	
1001	Total compensable workyears: Full-time equivalent employment .....	429	436	427

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 83-4028-0-3-155	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01	Payment to liquidating account .....	72	29
00.02	Interest on Treasury borrowing .....	5	6
10.00	Total obligations .....	77	35
<b>Budgetary resources available for obligation:</b>			
22.00	New financing authority (gross) .....	77	35
23.95	New obligations .....	-77	-35
<b>New financing authority (gross), detail:</b>			
67.15	Authority to borrow (indefinite) .....	70	20
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	7	15
70.00	Total new financing authority (gross) .....	77	35
<b>Change in unpaid obligations:</b>			
73.10	New obligations .....	77	35
73.20	Total financing disbursements (gross) .....	-77	-35
87.00	Total financing disbursements (gross) .....	77	35
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00	Federal sources .....	-7	-15
88.40	Non-Federal sources .....		
88.90	Total, offsetting collections (cash) .....	-7	-15
<b>Net financing authority and financing disbursements:</b>			
89.00	Financing authority .....	70	20
90.00	Financing disbursements .....	70	20

**Credit accounts—Continued**

**DEBT REDUCTION FINANCING ACCOUNT—Continued**

**Status of Direct Loans (in millions of dollars)**

Identification code 83-4028-0-3-155	1996 actual	1997 est.	1998 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year			72
1233 Disbursements: Purchase of loans assets from a liquidating account		72	29
1290 Outstanding, end of year		72	101

**Balance Sheet (in millions of dollars)**

Identification code 83-4028-0-3-155	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross			72	29
1405 Allowance for subsidy cost (-)			-7	-15
1499 Net present value of assets related to direct loans			65	14
1999 Total assets			65	14
<b>LIABILITIES:</b>				
2103 Federal liabilities: Debt			72	29
2999 Total liabilities			72	29
4999 Total liabilities and net position			72	29

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 83-4161-0-3-155	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct loans	1,236	1,900	1,660
00.02 Interest on Treasury borrowing	184	249	342
00.05 Payment to negative subsidy receipt account	25	37	33
00.06 Payment to downward reestimate receipt account	31		
10.00 Total obligations	1,476	2,186	2,035
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross)	1,155	2,074	1,935
22.10 Resources available from recoveries of prior year obligations	321	114	100
23.90 Total budgetary resources available for obligation	1,476	2,188	2,035
23.95 New obligations	-1,476	-2,186	-2,035
<b>New financing authority (gross), detail:</b>			
67.15 Authority to borrow (indefinite)	646	1,189	1,015
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	425	890	969
68.10 Change in receivables from program account	84	-5	-49
68.90 Spending authority from offsetting collections (total)	509	885	920
70.00 Total new financing authority (gross)	1,155	2,074	1,935
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.90 Obligated balance: Obligated balance	4,295	4,161	4,660

72.95	Receivables from program account	447	531	526
72.99	Total unpaid obligations, start of year	4,742	4,692	5,186
73.10	New obligations	1,476	2,186	2,035
73.20	Total financing disbursements (gross)	-1,205	-1,579	-1,904
73.45	Adjustments in unexpired accounts	-321	-114	-100
Unpaid obligations, end of year:				
74.90	Obligated balance: Obligated balance	4,161	4,660	4,740
74.95	Receivables from program account	531	526	477
74.99	Total unpaid obligations, end of year	4,692	5,186	5,217
87.00	Total financing disbursements (gross)	1,205	1,579	1,904

**Offsets:**

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources: payment from program account				
88.00		-62	-79	-78
88.25	Interest on uninvested funds	-69	-72	-70
Non-Federal sources:				
88.40	Repayments and prepayments	-137	-504	-519
88.40	Fees and interest on loans	-157	-235	-302
88.90	Total, offsetting collections (cash)	-425	-890	-969
88.95	Change in receivables from program accounts	-84	5	49

**Net financing authority and financing disbursements:**

89.00	Financing authority	646	1,189	1,015
90.00	Financing disbursements	780	689	935

**Status of Direct Loans (in millions of dollars)**

Identification code 83-4161-0-3-155	1996 actual	1997 est.	1998 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans			
1131	Direct loan obligations exempt from limitation	1,236	1,900	1,660
1150	Total direct loan obligations	1,236	1,900	1,660
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	1,490	2,376	3,165
1231	Disbursements: Direct loan disbursements	1,023	1,293	1,155
1251	Repayments: Repayments and prepayments	-137	-504	-519
1290	Outstanding, end of year	2,376	3,165	3,801

**Balance Sheet (in millions of dollars)**

Identification code 83-4161-0-3-155	1995 actual	1996 actual	1997 est.	1998 est.	
<b>ASSETS:</b>					
Investments in US securities:					
Federal assets: Treasury securities, par					
1102		1,401	686	720	750
1206	Non-Federal assets: Receivables, net	7	36	40	42
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	1,407	2,272	2,500	2,800
1402	Interest receivable	28	10	12	14
1499	Net present value of assets related to direct loans	1,435	2,282	2,512	2,814
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1701	Defaulted guaranteed loans, gross	163	46	50	50
1703	Allowance for estimated uncollectible loans and interest (-)	-88	-20	-24	-26
1799	Value of assets related to loan guarantees	75	26	26	24
1803	Other Federal assets: Property, plant and equipment, net	7	3	4	5
1999	Total assets	2,925	3,033	3,302	3,635
<b>LIABILITIES:</b>					
Federal liabilities:					
2102	Interest payable	183	188	191	195
2103	Debt	2,665	2,736	2,800	2,865
Non-Federal liabilities:					
2201	Accounts payable	4	4	4	4
2207	Other	200	373	419	426
2999	Total liabilities	3,052	3,301	3,414	3,490

NET POSITION: <sup>1</sup>					
3300	Cumulative results of operations .....	-127	-268	-112	145
3999	Total net position .....	-127	-268	-112	145
4999	Total liabilities and net position .....	2,925	3,033	3,302	3,635

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 1998.

#### EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

##### Program and Financing (in millions of dollars)

Identification code 83-4162-0-3-155	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Guarantee claims .....	262	8	8
00.05	Payment to negative subsidy receipt account .....	2	21	18
00.06	Payment of downward reestimate to receipt account .....	162		
10.00	Total obligations .....	426	29	26
<b>Budgetary resources available for obligation:</b>				
21.90	Unobligated balance available, start of year: Fund balance .....	1,444	2,004	2,816
22.00	New financing authority (gross) .....	986	841	951
23.90	Total budgetary resources available for obligation .....	2,430	2,845	3,767
23.95	New obligations .....	-426	-29	-26
24.90	Unobligated balance available, end of year: Fund balance .....	2,004	2,816	3,741
<b>New financing authority (gross), detail:</b>				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	986	841	951
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	426	29	26
73.20	Total financing disbursements (gross) .....	-426	-29	-26
87.00	Total financing disbursements (gross) .....	426	29	26
<b>Offsets:</b>				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Payments from program account .....	-394	-424	-448
88.25	Interest on uninvested funds .....	-92	-147	-196
88.40	Fees and premiums .....	-500	-270	-307
88.90	Total, offsetting collections (cash) .....	-986	-841	-951
<b>Net financing authority and financing disbursements:</b>				
89.00	Financing authority .....			
90.00	Financing disbursements .....	-560	-812	-925
<b>Status of Guaranteed Loans (in millions of dollars)</b>				
Identification code 83-4162-0-3-155	1996 actual	1997 est.	1998 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders .....			
2131	Guaranteed loan commitments exempt from limitation .....	10,281	14,643	15,413
2150	Total guaranteed loan commitments .....	10,281	14,643	15,413
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	13,736	14,584	15,081
2231	Disbursements of new guaranteed loans .....	5,456	8,722	10,102
2251	Repayments and prepayments .....	-4,358	-8,217	-9,765
2263	Adjustments: Terminations for default that result in claim payments .....	-250	-8	-8
2290	Outstanding, end of year .....	14,584	15,081	15,410

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	14,581	14,482	15,410

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 1998.

##### Balance Sheet (in millions of dollars)

Identification code 83-4162-0-3-155	1995 actual	1996 actual	1997 est.	1998 est.	
<b>ASSETS:</b>					
Investments in US securities:					
1102	Federal assets: Treasury securities, par .....	1,202	1,746	1,800	1,900
1206	Non-Federal assets: Receivables, net .....	606	840	850	860
1999	Total assets .....	1,808	2,586	2,650	2,760
<b>LIABILITIES:</b>					
Non-Federal liabilities:					
2201	Accounts payable .....	78	84	86	88
2204	Liabilities for loan guarantees .....	288	368	370	375
2207	Other .....	1,094	946	950	955
2999	Total liabilities .....	1,460	1,398	1,406	1,418
<b>NET POSITION:</b>					
3300	Cumulative results of operations .....	348	1,188	1,244	1,342
3999	Total net position .....	348	1,188	1,244	1,342
4999	Total liabilities and net position .....	1,808	2,586	2,650	2,760

#### Public enterprise funds:

##### EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

##### Program and Financing (in millions of dollars)

Identification code 83-4027-0-3-155	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Interest expense-Federal Financing Bank .....	174	130	107
00.02	Interest on advances under letters of credit and other expenses .....	7	4	2
00.06	Claim payments, gross .....	39	52	68
00.08	Claim recoveries .....	-60		
10.00	Total obligations .....	160	186	177
<b>Budgetary resources available for obligation:</b>				
21.47	Unobligated balance available, start of year: Authority to borrow .....	161	476	526
22.00	New budget authority (gross) .....	1,243	763	636
22.10	Resources available from recoveries of prior year obligations .....	117		
22.40	Capital transfer to general fund .....	-200		
22.60	Redemption of debt .....	-685	-527	-278
23.90	Total budgetary resources available for obligation .....	636	712	884
23.95	New obligations .....	-160	-186	-177
24.47	Unobligated balance available, end of year: Authority to borrow .....	476	526	707
<b>New budget authority (gross), detail:</b>				
60.05	Appropriation (indefinite) .....	153		
60.47	Portion applied to debt reduction .....	-153		
63.00	Appropriation (total) .....			
Spending authority from offsetting collections:				
Offsetting collections (cash):				
68.00	Offsetting collections (cash) .....	1,243	691	607
68.00	Offsetting collections (cash): Debt Reduction .....		72	29
68.90	Spending authority from offsetting collections (total) .....	1,243	763	636
70.00	Total new budget authority (gross) .....	1,243	763	636

## Public enterprise funds—Continued

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING  
ACCOUNT—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 83-4027-0-3-155	1996 actual	1997 est.	1998 est.
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.47 Authority to borrow .....	432		
72.90 Treasury balance .....	73	15	10
72.91 U.S. Securities: Par value .....	135	473	398
72.99 Total unpaid obligations, start of year .....	640	488	408
73.10 New obligations .....	160	186	177
73.20 Total outlays (gross) .....	-195	-266	-268
73.45 Adjustments in unexpired accounts .....	-117		
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Treasury balance .....	15	10	5
74.91 U.S. Securities: Par value .....	473	398	312
74.99 Total unpaid obligations, end of year .....	488	408	317
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			
86.98 Outlays from permanent balances .....	195	266	268
87.00 Total outlays (gross) .....	195	266	268
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources: Debt Reduction .....			
88.00	-72	-29	
Interest on U.S. securities .....			
88.20	-19	-15	-10
Non-Federal sources:			
Loans repaid .....			
88.40	-671	-473	-424
Interest and fee revenue from loans .....			
88.40	-497	-178	-163
Guarantee fees .....			
88.40	-42	-20	-10
Insurance premiums .....			
88.40	-14	-5	
88.90 Total, offsetting collections (cash) .....	-1,243	-763	-636
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-1,047	-497	-368

## Status of Direct Loans (in millions of dollars)

Identification code 83-4027-0-3-155	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	6,138	5,511	4,605
1231 Disbursements: Direct loan disbursements .....	22	80	80
Repayments:			
Repayments and prepayments:			
1251 Repayments and prepayments .....	-671	-473	-424
1251 Repayments and prepayments: Debt Reduction .....		-72	-29
Write-offs for default:			
Other adjustments, net:			
1264 Other adjustments, net .....	22		
1264 Other adjustments, net: Debt Reduction .....		-441	-163
1290 Outstanding, end of year .....	5,511	4,605	4,069

## Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4027-0-3-155	1996 actual	1997 est.	1998 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4,010	3,201	2,918
2231 Disbursements of new guaranteed loans .....	211	275	
2251 Repayments and prepayments .....	-1,020	-558	-536
2290 Outstanding, end of year .....	3,201	2,918	2,382
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	3,201	2,288	2,382

## DATA ON DIRECT LOANS

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
Undisbursed loan authorizations, end of year .....	4,809	5,524	6,020
Credit authorizations .....	1,236	1,900	1,660
Credit cancellations .....	423	464	470
Loan disbursements .....	1,045	721	829
Capitalized interest .....	23	61	61
Loan principal repayments .....	789	646	657
Loan write-offs .....	19	0	0
Loans outstanding, end of year .....	7,888	8,024	8,257

## DATA ON GUARANTEES

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
Undisbursed balance, end of year .....	15,425	19,166	23,163
Authorizations .....	6,413	9,092	9,981
Cancellations .....	620	3,085	3,833
Shipments .....	2,386	2,265	2,151
Principal repayments .....	3,252	3,087	2,932
Outstanding balance, end of year .....	16,284	15,462	14,681

## DATA ON INSURANCE

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
Undisbursed balance, end of year .....	6,227	6,746	7,289
Authorizations .....	3,868	5,030	5,430
Cancellations .....	1,217	1,868	2,024
Shipments .....	2,637	2,643	2,863
Principal repayments .....	2,285	2,502	2,721
Outstanding balance, end of year .....	1,486	1,627	1,770

## DATA ON GRANT PORTION OF TIED-AID CREDIT

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
Grant portion of tied-aid credit .....	29	45	45
Estimated outlays .....	8	7	7

## POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY

[In millions of dollars]

	1995 actual	1996 actual	1997 est.	1998 est.
Statutory authority .....	75,000	75,000	75,000	75,000
Charges against authority:				
Loan Program:				
Loans Outstanding .....	7,485	7,888	8,024	8,257
Loans Undisbursed .....	4,754	4,809	5,524	6,020
Rescheduled Claims .....	1,875	1,839	1,839	1,839
Subtotal .....	14,114	14,536	15,387	16,116
Export guarantees and insurance program:				
Export Credit Insurance .....	12,610	7,713	8,374	9,059
Export Credit Guarantees .....	29,167	31,709	34,628	37,845
Subtotal .....	41,777	39,422	43,002	46,904
Total Charges against authority .....	55,891	53,958	58,389	63,020
Unused Authority .....	19,109	21,042	16,611	11,980

*Operating results and financial condition.*—The bank is a wholly owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury, and the bank is authorized to borrow up to \$6 billion from the Treasury. The bank pays interest on such borrowings.

The bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the bank's loans are impaired. It

does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The bank's net operating income was \$1,241 million in 1996. Total Government equity in the corporation was \$1,973 million on September 30, 1996.

## Statement of Operations (in millions of dollars)

Identification code 83-4027-0-3-155	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	586	571	600	630
0102 Expense .....	-1,924	-148	-150	-150
0109 Net income .....	-1,338	423	450	480

## Balance Sheet (in millions of dollars)

Identification code 83-4027-0-3-155	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	94	75	50	40
Investments in US securities:				
1102 Treasury securities, par .....	135	473	418	318
1206 Non-Federal assets: Receivables, net .....	15	15	10	5
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
Direct loans, gross:				
1601 Direct loans, gross .....	6,138	5,511	5,118	4,774
1601 Direct loans, gross [Debt Reduction] .....			-513	-705
1602 Interest receivable .....	42	47	44	42
1603 Allowance for estimated uncollectible loans and interest (-) .....	-1,586	-1,567	-1,540	-1,500
1699 Value of assets related to direct loans .....	4,594	3,991	3,109	2,611
1701 Defaulted guaranteed loans, gross .....	2,526	2,435	2,300	2,100
1702 Interest receivable .....	17	21	20	18
1703 Allowance for estimated uncollectible loans and interest (-) .....	-3,625	-1,440	-1,200	-1,000
1704 Defaulted guaranteed loans and interest receivable, net .....	-1,082	1,016	1,120	1,118
1799 Value of assets related to loan guarantees .....	-1,082	1,016	1,120	1,118
1999 Total assets .....	3,756	5,570	4,707	4,092
<b>LIABILITIES:</b>				
Federal liabilities:				
2102 Interest payable .....	20	14	10	8
2103 Debt .....	2,506	1,821	1,295	1,017
Non-Federal liabilities:				
2202 Interest payable .....	7	1	1	1
2203 Debt .....	280	20	20	20
2204 Liabilities for loan guarantees .....	204	269	200	150
2207 Other .....	3,353	1,000	750	500
2999 Total liabilities .....	6,370	3,125	2,276	1,696
<b>NET POSITION:</b>				
3100 Appropriated capital .....	282	103	100	75
3200 Invested capital .....	1,000	1,000	1,000	1,000
Cumulative results of operations:				
3300 Cumulative results of operations .....	-3,896	1,342	1,844	2,026
3300 Cumulative results of operations [Debt Reduction] .....			-513	-705
3999 Total net position .....	-2,614	2,445	2,431	2,396
4999 Total liabilities and net position .....	3,756	5,570	4,707	4,092

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

## Object Classification (in millions of dollars)

Identification code 83-4027-0-3-155	1996 actual	1997 est.	1998 est.
33.0 Investments and loans .....	-21	134	109
43.0 Interest and dividends .....	181	52	68
99.9 Total obligations .....	160	186	177

## FARM CREDIT ADMINISTRATION

## Federal Funds

## Public enterprise funds:

## LIMITATION OF ADMINISTRATIVE EXPENSES

Not to exceed **[\$37,478,000]** \$34,423,000 (from assessments collected from farm credit institutions and from the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided, That this limitation shall not apply to expenses associated with receiverships. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1997.)*

## Program and Financing (in millions of dollars)

Identification code 78-4131-0-3-351	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	35	36	35
00.03 Refunds to clients .....	3	2	
10.00 Total obligations .....	38	38	35
<b>Budgetary resources available for obligation:</b>			
21.91 Unobligated balance available, start of year: U.S. Securities: Par value .....	4	3	3
22.00 New budget authority (gross) .....	38	38	35
22.10 Resources available from recoveries of prior year obligations .....	-1		
23.90 Total budgetary resources available for obligation .....	41	42	38
23.95 New obligations .....	-38	-38	-35
24.91 Unobligated balance available, end of year: U.S. Securities: Par value .....	3	3	3
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	38	38	35
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.90 Fund balance .....	1	1	1
72.91 U.S. Securities: Par value .....	9	8	8
72.99 Total unpaid obligations, start of year .....	10	9	9
73.10 New obligations .....	38	38	35
73.20 Total outlays (gross) .....	-40	-38	-35
73.45 Adjustments in unexpired accounts .....	1		
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Fund balance .....	1	1	1
74.91 U.S. Securities: Par value .....	8	8	8
74.99 Total unpaid obligations, end of year .....	9	9	9
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	38	38	35
86.98 Outlays from permanent balances .....	2		
87.00 Total outlays (gross) .....	40	38	35
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-38	-38	-35
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	2		

**Public enterprise funds—Continued**

**LIMITATION OF ADMINISTRATIVE EXPENSES—Continued**

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness. The System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Beginning in 1990, the FCA also performs annual examinations of the Federal Agricultural Mortgage Corporation. In addition, FCA annually examines The National Consumer Cooperative Bank and its affiliate, The NCCB Development Corporation.

As of October 1, 1996, the System is comprised of six Farm Credit Banks, one Agricultural Credit Bank, one bank for cooperatives, 227 associations, five service corporations, and three institutions, including the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank and bank for cooperatives lend to eligible cooperative borrowers nationwide.

Assessments based upon estimated administrative expenses are collected from institutions in the System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

**Balance Sheet (in millions of dollars)**

Identification code 78-4131-0-3-351	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	2	1	1	1
1102 Investments in US securities: Treasury securities, par .....	13	11	11	11
1207 Non-Federal assets: Advances and pre-payments .....	1			
1803 Other Federal assets: Property, plant and equipment, net .....		2	2	2
1999 Total assets .....	16	14	14	14
<b>LIABILITIES:</b>				
2101 Federal liabilities: Accounts payable .....	3			
2201 Non-Federal liabilities: Accounts payable .....	12	13	13	13
2999 Total liabilities .....	15	13	13	13
<b>NET POSITION:</b>				
3100 Appropriated capital .....	1	1	1	1
3999 Total net position .....	1	1	1	1
4999 Total liabilities and net position .....	16	14	14	14

**Object Classification (in millions of dollars)**

Identification code 78-4131-0-3-351	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	22	21	21
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	24	23	23
12.1 Civilian personnel benefits .....	6	5	5
13.0 Benefits for former personnel .....	1	1	
21.0 Travel and transportation of persons .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	2	2
31.0 Equipment .....		1	1
44.0 Refunds .....	3	2	
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	38	38	35

**Personnel Summary**

Identification code 78-4131-0-3-351	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	362	330	310
2005 Full-time equivalent of overtime and holiday hours .....	1	1	1

**FARM CREDIT SYSTEM FINANCIAL ASSISTANCE CORPORATION**

**FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND, LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 78-4134-0-3-351	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.02 Interest expenses .....	117	117	117
10.00 Total obligations (object class 43.0) .....	117	117	117
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value .....	749	820	932
21.92 Unrealized discounts .....	-333	-361	-395
21.99 Total unobligated balance, start of year .....	416	459	537
22.00 New budget authority (gross) .....	160	194	199
23.90 Total budgetary resources available for obligation .....	576	653	736
23.95 New obligations .....	-117	-117	-117
Unobligated balance available, end of year:			
U.S. Securities:			
24.91 Par value .....	820	932	1,070
24.92 Unrealized discounts .....	-361	-395	-449
24.99 Total unobligated balance, end of year .....	459	537	621
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	160	194	199
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	117	117	117
73.20 Total outlays (gross) .....	-117	-117	-117
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	117	117	117
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-40	-43	-46
88.40 Non-Federal sources .....	-120	-151	-153
88.90 Total, offsetting collections (cash) .....	-160	-194	-199
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-44	-77	-82

**Status of Direct Loans (in millions of dollars)**

Identification code 78-4134-0-3-351	1996 actual	1997 est.	1998 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	1,261	1,261	1,261
1251 Repayments: Repayments and prepayments .....			
1290 Outstanding, end of year .....	1,261	1,261	1,261

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to System institutions experiencing financial difficulties. Authority for FAC to issue obligations and provide assistance expired in 1992, after \$1.26 billion in FAC debt had been issued. Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC was re-classified from a Government-sponsored enterprise to a federal entity beginning in 1993, when most of the private capital in FAC, provided by the System, was rebated from the FAC Trust Fund pursuant to the 1989 Reconciliation and Agriculture Appropriations Acts.

Except for debt issued for Capital Preservation cash-outs, the U.S. Treasury pays all the interest on 15-year,

uncollateralized FAC obligations in the first five years, and up to half the interest in the second five years. The system is responsible for a greater share of the interest payment in the second five years if retained earnings exceed five percent of assets. FAC estimates that the system will pay 87 percent of the fiscal year 1996 expense, 91 percent of the fiscal year 1997 expense, and 93 percent of the fiscal year 1998 expense. The System is required to eventually reimburse Treasury for these payments, and will redeem FAC debt upon maturity or call.

The FAC Trust Fund holds and rebates the private capital contributed by the System. Remaining amounts in the Trust Fund are available to cover System defaults on FAC principal and interest payments.

**Trust Funds**

**FINANCIAL ASSISTANCE CORPORATION TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 78-8202-0-7-351	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.02 Interest on investments	5	6	6
Appropriation:			
05.01 Financial assistance corporation trust fund	-5	-6	-6
07.99 Total balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 78-8202-0-7-351	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.41 Par value	109	109	109
21.42 Unrealized discounts	-29	-24	-18
21.99 Total unobligated balance, start of year	80	85	91
22.00 New budget authority (gross)	5	6	6
23.90 Total budgetary resources available for obligation	85	91	97
23.95 New obligations			
Unobligated balance available, end of year:			
U.S. Securities:			
24.41 Par value	109	109	109
24.42 Unrealized discounts	-24	-18	-12
24.99 Total unobligated balance, end of year	85	91	97

<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	5	6	6

<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances			

<b>Net budget authority and outlays:</b>			
89.00 Budget authority	5	6	6
90.00 Outlays			

**FARM CREDIT SYSTEM INSURANCE CORPORATION**

**Federal Funds**

**Public enterprise funds:**

**FARM CREDIT SYSTEM INSURANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 78-4171-0-3-351	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Administrative operating expense	1	2	2
10.00 Total obligations	1	2	2

<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value	884	1,026	1,166
21.92 Unrealized discounts	-5	-8	-8
21.99 Total unobligated balance, start of year	879	1,018	1,158
22.00 New budget authority (gross)	140	142	149
23.90 Total budgetary resources available for obligation	1,019	1,160	1,307
23.95 New obligations	-1	-2	-2
Unobligated balance available, end of year:			
U.S. Securities:			
24.91 Par value	1,026	1,166	1,313
24.92 Unrealized discounts	-8	-8	-8
24.99 Total unobligated balance, end of year	1,018	1,158	1,305

<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	140	142	149

<b>Change in unpaid obligations:</b>			
73.10 New obligations	1	2	2
73.20 Total outlays (gross)	-1	-2	-2

<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	1	2	2

<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	-60	-66	-72
88.40 Non-Federal sources	-80	-76	-77
88.90 Total, offsetting collections (cash)	-140	-142	-149

<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-139	-140	-147

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same members as the Farm Credit Administration Board of Directors. The Corporation collects insurance premiums from insured System banks based on the level of accruing and non-accruing loans outstanding in each bank and its affiliated associations' loan portfolio. The Corporation derives its revenues from these yearly premiums and from the investment income earned on its investment portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Directors to be actuarially sound to maintain the Insurance Fund.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation and satisfy defaults by system institutions on obligations issued by the FAC after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Farm Credit System Reform Act, enacted in early 1996, removed the legislative requirement for a full-time separate Board for the Insurance Corporation. The Act also: (1) changed the Corporation's authority to provide financial assistance, including new cost test requirements; (2) granted flexibility to reduce insurance premiums before reaching the secure base amount; and, (3) granted authority to make refunds of excess Insurance Fund balances. No refunds are anticipated through 1998.

**Public enterprise funds—Continued**

**FARM CREDIT SYSTEM INSURANCE FUND—Continued**

Statement of Operations (in millions of dollars)

Identification code 78-4171-0-3-351	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	131	144	145	92
0102 Expense .....	12	-10	-11	-11
0109 Net income or loss (-) .....	143	134	134	81

**Balance Sheet (in millions of dollars)**

Identification code 78-4171-0-3-351	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....			1	1
Investments in US securities:				
1102 Treasury securities, par .....	884	1,026	1,166	1,313
Non-Federal assets:				
Receivables, net:				
1206 Accrued interest receivable .....	16	18	16	18
1206 Premium receivable .....	57	63	63	
1999 Total assets .....	957	1,107	1,246	1,332
<b>LIABILITIES:</b>				
Federal liabilities: Resources payable to Treasury .....				
2104 .....	120	128	137	146
2999 Total liabilities .....	120	128	137	146
<b>NET POSITION:</b>				
3100 Appropriated capital .....	837	979	1,109	1,186
3999 Total net position .....	837	979	1,109	1,186
4999 Total liabilities and net position .....	957	1,107	1,246	1,332

**Object Classification (in millions of dollars)**

Identification code 78-4171-0-3-351	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	1
99.0 Subtotal, reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....		1	1
99.9 Total obligations .....	1	2	2

**Personnel Summary**

Identification code 78-4171-0-3-351	1996 actual	1997 est.	1998 est.
2001 Total compensable workyears: Full-time equivalent employment .....	10	10	10

**FEDERAL COMMUNICATIONS COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-02; not to exceed \$600,000 for land and structure; not to exceed \$500,000 for improvement and care of grounds and repair to buildings; not to exceed \$4,000 for official reception and representation expenses; purchase (not to exceed sixteen) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109; **[\$189,079,000] \$219,079,000**, of which not to exceed \$300,000 shall remain available until September 30, **[1998] 1999**, for research and policy studies: *Provided*, That **[\$152,523,000] \$162,523,000** of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year **[1997] 1998** so as to result in a final fiscal year **[1997] 1998** appropriation estimated at **[\$36,556,000] \$56,556,000**: *Provided further*, That any offsetting collections received in excess of

**[\$152,523,000] \$162,523,000** in fiscal year **[1997] 1998** shall remain available until expended, but shall not be available for obligation until October 1, **[1997] 1998**. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 27-0100-0-1-376	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Authorization of service .....	41	36	31
00.02 Policy and rulemaking .....	8		11
00.03 Enforcement .....	7		10
00.04 Public information services .....	3		4
00.91 Total direct program .....	59	36	56
01.01 Reimbursable program .....	143	184	186
10.00 Total obligations .....	202	220	242

**Budgetary resources available for obligation:**

Unobligated balance available, start of year:			
21.40 Uninvested balance .....	4		
21.90 Fund balance .....	4	8	
21.99 Total unobligated balance, start of year .....	8	8	
22.00 New budget authority (gross) .....	202	212	242
23.90 Total budgetary resources available for obligation .....	210	220	242
23.95 New obligations .....	-202	-220	-242
24.90 Unobligated balance available, end of year: Fund balance .....	8		

**New budget authority (gross), detail:**

Current:			
40.00 Appropriation .....	59	36	56
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Spending authority from offsetting collections (reimbursable Federal) .....	1	1	1
68.00 Spending authority from offsetting collections (regulatory fees) .....	142	175	185
68.90 Spending authority from offsetting collections (total) .....	143	176	186
70.00 Total new budget authority (gross) .....	202	212	242

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation .....	33	33	33
72.90 Fund balance .....		6	16
72.99 Total unpaid obligations, start of year .....	33	39	49
73.10 New Obligations .....	202	220	242
73.20 Total outlays (gross) .....	-195	-210	-241
73.40 Adjustments in expired accounts .....	-1		
73.45 Adjustments in unexpired accounts .....			
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation .....	33	33	34
74.90 Fund balance .....	6	16	16
74.99 Total unpaid obligations, end of year .....	39	49	50

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	52	34	53
86.93 Outlays from current balances .....			2
86.97 Outlays from new permanent authority .....	143	176	186
86.98 Outlays from permanent balances .....			
87.00 Total outlays (gross) .....	195	210	241

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
Non-Federal sources:			
88.40 Cost of conducting spectrum auctions .....	-18	-22	-22
88.40 Regulatory fees .....	-124	-153	-163
88.90 Total, offsetting collections (cash) .....	-143	-176	-186

Net budget authority and outlays:				
89.00	Budget authority .....	59	36	56
90.00	Outlays .....	52	34	56

**Authorization of Service.**—This activity includes the authorization or licensing of radio stations, telecommunications equipment and radio operators, as well as the authorization of common carrier and other services and facilities. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with authorization activities.

**Policy and Rule Making.**—This activity includes: formal inquiries, rule making proceedings to establish or amend the Federal Communications Commission's (FCC or Commission) rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers; economic studies and analyses; spectrum planning, modeling, propagation-interference analyses and allocation; and development of equipment standards. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with policy and rule making activities.

**Enforcement.**—This activity includes enforcement of the Commission's rules, regulations and authorizations, including investigations, inspections, compliance monitoring and sanctions of all types. Also included are the receipt and disposition of formal and informal complaints regarding common carrier rates and services, the review and acceptance/rejection of carrier tariffs, and the review, prescription and audit of carrier accounting practices. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

**Public Information Services.**—This activity includes: the publication and dissemination of Commission decisions and actions, and related activities; public reference and library services; the duplication and dissemination of Commission records and databases; the receipt and disposition of public inquiries; consumer, small business and public assistance; and public affairs and media relations. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with public information activities.

Object Classification (in millions of dollars)

Identification code 27-0100-0-1-376	1996 actual	1997 est.	1998 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	35	23	16
11.3	Other than full-time permanent .....	2	1	1
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	38	24	17
12.1	Civilian personnel benefits .....	8	5	3
21.0	Travel and transportation of persons .....			
23.1	Rental payments to GSA .....	5	3	2
23.3	Communications, utilities, and miscellaneous charges .....	2	1	1
24.0	Printing and reproduction .....			
25.2	Other services .....	1	1	12
25.3	Purchases of goods and services from Government accounts .....	1		4
25.4	Operation and maintenance of facilities .....			
25.7	Operation and maintenance of equipment .....	2	1	1
26.0	Supplies and materials .....	1		3
31.0	Equipment .....	1	1	13
99.0	Subtotal, direct obligations .....	59	36	56
99.0	Reimbursable obligations .....	143	184	186
99.9	Total obligations .....	202	220	242

Personnel Summary

Identification code 27-0100-0-1-376	1996 actual	1997 est.	1998 est.	
Direct:				
Total compensable workyears:				
1001	Full-time equivalent employment .....	655	435	297
1005	Full-time equivalent of overtime and holiday hours .....	14	12	12
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment .....	1,391	1,815	1,853

UNIVERSAL SERVICE FUND

Unavailable Collections (in millions of dollars)

Identification code 27-5183-0-2-376	1996 actual	1997 est.	1998 est.	
Balance, start of year:				
01.99	Balance, start of year .....	13	13	13
Receipts:				
02.01	Universal service fund .....	944	1,400	2,240
04.00	Total: Balances and collections .....	957	1,413	2,253
Appropriation:				
05.01	Universal service fund .....	-944	-1,400	-2,240
07.99	Total balance, end of year .....	13	13	13

Program and Financing (in millions of dollars)

Identification code 27-5183-0-2-376	1996 actual	1997 est.	1998 est.	
Obligations by program activity:				
10.00	Total obligations (object class 41.0) .....	957	1,400	2,240
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance .....	13		
22.00	New budget authority (gross) .....	944	1,400	2,240
23.90	Total budgetary resources available for obligation .....	957	1,400	2,240
23.95	New obligations .....	-957	-1,400	-2,240
New budget authority (gross), detail:				
60.25	Appropriation (special fund, indefinite) .....	944	1,400	2,240
Change in unpaid obligations:				
73.10	New obligations .....	957	1,400	2,240
73.20	Total outlays (gross) .....	-957	-1,400	-2,240
Outlays (gross), detail:				
86.97	Outlays from new permanent authority .....	944	1,400	2,240
86.98	Outlays from permanent balances .....	13		
87.00	Total outlays (gross) .....	957	1,400	2,240

Net budget authority and outlays:

89.00	Budget authority .....	944	1,400	2,240
90.00	Outlays .....	957	1,400	2,240

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, telecommunications carriers that provide interstate telecommunications services would be required to contribute funds, as prescribed by the FCC, to the preservation and advancement of universal service. The contributions would be used to provide services eligible for universal service support as prescribed by the FCC. Telecommunications carriers receive a credit towards their contribution by providing discount service to schools, libraries, and health care providers. Support will also be provided to carriers offering services in high cost areas of the United States and to carriers offering services to low income consumers.

The estimates of fund costs presented here are based on the best information currently available. The Commission is, however, at a very early stage in determining the proxy model

**General and special funds—Continued**

**UNIVERSAL SERVICE FUND—Continued**

that it would use to determine costs of service for rural and high cost areas and the share of those costs that it would expect a carrier to recover from other sources. For this reason, the size of the fund required to support universal service in rural and high cost areas may differ from the estimates presented here.

**Credit accounts:**

**SPECTRUM AUCTION PROGRAM ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 27-0300-0-1-376	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan subsidy .....	1	836	386
00.02 Administrative expenses .....		2	2
10.00 Total obligations .....	1	838	388
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	838	388
23.95 New obligations .....	-1	-838	-388
<b>New budget authority (gross), detail:</b>			
60.05 Appropriation (indefinite) .....	1	838	388
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	838	388
73.20 Total outlays (gross) .....	-1	-838	-388
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	1	838	388
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	838	388
90.00 Outlays .....	1	838	388

This program provides for direct loans for the purpose of spectrum licenses at the Federal Communications Commission's auctions. The licenses are being purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 27-0300-0-1-376	1996 actual	1997 est.	1998 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
1150 Direct loan levels .....	115	6,980	3,220
1159 Total direct loan levels .....	115	6,980	3,220
<b>Direct loan subsidy (in percent):</b>			
1320 Subsidy rate .....	0.74	11.98	11.98
1329 Weighted average subsidy rate .....	0.74	11.98	11.98
<b>Direct loan subsidy budget authority:</b>			
1330 Subsidy budget authority .....	1	836	386
1339 Total subsidy budget authority .....	1	836	386
<b>Direct loan subsidy outlays:</b>			
1340 Subsidy outlays .....	1	836	386
1349 Total subsidy outlays .....	1	836	386

**Object Classification (in millions of dollars)**

Identification code 27-0300-0-1-376	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....		1	1
11.9 Total personnel compensation .....		1	1
25.2 Other services .....		1	1
41.0 Grants, subsidies, and contributions .....	1	836	386
99.9 Total obligations .....	1	838	388

**Personnel Summary**

Identification code 27-0300-0-1-376	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....		5	5

**SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 27-4133-0-3-376	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Operating expenses:</b>			
00.01 Direct Loans .....	115	6,980	3,220
00.02 Interest paid to Treasury .....		389	561
10.00 Total obligations .....	115	7,369	3,781
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross) .....	115	7,369	3,781
23.95 New obligations .....	-115	-7,369	-3,781
<b>New financing authority (gross), detail:</b>			
67.10 Authority to borrow .....	114	6,144	2,834
<b>Spending authority from offsetting collections:</b>			
68.00 Offsetting collections (cash) .....	1	1,347	1,408
68.47 Portion applied to debt reduction .....		-122	-461
68.90 Spending authority from offsetting collections (total) .....	1	1,225	947
70.00 Total new financing authority (gross) .....	115	7,369	3,781
<b>Change in unpaid obligations:</b>			
72.95 Unpaid obligations, start of year: Receivables from program account .....			
73.10 New obligations .....	115	7,369	3,781
73.20 Total financing disbursements (gross) .....	-115	-7,369	-3,781
74.95 Unpaid obligations, end of year: Receivables from program account .....			
87.00 Total financing disbursements (gross) .....	115	7,369	3,781
<b>Offsets:</b>			
<b>Against gross financing authority and financing disbursements:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources: payment from program account .....	-1	-836	-386
<b>Non-Federal sources:</b>			
88.40 Interest received on loans .....		-389	-561
88.40 Repayment of principal .....		-122	-461
88.90 Total, offsetting collections (cash) .....	-1	-1,347	-1,408
88.95 Change in receivables from program accounts .....			
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....	114	6,022	2,373
90.00 Financing disbursements .....	114	6,022	2,373

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Status of Direct Loans (in millions of dollars)

Identification code 27-4133-0-3-376	1996 actual	1997 est.	1998 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation	115	6,980	3,220
1150 Total direct loan obligations	115	6,980	3,220
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year		115	6,973
1231 Disbursements: Direct loan disbursements	115	6,980	3,220
1251 Repayments: Repayments and prepayments		-122	-461
1290 Outstanding, end of year	115	6,973	9,732

Balance Sheet (in millions of dollars)

Identification code 27-4133-0-3-376	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross		115	6,973	9,732
1405 Allowance for subsidy cost (-)		-1	-837	-1,223
1499 Net present value of assets related to direct loans		114	6,136	8,509
1999 Total assets		114	6,136	8,509
<b>LIABILITIES:</b>				
2103 Federal liabilities: Resources payable to Treasury		114	6,136	8,509
2999 Total liabilities		114	6,136	8,509
4999 Total liabilities and net position		114	6,136	8,509

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Offsetting receipts from the public:			
27-242900 Fees for services	43	40	40
27-247400 Auction receipts	342	7,961	9,359
Legislative proposal, subject to PAYGO			2,100
General Fund Offsetting receipts from the public	385	8,001	11,499

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Corporation to use the least costly method to resolve failed banks and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.

The deposit insurance ceiling protection has been \$100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assessment rates for insured financial institutions semi-annually to build and maintain the reserves of the BIF and SAIF to 1.25 percent of total insured deposits.

Federal Funds

Public enterprise funds:

BANK INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51-4064-0-3-373	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.01 Net corporate operating expenses	473	522	627
00.02 Net Office of inspector general expenses	10	17	17
00.03 Operating expenses on behalf of receiverships	447	465	358
00.91 Subtotal, administrative expenses	930	1,004	1,002
Capital investment:			
01.01 Purchase of assets	274	270	1,080
01.02 Case resolution losses	23	49	195
01.91 Subtotal, capital investment	297	319	1,275
10.00 Total obligations	1,227	1,323	2,277

Budgetary resources available for obligation:

Unobligated balance available, start of year:			
21.90 Fund balance		5	
U.S. Securities:			
21.91 Par value	20,943	22,094	25,297
21.92 Unrealized discounts	-246	-329	
21.99 Total unobligated balance, start of year	20,697	21,770	25,297
22.00 New budget authority (gross)	2,189	4,851	3,019
22.10 Resources available from recoveries of prior year obligations		111	
23.90 Total budgetary resources available for obligation	22,997	26,621	28,316
23.95 New obligations	-1,227	-1,323	-2,277
Unobligated balance available, end of year:			
24.90 Fund balance		5	
U.S. Securities:			
24.91 Par value	22,094	25,297	26,040
24.92 Unrealized discounts	-329		
24.99 Total unobligated balance, end of year	21,770	25,297	26,040

New budget authority (gross), detail:

68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	2,189	4,851	3,019

Change in unpaid obligations:

72.91 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	74	90	90
73.10 New obligations	1,227	1,323	2,277
73.20 Total outlays (gross)	-1,100	-1,323	-1,919
73.45 Adjustments in unexpired accounts	-111		
74.91 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	90	90	448

Outlays (gross), detail:

86.97 Outlays from new permanent authority			
86.98 Outlays from permanent balances	1,100	1,323	1,919
87.00 Total outlays (gross)	1,100	1,323	1,919

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	-1,186	-1,401	-1,252
Non-Federal sources:			
88.40 Asset recoveries	-561	-2,944	-1,367
88.40 Premium assessments	-67	-41	-42
88.40 Reimbursement of operating expense by receiverships	-360	-465	-358
88.40 Other receipts	-15		
88.90 Total, offsetting collections (cash)	-2,189	-4,851	-3,019

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1,088	-3,528	-1,100

Summary of Budget Authority and Outlays

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority			

**Public enterprise funds—Continued**

**BANK INSURANCE FUND—Continued**

**Summary of Budget Authority and Outlays—Continued**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Outlays .....	-1,089	-3,528	-1,100
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-79
Outlays .....			-81
<b>Total:</b>			
Budget Authority .....			-79
Outlays .....	-1,089	-3,528	-1,181

**Status of Direct Loans (in millions of dollars)**

Identification code 51-4064-0-3-373	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	132	100	100
1251 Repayments: Repayments and prepayments .....	-32		
1290 Outstanding, end of year .....	100	100	100

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by insured banks. The fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks and for the payment of administrative and insurance expenses. As of September 1996, BIF's fund balance totaled \$26.3 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to \$30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the \$30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

**Statement of Operations (in millions of dollars)**

Identification code 51-4064-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
Revenue:				
0101 Interest on Treasury securities .....	964	1,261	1,401	1,252
0101 Premium assessments .....	4,017	315	41	42
0101 Other .....	123	125		
Expense:				
0102 Administrative and operating expenses .....	-443	-483	-539	-644
0102 Interest and insurance expenses .....	-69	-78	-48	
0102 Expenses incurred in protecting depositors in banks .....	195	-285	-49	-195
0102 Other .....	-23	-3		
0109 Net income or loss (-) .....	4,764	852	806	455
0191 Total revenues .....	5,104	1,701	1,442	1,294
0192 Total expenses .....	-340	-849	-636	-839
0199 Net income or loss .....	4,764	852	806	455

**Balance Sheet (in millions of dollars)**

Identification code 51-4064-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury .....		5	5	5
Investments in US securities:				
1102 Treasury securities, par .....	20,991	22,130	25,300	26,679
1106 Receivables, net .....	375	323	323	323
1206 Non-Federal assets: Receivables, net .....	45	31	25	25
Other Federal assets:				
1801 Cash and other monetary assets .....	8	57	57	57
1803 Property, plant and equipment, net .....	153	149	149	149
1901 Other assets .....	5,506	4,358	2,471	1,547

1999 Total assets .....	27,078	27,053	28,330	28,785
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable .....	48	59	59	59
2104 Liabilities incurred in failed banks .....	124	184	202	202
Non-Federal liabilities:				
2201 Accounts payable .....	78	81	81	81
2206 Pension and other actuarial liabilities .....	375	390	390	390
Other:				
2207 Unearned revenue .....	979	14	466	466
2207 Litigation losses .....	19	13	13	13
1999 Total liabilities .....	1,623	741	1,211	1,211
NET POSITION: <sup>1</sup>				
3300 Cumulative results of operations .....	25,454	26,311	27,118	27,573
3999 Total net position .....	25,454	26,311	27,118	27,573
4999 Total liabilities and net position .....	27,077	27,052	28,329	28,784

<sup>1</sup>Total net position does not include reserves for future bank resolution costs. The FDIC estimates reserves of \$157 million in 1996, \$110 million in 1997, and \$211 million in 1998.

**Object Classification (in millions of dollars)**

Identification code 51-4064-0-3-373	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	491	526	526
12.1 Civilian personnel benefits .....	194	207	207
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	41	44	44
22.0 Transportation of things .....	2	2	2
23.2 Rental payments to others .....	46	49	49
23.3 Communications, utilities, and miscellaneous charges .....	24	26	26
24.0 Printing and reproduction .....	3	3	3
25.2 Other services .....	73	75	78
26.0 Supplies and materials .....	13	13	13
31.0 Equipment .....	19	19	19
32.0 Land and structures .....	6	7	7
Undistributed:			
92.0 Miscellaneous and liquidation expenses .....	274	270	1,080
92.0 Undistributed resolution outlays .....	22	49	195
92.0 Undistributed (Office of inspector general operating expenses) .....	18	32	27
99.9 Total obligations <sup>1</sup> .....	1,227	1,323	2,277

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

**Personnel Summary**

Identification code 51-4064-0-3-373	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7,821	6,333	5,922

**BANK INSURANCE FUND  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 51-4064-4-3-373	1996 actual	1997 est.	1998 est.
Budgetary resources available for obligation:			
21.91 Unobligated balance available, start of year: U.S. Securities: Par value .....			
22.00 New budget authority (gross) .....			81
23.90 Total budgetary resources available for obligation .....			81
23.95 New obligations .....			
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....			81

**New budget authority (gross), detail:**

68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....			81

**Outlays (gross), detail:**

86.97 Outlays from new permanent authority .....			
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**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities .....			-2
88.40 Exam fees .....			-79

88.90	Total, offsetting collections (cash) .....	-81
<b>Net budget authority and outlays:</b>		
89.00	Budget authority .....	
90.00	Outlays .....	-81

**Statement of Operations (in millions of dollars)**

Identification code 51-4064-4-3-373	1995 actual	1996 actual	1997 est.	1998 est.
<b>Revenue:</b>				
0101	Interest on Treasury securities .....			2
0101	Exam fees .....			79
0102	Administrative and operating expenses .....			
0109	Net income or loss (-) .....			81
0191	Total revenues .....			81
0192	Total expenses .....			
0199	Net income or loss .....			81

**Balance Sheet (in millions of dollars)**

Identification code 51-4064-4-3-373	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Investments in US securities:				
1102	Federal assets: Treasury securities, par .....			81
1999	Total assets .....			81
<b>NET POSITION:</b>				
3300	Cumulative results of operations .....			81
3999	Total net position .....			81
4999	Total liabilities and net position .....			81

The Administration has proposed that all bank holding companies and FDIC-insured banks be required to pay fees to the appropriate Federal banking agency in amounts sufficient to defray the agency's cost of supervising such institutions. In establishing fees for State banks, the appropriate Federal banking agency shall take into account the extent to which State bank supervision reduces the need for Federal supervision. Fees would not apply to State banks with assets of less than \$100 million. Currently, some financial institutions are not required to pay Federal fees for examinations. This proposal is intended to reduce the inequity among FDIC-insured banks.

**SAVINGS ASSOCIATION INSURANCE FUND****Program and Financing (in millions of dollars)**

Identification code 51-4066-0-3-373	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
Administrative expenses:				
00.01	Net corporate operating expenses .....	70	89	93
00.02	Net Office of inspector general operating expense .....	2	2	3
00.03	Operating expense on behalf of receiverships .....	1	4	3
00.91	Subtotal, administrative expenses .....	73	95	99
Capital investment:				
01.02	Working capital disbursements (purchase of assets) .....	38	125	125
01.03	Net case resolution expenses (losses) .....	10	26	26
01.91	Subtotal, capital investment .....	48	151	151
10.00	Total obligations .....	121	246	250
<b>Budgetary resources available for obligation:</b>				
Unobligated balance available, start of year:				
U.S. Securities:				
21.91	Par value .....	3,592	4,659	9,158
21.92	Unrealized discounts .....	-18	-36	
21.99	Total unobligated balance, start of year .....	3,574	4,623	9,158
22.00	New budget authority (gross) .....	1,163	4,781	656

22.10	Resources available from recoveries of prior year obligations .....	8		
23.90	Total budgetary resources available for obligation .....	4,745	9,404	9,814
23.95	New obligations .....	-121	-246	-250
Unobligated balance available, end of year:				
U.S. Securities:				
24.91	Par value .....	4,659	9,158	9,563
24.92	Unrealized discounts .....	-36		
24.99	Total unobligated balance, end of year .....	4,623	9,158	9,563

**New budget authority (gross), detail:**

68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash) .....	1,163	4,781	656

**Change in unpaid obligations:**

72.91	Unpaid obligations, start of year: Obligated balance:			
	U.S. Securities: Par value .....	7	17	17
73.10	New obligations .....	121	246	250
73.20	Total outlays (gross) .....	-103	-246	-250
73.45	Adjustments in unexpired accounts .....	-8		
74.91	Unpaid obligations, end of year: Obligated balance:			
	U.S. Securities: Par value .....	17	17	17

**Outlays (gross), detail:**

86.98	Outlays from permanent balances .....	103	246	250
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**Offsets:**

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.20	Interest on U.S. securities .....	-174	-395	-517
Non-Federal sources:				
88.40	Asset recoveries .....	-16	-61	-95
88.40	Premium assessments .....	-951	-4,325	-44
88.40	Exit/entrance fees .....	-15		
88.40	Interest on exit fees .....	-7		
88.90	Total, offsetting collections (cash) .....	-1,163	-4,781	-656

**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....	-1,059	-4,535	-405

The SAIF insures depository institutions formerly insured by the FSLIC. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The Deposit Insurance Funds Act of 1996 imposed a special assessment to bring SAIF's reserves up to 1.25 percent of insured deposits. It also provides for the merger of BIF and SAIF on January 1, 1999, provided that no insured depository institution is a savings association on that date.

**Statement of Operations (in millions of dollars)**

Identification code 51-4066-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.	
<b>Revenue:</b>					
0101	Income from U.S. securities .....	148	220	395	516
0101	Insurance assessments .....	1,132	884	4,325	44
<b>Expense:</b>					
0102	Insurance losses .....	-10	-26	-26	-26
0102	Administrative and operating expenses .....	-18	-72	-95	-99
0109	Net income or loss (-) .....	1,262	1,022	4,599	435
0191	Total revenues .....	1,280	1,104	4,720	560
0192	Total expenses .....	-18	-82	-121	-125
0199	Net income or loss .....	1,262	1,022	4,599	435

**Balance Sheet (in millions of dollars)**

Identification code 51-4066-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.	
<b>ASSETS:</b>					
Federal assets:					
Investments in US securities:					
1102	Treasury securities, par .....	3,598	4,682	9,175	9,580
1106	Receivables, net .....	70	61	61	61
1206	Non-Federal assets: Receivables, net .....	16	16	16	16
1901	Other Federal assets: Other assets .....	15	15	122	153

**Public enterprise funds—Continued**

**SAVINGS ASSOCIATION INSURANCE FUND—Continued**

**Balance Sheet (in millions of dollars)—Continued**

Identification code 51-4066-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
1999 Total assets	3,699	4,774	9,374	9,810
<b>LIABILITIES:</b>				
2101 Federal liabilities: Accounts payable	7	17	17	17
Non-Federal liabilities:				
2201 Accounts payable	1	2	2	2
Other:				
2207 Unearned revenue	154	219	219	219
2207 Funds held in trust	213	224	224	224
2207 Deferred revenue/other liabilities	34		1	1
2999 Total liabilities	409	462	463	463
<b>NET POSITION:</b>				
3300 Cumulative results of operations	3,290	4,312	8,911	9,347
3999 Total net position <sup>1</sup>	3,290	4,312	8,911	9,347
4999 Total liabilities and net position	3,699	4,774	9,374	9,810

<sup>1</sup>Total net position does not include reserves for future thrift resolution costs. The FDIC estimates reserves of \$85 million in 1996, \$57 million in 1997 and \$106 million in 1998.

**Object Classification (in millions of dollars)**

Identification code 51-4066-0-3-373	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	43	39	40
12.1 Civilian personnel benefits	12	13	13
21.0 Travel and transportation of persons	3	5	6
23.2 Rental payments to others	2	3	3
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.2 Other services	5	29	29
26.0 Supplies and materials	1	2	2
31.0 Equipment	1	3	3
92.0 Undistributed	52	149	151
99.9 Total obligations <sup>1</sup>	121	246	250

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

**Personnel Summary**

Identification code 51-4066-0-3-373	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment	607	492	601

**FSLIC RESOLUTION FUND**

**Program and Financing (in millions of dollars)**

Identification code 51-4065-0-3-373	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.01 Net corporate operating expenses	12	57	41
00.02 Net Office of inspector general operating expense	2	1	1
00.03 Operating expense on behalf of receiverships	506	398	155
00.91 Subtotal, administrative expenses	519	456	197
Capital investment:			
01.01 Assistance agreement payments	531	7	
01.02 Net case resolution expenses (losses)	111	42	42
01.03 Interest expense	6	5	4
01.04 Interest expense—RTC debt	254	218	110
01.05 Purchase of receivership assets	280		
01.06 Interfund transactions and other	2		
01.91 Subtotal, capital investment	1,183	272	156
10.00 Total obligations	1,703	728	353

**Budgetary resources available for obligation:**

Unobligated balance available, start of year:			
21.90 Fund balance	832	921	795
21.91 U.S. Securities: Par value	525	693	1,380
21.99 Total unobligated balance, start of year	1,357	1,614	2,175
22.00 New budget authority (gross)	5,312	4,537	2,561

22.10 Resources available from recoveries of prior year obligations	45		
22.22 Unobligated balance transferred from other accounts	1,113		
22.30 Unobligated balance expiring (RTC, Office of inspector general)	-3		
Redemption of debt:			
22.60 Redemption of debt	-32	-32	-95
22.60 Redemption of debt—RTC	-4,475	-3,216	-2,029
23.90 Total budgetary resources available for obligation	3,317	2,903	2,612
23.95 New obligations	-1,703	-728	-353
Unobligated balance available, end of year:			
24.90 Fund balance	921	795	761
24.91 U.S. Securities: Par value	693	1,380	1,498
24.99 Total unobligated balance, end of year	1,614	2,175	2,259

**New budget authority (gross), detail:**

Current:			
41.00 Transferred to other accounts		-26	-34
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5,312	4,563	2,595
70.00 Total new budget authority (gross)	5,312	4,537	2,561

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
Obligated balance:			
72.90 Fund balance		22	17
72.91 U.S. Securities: Par value	3	2	2
72.99 Total unpaid obligations, start of year	3	24	19
73.10 New obligations	1,703	728	353
73.20 Total outlays (gross)	-1,706	-729	-354
73.32 Obligated balance transferred from other accounts	69		
73.40 Adjustments in expired accounts		-4	
73.45 Adjustments in unexpired accounts	-45		
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Fund balance	22	17	16
74.91 U.S. Securities: Par value	2	2	2
74.99 Total unpaid obligations, end of year	24	19	18

**Outlays (gross), detail:**

86.93 Outlays from current balances	4		
86.98 Outlays from permanent balances	1,702	729	354
87.00 Total outlays (gross)	1,706	729	354

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	-20	-36	-56
Non-Federal sources:			
88.40 Asset recoveries (FRF-FSLIC)	-791	-692	-78
88.40 Asset recoveries (FRF-RTC)	-4,140	-3,405	-2,211
88.40 Reimbursement of operating expenses by receiverships	-326	-398	-155
88.40 Liquidity assistance note and other collections	-35	-32	-95
88.90 Total, offsetting collections (cash)	-5,312	-4,563	-2,595

**Net budget authority and outlays:**

89.00 Budget authority		-26	-34
90.00 Outlays	-3,606	-3,834	-2,241

**Status of Direct Loans (in millions of dollars)**

Identification code 51-4065-0-3-373	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	158	126	94
1251 Repayments: Repayments and prepayments	-32	-32	-94
1290 Outstanding, end of year	126	94	

The FRF is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases.

On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF. The 1996 data

for FRF reflects the transfer and shows three calendar quarters of combined operations.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and a portion of insurance premiums paid by SAIF members prior to 1993. The Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation.

Statement of Operations (in millions of dollars)

Identification code 51-4065-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
Revenue:				
0101 Income from U.S. securities	63	20	36	56
0101 Other revenue	427	527	1,052	370
Expense:				
0102 Interest expense	-80	-384	-223	-114
0102 Administrative and operating expenses	-13	-13	-58	-42
0102 Other expenses	207	170	17	27
0109 Net income or loss (-)	604	320	824	297
0191 Total revenues	490	547	1,088	426
0192 Total expenses	114	-227	-264	-129
0199 Net income or loss	604	320	824	297

Balance Sheet (in millions of dollars)

Identification code 51-4065-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	827	933	806	772
Investments in US securities:				
1102 Treasury securities, par	528	694	1,382	1,500
1206 Non-Federal assets: Receivables, net	13	6	6	6
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	165	121	90	60
Other Federal assets:				
1801 Cash and other monetary assets	5	60	5	5
1901 Claims against receivers & other	678	9,920	6,619	4,675
1999 Total assets	2,216	11,734	8,908	7,018
LIABILITIES:				
2101 Federal liabilities: Accounts payable	2	10	9	9
Non-Federal liabilities:				
2201 Accounts payable	4	22	24	24
Other:				
2207 Debt to the FFB (former RTC)		6,076	2,780	751
2207 Notes issued after FY 1986	158	126	95	
2207 Estimated liability for assistance agreements	142	43	33	7
2207 Liabilities incurred from thrift resolutions	483	137	38	35
2207 Other liabilities	1	206	17	17
2999 Total liabilities	790	6,620	2,996	843
NET POSITION:				
3100 Appropriated capital	827	662	636	602
3300 Invested capital and losses	599	4,452	5,276	5,573
3999 Total net position	1,426	5,114	5,912	6,175
4999 Total liabilities and net position	2,216	11,734	8,908	7,018

Object Classification (in millions of dollars)

Identification code 51-4065-0-3-373	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	167	167	66
12.1 Civilian personnel benefits	73	66	30
13.0 Benefits for former personnel	5		
21.0 Travel and transportation of persons	9	23	11
22.0 Transportation of things	1		
23.2 Rental payments to others	44	24	11
23.3 Communications, utilities, and miscellaneous charges	11	6	3
24.0 Printing and reproduction	1	1	1
25.2 Other services	162	133	61

26.0	Supplies and materials	12	8	4
31.0	Equipment	10	14	7
32.0	Land and structures		1	
43.0	Interest and dividends	261	223	114
92.0	Undistributed	947	62	45
99.9	Total obligations <sup>1</sup>	1,703	728	353

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

Personnel Summary

Identification code 51-4065-0-3-373	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment	2,034	2,322	994

FDIC—OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$34,365,000, to be derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund.

Program and Financing (in millions of dollars)

Identification code 51-4595-0-4-373	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations	44	46	34
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	44	46	34
23.95 New obligations	-44	-46	-34
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	44	46	34
Change in unpaid obligations:			
73.10 New obligations	44	46	34
73.20 Total outlays (gross)	-44	-46	-34
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	44	46	34
86.98 Outlays from permanent balances			
87.00 Total outlays (gross)	44	46	34
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-44	-46	-34
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Office of the Inspector General (OIG) activities include: audits and investigations; and, the prevention and detection of fraud, waste, and mismanagement in the disposition of failed banking institutions' assets by the FDIC. The Resolution Trust Corporation Completion Act of 1993 requires a Presidentially appointed Inspector General. Separately, the OIG Act of 1978 requires each agency with a Presidentially appointed Inspector General to prepare a separate budget statement. Prior to the RTC Completion Act, the FDIC's Inspector General was an administrative position established by the FDIC Board. In accordance with the RTC Completion Act, the FDIC OIG was merged with the RTC OIG on December 31, 1995. The remaining obligations and appropriated funds of the RTC OIG were transferred to the FRF with all other RTC assets and liabilities.

Object Classification (in millions of dollars)

Identification code 51-4595-0-4-373	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	27	26	21

**Public enterprise funds—Continued**

**FDIC—OFFICE OF INSPECTOR GENERAL—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 51-4595-0-4-373	1996 actual	1997 est.	1998 est.
12.1 Civilian personnel benefits .....	6	8	7
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services .....	9	9	4
31.0 Equipment .....		1	1
99.9 Total obligations <sup>1</sup> .....	44	46	34

<sup>1</sup> Includes obligations that are recoverable from receiverships.

**Personnel Summary**

Identification code 51-4595-0-4-373	1996 actual	1997 est.	1998 est.
2001 Total compensable workyears: Full-time equivalent employment .....	299	297	236

**AFFORDABLE HOUSING PROGRAM**

**Program and Financing (in millions of dollars)**

Identification code 51-1500-0-1-604	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
01.01 Subsidy expenses .....	1		
10.00 Total obligations (object class 41.0) .....	1		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1		
23.95 New obligations .....	-1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1		
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....		1	
73.10 New obligations .....	1		
73.20 Total outlays (gross) .....	-1	-1	
73.40 Adjustments in expired accounts .....	-2		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			
86.93 Outlays from current balances .....	1	1	
87.00 Total outlays (gross) .....	1	1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1		
90.00 Outlays .....	1	1	

From 1993 to mid-1996, the FDIC was authorized to carry out an affordable housing program. Under the program, single and multifamily properties in FDIC's inventory that were appraised below a certain threshold were held off the general market for a period of 180 days. During that period, only low-income families and individuals, and public agencies and nonprofit organizations that agreed to low-income rent restrictions were allowed to bid on the properties. In 1996, the FDIC sold 6 single family properties for a total of \$0.2 million and 1 multifamily property for a total of \$0.1 million.

Affordable Housing Program:	1996 actual
Number of Properties In Inventory (Average):	
Single Family .....	608
Multifamily .....	161
Number of Properties Sold:	
Single Family .....	6
Multifamily .....	1
Appraised Value In Inventory (in millions):	
Single Family .....	1
Multifamily .....	1

Appraised Value of properties Sold (in millions):

Single Family .....	*
Multifamily .....	*
Gross Sales Receipts (in millions):	
Single Family .....	*
Multifamily .....	*

<sup>1</sup> Less than \$500,000

**Personnel Summary**

Identification code 51-1500-0-1-604	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1		

**FEDERAL ELECTION COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended, [**\$28,165,000**] **\$29,300,000**, of which [no less than \$2,500,000 shall be available for internal automated data processing systems, and of which] not to exceed \$5,000 shall be available for reception and representation expenses. (*Independent Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 95-1600-0-1-808	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	26	28	29
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	26	28	29
23.95 New obligations .....	-26	-28	-29
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	26	28	29
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	3	3	3
73.10 New obligations .....	26	28	29
73.20 Total outlays (gross) .....	-26	-28	-29
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	3	3	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	24	26	27
86.93 Outlays from current balances .....	2	2	2
87.00 Total outlays (gross) .....	26	28	29
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	26	28	29
90.00 Outlays .....	26	28	29

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

**Object Classification (in millions of dollars)**

Identification code 95-1600-0-1-808	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	15	16	16
12.1 Civilian personnel benefits .....	4	4	5
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	1	1

31.0	Equipment .....	1	2	1
99.5	Below reporting threshold .....	1	1	2
99.9	Total obligations .....	26	28	29

**Personnel Summary**

Identification code 95-1600-0-1-808		1996 actual	1997 est.	1998 est.
1001	Total compensable workyears: Full-time equivalent employment .....	309	307	314

**FEDERAL EMERGENCY MANAGEMENT AGENCY**

**Federal Funds**

**General and special funds:**

**DISASTER RELIEF**

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), [S1,320,000,000, and, notwithstanding 42 U.S.C. 5203, to become available for obligation on September 30, 1997, and] \$2,707,677,000, to remain available until expended[: *Provided*, That notwithstanding any other provision of this paragraph, amounts appropriated herein shall be available for obligation on October 1, 1996: *Provided further*, That the Director of the Federal Emergency Management Agency (FEMA) shall submit to the appropriate committees of Congress within 120 days of enactment of this Act a comprehensive report on FEMA's plans to reduce disaster relief expenditures and improve management controls on the Disaster Relief Fund 42 U.S.C. 5203, \$2,487,677,000 of this amount shall become available for obligation on July 1, 1998]. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 58-0104-0-1-453		1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>				
10.00	Total obligations .....	3,614	4,496	2,288
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested balance .....	3,285	3,182	106
22.00	New budget authority (gross) .....	3,393	1,320	2,708
22.10	Resources available from recoveries of prior year obligations .....	118	100	100
23.90	Total budgetary resources available for obligation .....	6,796	4,602	2,914
23.95	New obligations .....	-3,614	-4,496	-2,288
24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	3,182	106	626
<b>New budget authority (gross), detail:</b>				
Appropriation:				
40.00	Appropriation .....	3,497	1,320	320
40.00	Appropriation .....			2,388
41.00	Transferred to other accounts .....	-104		
43.00	Appropriation (total) .....	3,393	1,320	2,708
70.00	Total new budget authority (gross) .....	3,393	1,320	2,708
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	2,511	3,775	4,578
73.10	New obligations .....	3,614	4,496	2,288
73.20	Total outlays (gross) .....	-2,232	-3,593	-3,323
73.45	Adjustments in unexpired accounts .....	-118	-100	-100
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	3,775	4,578	3,443
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....		528	1,083
86.93	Outlays from current balances .....	2,232	3,065	2,240
87.00	Total outlays (gross) .....	2,232	3,593	3,323

<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	3,393	1,320	2,708
90.00	Outlays .....	2,232	3,593	3,323

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:		1996 actual	1997 est.	1998 est.
Budget Authority .....		3,393	1,320	2,708
Outlays .....		2,232	3,593	3,323
Legislative proposal, not subject to PAYGO:				
Budget Authority .....				50
Outlays .....				5
<b>Total:</b>				
Budget Authority .....		3,393	1,320	2,758
Outlays .....		2,232	3,593	3,328

Federal disaster assistance is a nationwide program operated pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100-707). Supplementary assistance is provided to individuals, and State and local governments in the event of a Presidentially declared emergency or major disaster. Funds may be made available directly to a State or local government, or to other Federal agencies as reimbursement for expenditures in disaster relief work performed under this authority. In addition, a variety of other Federal agency assistance is coordinated under this program.

The Administration is requesting an appropriation of \$320 million in accordance with the Dire Emergency Supplemental Appropriations Act of 1992. In addition, the 1998 request includes \$2,388 million to address actual and projected requirements from 1997 and prior year declarations.

This budget requests \$5.8 billion in contingent funding for 1998, the 1991-1997 average annual emergency spending under the BEA. This fund will be available to this and other accounts as the need arises. Please see the Emergency Requirements for Natural Disasters account in the Funds Appropriated to the President Chapter for more detailed information. The requested amount for future years will be based on average emergency funding under the BEA.

The base programs will have access to the proposed contingency fund once all current appropriations in the affected account/accounts have been obligated, and a Presidential decision has been made to make additional funds available. The fund is meant to be flexible enough to respond to a variety of disasters and thus does not reserve or dedicate specific amounts within the total for the eligible programs. The flexibility of the fund is essential to meet the full range of disaster funding requirements.

**Object Classification (in millions of dollars)**

Identification code 58-0104-0-1-453		1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>				
11.3	Other than full-time permanent .....	125	90	39
11.5	Other personnel compensation .....	13	33	6
11.9	Total personnel compensation .....	138	123	45
12.1	Civilian personnel benefits .....	15	15	9
21.0	Travel and transportation of persons .....	77	90	16
22.0	Transportation of things .....	3	3	1
23.1	Rental payments to GSA .....	5	11	9
23.2	Rental payments to others .....	16	9	2
23.3	Communications, utilities, and miscellaneous charges .....	26	40	12
24.0	Printing and reproduction .....	3	3	1
25.1	Advisory and assistance services .....	1	5	2
25.2	Other services .....	95	424	118
25.3	Purchases of goods and services from Government accounts .....	274	63	36
25.4	Operation and maintenance of facilities .....	3	5	2
25.5	Research and development contracts .....	1	6	1
25.7	Operation and maintenance of equipment .....	2	2	1
25.8	Subsistence and support of persons .....	1		
26.0	Supplies and materials .....	22	24	8
31.0	Equipment .....	32	19	10
32.0	Land and structures .....		1	

**General and special funds—Continued**  
**DISASTER RELIEF—Continued**

Object Classification (in millions of dollars)—Continued

Identification code 58-0104-0-1-453	1996 actual	1997 est.	1998 est.
41.0 Grants, subsidies, and contributions .....	2,900	3,653	2,015
99.9 Total obligations .....	3,614	4,496	2,288

**Personnel Summary**

Identification code 58-0104-0-1-453	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	2,367	2,449	2,162
1005 Full-time equivalent of overtime and holiday hours .....	464	450	450

**DISASTER RELIEF**  
**(Legislative proposal, not subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 58-0104-2-1-453	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			50
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			50
23.95 New obligations .....			-50
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			50
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			50
73.20 Total outlays (gross) .....			-5
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....			45
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			5
86.93 Outlays from current balances .....			
87.00 Total outlays (gross) .....			5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			50
90.00 Outlays .....			5

Over the past 25 to 30 years, the nation's exposure to losses from natural hazards has increased dramatically, and has directly contributed to the escalating costs of disaster assistance. The implementation of mitigation measures can help reverse this trend, and save future disaster dollars by reducing the need for expensive post-disaster recovery measures. In the 1998 budget, a new program under the Disaster Relief Fund (DRF) is being proposed to fund pre-disaster mitigation actions. The dollars provided for this purpose will primarily be used to reduce the vulnerability of critical facilities in high risk areas and will result in long-term savings, not only to the DRF, but to State and local taxpayers as well.

**SALARIES AND EXPENSES**

For necessary expenses, not otherwise provided for, including hire and purchase of motor vehicles (31 U.S.C. 1343); uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for GS-18; expenses of attendance of cooperating officials and individuals at meetings concerned with the work of emergency preparedness; transportation in connection with the continuity of Government programs to the same extent and in the same manner as permitted the Secretary of a Military Department under 10 U.S.C. 2632; and not to exceed \$2,500 for official reception and representation expenses, **[\$167,500,000] \$171,773,000. Further, for the foregoing purposes related to national defense only, during fiscal year 1999, \$25,513,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)**

**[For an additional amount for "Salaries and Expenses" to increase Federal, State, and local preparedness for mitigating and responding to the consequences of terrorism, \$3,000,000. (Omnibus Consolidated Appropriations Act, 1997.)]**

Program and Financing (in millions of dollars)

Identification code 58-0100-0-1-999	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Response and recovery .....	39	44	45
00.02 Preparedness, training and exercises .....	35	36	30
00.03 Fire prevention and training .....	7	7	7
00.04 Operations support .....	25	25	24
00.05 Information technology services .....	20	20	26
00.06 Mitigation programs .....	6	7	7
00.07 Policy and regional operations .....	11	11	11
00.08 Executive direction .....	24	24	26
00.91 Total direct program .....	167	174	176
01.01 Reimbursable program .....	4	4	4
10.00 Total obligations .....	171	178	180
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	11	8	5
22.00 New budget authority (gross) .....	173	175	176
22.30 Unobligated balance expiring .....	-5		
23.90 Total budgetary resources available for obligation	179	183	181
23.95 New obligations .....	-171	-178	-180
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	8	5	1
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	169	171	172
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Spending authority from offsetting collections, defense programs .....	3	3	3
68.00 Spending authority from offsetting collections, non-defense programs .....	1	1	1
68.90 Spending authority from offsetting collections (total) .....	4	4	4
70.00 Total new budget authority (gross) .....	173	175	176
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	66	62	62
73.10 New obligations .....	171	178	180
73.20 Total outlays (gross) .....	-175	-178	-180
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	62	62	62
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	152	146	146
86.93 Outlays from current balances .....	19	28	30
86.97 Outlays from new permanent authority .....	4	4	4
87.00 Total outlays (gross) .....	175	178	180
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-4	-4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	169	171	172
90.00 Outlays .....	171	173	176

**Program support.**—This activity provides the necessary resources to administer the Federal Emergency Management Agency's (the Agency) various programs at headquarters and in the regions.

**Executive direction.**—This activity provides for the general management and administration of the Agency in legal affairs, congressional and governmental affairs, media affairs, financial management, and personnel, as well as the management of the Agency's national security program.

Object Classification (in millions of dollars)				
Identification code 58-0100-0-1-999	1996 actual	1997 est.	1998 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	102	111	105
11.3	Other than full-time permanent	1	3	4
11.5	Other personnel compensation	5	2	2
11.9	Total personnel compensation	108	116	111
12.1	Civilian personnel benefits	22	23	23
21.0	Travel and transportation of persons	3	4	4
23.1	Rental payments to GSA	11	10	10
23.3	Communications, utilities, and miscellaneous charges	2	3	3
24.0	Printing and reproduction	1		
25.2	Other services	7	10	8
25.3	Purchases of goods and services from Government accounts	3	1	11
26.0	Supplies and materials	3	2	2
31.0	Equipment	5	3	3
99.0	Subtotal, direct obligations	165	172	175
99.0	Reimbursable obligations	4	4	4
99.5	Below reporting threshold	2	2	1
99.9	Total obligations	171	178	180

Personnel Summary

Identification code 58-0100-0-1-999	1996 actual	1997 est.	1998 est.	
Direct:				
Total compensable workyears:				
1001	Full-time equivalent employment	2,029	1,945	1,962
1005	Full-time equivalent of overtime and holiday hours	50	40	40
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	55	70	50

EMERGENCY MANAGEMENT PLANNING AND ASSISTANCE

For necessary expenses, not otherwise provided for, to carry out activities under the National Flood Insurance Act of 1968, as amended, and the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977, as amended (42 U.S.C. 7701 et seq.), the Federal Fire Prevention and Control Act of 1974, as amended (15 U.S.C. 2201 et seq.), the Defense Production Act of 1950, as amended (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947, as amended (50 U.S.C. 404-405), and Reorganization Plan No. 3 of 1978, **[\$206,701,000] \$202,146,000.** Further, for the foregoing purposes related to national defense only, during fiscal year 1999, \$16,104,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

For an additional amount for "Emergency Management Planning and Assistance" to increase Federal, State, and local preparedness for mitigating and responding to the consequences of terrorism, \$12,000,000. (Omnibus Consolidated Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 58-0101-0-1-999	1996 actual	1997 est.	1998 est.	
Obligations by program activity:				
Direct program:				
00.01	Response and recovery	8	15	8
00.02	Preparedness, training and exercises	131	130	125
00.03	Fire prevention and training	22	23	22
00.04	Operations support	1	2	1
00.05	Information technology services	15	15	16
00.06	Mitigation programs	19	28	24
00.07	Executive direction	6	6	6
00.91	Total direct program	202	219	202
01.01	Reimbursable program	35	56	63
10.00	Total obligations	237	275	265

Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year:		
	Uninvested balance	1	
22.00	New budget authority (gross)	238	275
22.30	Unobligated balance expiring	-1	
23.90	Total budgetary resources available for obligation	238	275
23.95	New obligations	-237	-275

New budget authority (gross), detail:

Current:			
40.00	Appropriation	203	219
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00	Spending authority from offsetting collections, defense program	35	53
68.00	Spending authority from offsetting collections, non-defense program		3
68.90	Spending authority from offsetting collections (total)	35	56
70.00	Total new budget authority (gross)	238	275

Change in unpaid obligations:

72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation	168	119
73.10	New obligations	237	275
73.20	Total outlays (gross)	-282	-268
73.40	Adjustments in expired accounts	-4	
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation	119	126

Outlays (gross), detail:

86.90	Outlays from new current authority	111	99
86.93	Outlays from current balances	136	113
86.97	Outlays from new permanent authority	35	56
87.00	Total outlays (gross)	282	268

Offsets:

Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-35	-56

Net budget authority and outlays:

89.00	Budget authority	203	219
90.00	Outlays	247	212

**Response and recovery.**—This activity provides for the development and maintenance of an integrated operational capability to respond to and recover from the consequences of a disaster, regardless of its cause, in partnership with other Federal agencies, State and local governments, volunteer organizations, and the private sector.

**Preparedness, training and exercises.**—This activity provides policy guidance, financial and technical assistance, training, and exercise support required to establish or enhance the emergency management capabilities of Federal, State, and local governments.

**Fire prevention and training.**—This activity prepares Federal, State and local officials, their staffs, emergency first responders, volunteer groups, and the public to meet the responsibilities of domestic emergencies through planning, mitigation, preparedness, response, and recovery. The United States Fire Administration has responsibility for all fire and emergency medical service programs and training activities. Educational programs are provided through the National Fire Academy, at the National Emergency Training Center, and through the field fire training delivery systems.

**Operations support.**—This activity provides agency-wide program support services, such as logistics management and security.

**Information technology services.**—This activity provides leadership and direction for management of information technology resources, automated data processing, telecommunications, and information services and systems necessary to accomplish the agency's mission.

**General and special funds—Continued**

**EMERGENCY MANAGEMENT PLANNING AND ASSISTANCE—Continued**

*Mitigation programs.*—This activity provides for the development, coordination, and implementation of policies, plans, and programs to eliminate or reduce the long-term risk to life and property from natural and technological hazards, such as earthquakes and hurricanes. A goal of this activity is to encourage and foster mitigation strategies at the State and local levels.

*Executive direction.*—This activity develops strategies to address public information issues; provides support for enhancements to the financial management system; and supports the Agency's national security program.

**Object Classification (in millions of dollars)**

Identification code 58-0101-0-1-999	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
23.3 Communications, utilities, and miscellaneous charges .....	13	10	9
24.0 Printing and reproduction .....	2	3	3
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	37	53	42
25.3 Purchases of goods and services from Government accounts .....	13	4	6
25.4 Operation and maintenance of facilities .....	1	7	7
25.5 Research and development contracts .....	2		
25.7 Operation and maintenance of equipment .....		1	1
26.0 Supplies and materials .....	4	3	3
31.0 Equipment .....	3	6	2
32.0 Land and structures .....	1		
41.0 Grants, subsidies, and contributions .....	126	131	128
99.0 Subtotal, direct obligations .....	202	219	202
99.0 Reimbursable obligations .....	35	56	63
99.9 Total obligations .....	237	275	265

**OFFICE OF THE INSPECTOR GENERAL**

For necessary expenses of the Office of the Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$4,673,000] \$4,803,000.** (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 58-0300-0-1-453	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	5	5	5
10.00 Total obligations .....	5	5	5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	5	5	5
23.95 New obligations .....	-5	-5	-5
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	5	5	5
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	3	3
73.10 New obligations .....	5	5	5
73.20 Total outlays (gross) .....	-4	-5	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	3	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	4	4	4
86.93 Outlays from current balances .....		1	1
87.00 Total outlays (gross) .....	4	5	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	5	5
90.00 Outlays .....	4	5	5

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function provides internal audit, contract audit, and inspections services. Contract audits provide professional advice to agency contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of agency operations.

**Object Classification (in millions of dollars)**

Identification code 58-0300-0-1-453	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
99.9 Total obligations .....	5	5	5

**Personnel Summary**

Identification code 58-0300-0-1-453	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	52	60	60

**EMERGENCY FOOD AND SHELTER PROGRAM**

To carry out an emergency food and shelter program pursuant to title III of Public Law 100-77, as amended, \$100,000,000: *Provided, That total administrative costs shall not exceed three and one-half percent of the total appropriation. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 58-0103-0-1-605	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
01.01 Direct Program .....	100	100	100
10.00 Total obligations (object class 41.0) .....	100	100	100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	100	100	100
23.95 New obligations .....	-100	-100	-100
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	100	100	100
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	100	100	100
73.20 Total outlays (gross) .....	-100	-100	-100
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	100	100	100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	100	100	100
90.00 Outlays .....	100	100	100

This program provides grants to voluntary organizations at the local level to supplement their programs for emergency food and shelter.

**WORKING CAPITAL FUND**

[For the establishment of a working capital fund for the Federal Emergency Management Agency, to be available without fiscal year limitation, for expenses and equipment necessary for maintenance and operations of such administrative services as the Director determines may be performed more advantageously as central services: *Provided, That any inventories, equipment, and other assets pertaining to the services to be provided by such fund, either on hand or on order, less the related liabilities or unpaid obligations, and*

any appropriations made hereafter for the purpose of providing capital, shall be used to capitalize such fund: *Provided further*, That such fund shall be reimbursed or credited with advance payments from applicable appropriations and funds of the Federal Emergency Management Agency, other Federal agencies, and other sources authorized by law for which such centralized services are performed, including supplies, materials, and services, at rates that will return in full all expenses of operation, including accrued leave, depreciation of fund plant and equipment, amortization of automated data processing (ADP) software and systems (either acquired or donated), and an amount necessary to maintain a reasonable operating reserve as determined by the Director: *Provided further*, That income of such fund may be retained, to remain available until expended, for purposes of the fund: *Provided further*, That fees for services shall be established by the Director at a level to cover the total estimated costs of providing such services, such fees to be deposited in the fund shall remain available until expended for purposes of the fund: *Provided further*, That such fund shall terminate in a manner consistent with section 403(f) of Public Law 103-356.】 (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 58-4188-0-4-803	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations		17	17
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)		17	17
23.95 New obligations		-17	-17
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)		17	17
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance			2
73.10 New obligations		17	17
73.20 Total outlays (gross)		-15	-17
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance		2	2
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority		15	15
86.98 Outlays from permanent balances			2
87.00 Total outlays (gross)		15	17
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-17	-17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays		-2	

The Working Capital Fund is financed from fees charged for services provided at the Mt. Weather Emergency Assistance Center, including conference, training, and office support, motor pool services, and temporary lodging. These services are available to organizations within FEMA, and other Federal agencies.

Object Classification (in millions of dollars)

Identification code 58-4188-0-4-803	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent		8	8
12.1 Civilian personnel benefits		2	2
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services		2	1
25.4 Operation and maintenance of facilities			1
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials		2	1
31.0 Equipment		1	
32.0 Land and structures		1	2
99.9 Total obligations		17	17

Personnel Summary

Identification code 58-4188-0-4-803	1996 actual	1997 est.	1998 est.
2001 Total compensable workyears: Full-time equivalent employment		187	187

Public enterprise funds:

NATIONAL INSURANCE DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 58-4235-0-3-451	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Insurance claims	1		
00.02 Operating expenses	1		
10.00 Total obligations	2		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	2		
23.95 New obligations	-2		
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	2		
<b>Change in unpaid obligations:</b>			
72.47 Unpaid obligations, start of year: Obligated balance:			
Authority to borrow	9	7	3
73.10 New obligations	2		
73.20 Total outlays (gross)	-4	-4	-3
74.47 Unpaid obligations, end of year: Obligated balance:			
Authority to borrow	7	3	
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	1		
86.98 Outlays from permanent balances	3	4	3
87.00 Total outlays (gross)	4	4	3
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-2	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	2	4	3

The National Insurance Development Fund provided the funding source for two programs authorized by the Urban Property Protection and Reinsurance Act of 1968, as amended: The Fair Access to Insurance Requirements Plan/Riot Reinsurance Program; and the Federal Crime Insurance Program. The Supplemental Appropriations Act, 1984 (Public Law 98-181) did not extend authority for the Riot Reinsurance Program beyond November 30, 1983. Authority for the Federal Crime Insurance Program expired on September 30, 1995.

*Insurance claims.*—Claims reflect insurance payments in the program.

*Operating expenses.*—Expenses are incurred by fiscal agents in settling claims and maintaining accounting and statistical records.

*Financing.*—Claims and expenses are estimated to be paid from Treasury borrowings.

*Operating results.*—Effective October 1, 1991, Public Law 102-139 forgave all prior borrowings of the program which amounted to \$152,239,000.

Statement of Operations (in millions of dollars)

Identification code 58-4235-0-3-451	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue	4	2		
0102 Expense	-6	-2		

**Public enterprise funds—Continued**

**NATIONAL INSURANCE DEVELOPMENT FUND—Continued**

Statement of Operations (in millions of dollars)—Continued

Identification code 58-4235-0-3-451	1995 actual	1996 actual	1997 est.	1998 est.
0109 Net income or loss (-)	-2			

  

Balance Sheet (in millions of dollars)				
Identification code 58-4235-0-3-451	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury	2	1	1	
1206 Non-Federal assets: Receivables, net	1			
1999 Total assets	3	1	1	
<b>LIABILITIES:</b>				
2104 Federal liabilities: Resources payable to Treasury	3	3	3	3
Non-Federal liabilities:				
2201 Accounts payable				
2207 Other	5			
2999 Total liabilities	8	3	3	3
<b>NET POSITION:</b>				
3200 Invested capital	-5	-3	-3	-3
3999 Total net position	-5	-3	-3	-3
4999 Total liabilities and net position	3	1	1	

Note.—This statement excludes unfunded contingent liabilities under the crime insurance program as follows: 1996, \$0; 1997, \$0; and 1998, \$0.

Object Classification (in millions of dollars)

Identification code 58-4235-0-3-451	1996 actual	1997 est.	1998 est.
25.1 Advisory and assistance services	1		
42.0 Insurance claims and indemnities	1		
99.9 Total obligations	2		

Personnel Summary

Identification code 58-4235-0-3-451	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment	4	2	

**NATIONAL FLOOD INSURANCE FUND**

For activities under the National Flood Insurance Act of 1968, the Flood Disaster Protection Act of 1973, and the National Flood Insurance Reform Act of 1994, not to exceed **[\$20,981,000]** \$21,610,000 for salaries and expenses associated with flood mitigation and flood insurance operations, and not to exceed \$78,464,000 for flood mitigation, including up to \$20,000,000 for expenses under section 1366 of the National Flood Insurance Act, which amount shall be available for transfer to the *National Flood Mitigation Fund* until September 30, [1998]. The first sentence of section 1376(c) of the National Flood Insurance Act of 1968, as amended (42 U.S.C. 4127(c)), is amended by striking all after “this subsection” and inserting “such sums as may be necessary through September 30, 1997 for studies under this title.”] 1999. In fiscal year [1997] 1998, no funds in excess of (1) \$47,000,000 for operating expenses, (2) **[\$335,680,000]** \$375,165,000 for agents' commissions and taxes, and (3) **[\$35,000,000]** \$50,000,000 for interest on Treasury borrowings shall be available from the National Flood Insurance Fund without prior notice to the Committees on Appropriations. For fiscal year [1997] 1998, flood insurance rates shall not exceed the level authorized by the National Flood Insurance Reform Act of 1994. [Section 1319 of the National Flood Insurance Act of 1968, as amended (42 U.S.C. 4026), is amended by striking out September 30, 1996.” and inserting “September 30, 1997.”.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.*)

[Section 1309(a)(2) of the National Flood Insurance Act (42 U.S.C. 4016(a)(2)), is amended by striking “\$1,000,000,000” and inserting

in lieu thereof “\$1,500,000,000 through September 30, 1997, and \$1,000,000,000 thereafter”. (*Omnibus Consolidated Appropriations Act, 1997.*)]

Program and Financing (in millions of dollars)

Identification code 58-4236-0-3-453	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Insurance underwriting expense	337	369	422
00.02 Loss and adjustment expense	1,067	719	695
00.03 Interest expense	37	42	42
00.04 Flood insurance and mitigation program expenses	67	91	100
10.00 Total obligations	1,508	1,221	1,259
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1,508	1,221	1,259
23.95 New obligations	-1,508	-1,221	-1,259
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation			
40.75 Reduction pursuant to P.L. 104-208	-2		
43.00 Appropriation (total)	-2		
Permanent:			
67.15 Authority to borrow (indefinite)	529	114	
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Premium and other collections	901	1,016	1,189
68.00 Collection of program expenses	80	91	101
68.47 Portion applied to debt reduction			-31
68.90 Spending authority from offsetting collections (total)	981	1,107	1,259
70.00 Total new budget authority (gross)	1,508	1,221	1,259
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance:			
Treasury balance	209	426	463
73.10 New obligations	1,508	1,221	1,259
73.20 Total outlays (gross)	-1,291	-1,184	-1,221
74.90 Unpaid obligations, end of year: Obligated balance:			
Treasury balance	426	463	501
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	1,114	844	851
86.98 Outlays from permanent balances	177	340	370
87.00 Total outlays (gross)	1,291	1,184	1,221
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Premium and other collections	-901	-1,016	-1,189
88.40 Collection of program expenses	-80	-91	-101
88.90 Total, offsetting collections (cash)	-981	-1,107	-1,290
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	527	114	-31
90.00 Outlays	311	77	-69

The National Flood Insurance Act of 1968, as amended, authorizes the Federal Government to provide flood insurance on a national basis. Flood insurance may be sold or continued in force only in communities which enact and enforce appropriate flood plain management measures. Communities must participate in the program within one year of the time they are identified as flood prone in order to be eligible for flood insurance and some forms of Federal financial assistance for acquisition or construction purposes. In 1998, the budget assumes collection of all of the administrative and program costs associated with flood insurance activities from policy holders.

Under the emergency program, structures in identified flood-prone areas are eligible for limited amounts of coverage at subsidized insurance rates. Under the regular program, studies must be made of different flood risks in flood-prone areas to establish actuarial premium rates. These rates are

charged for insurance on new construction. Coverage is available on virtually all types of buildings and their contents in amounts up to \$350 thousand for residential and \$1 million for other types.

**Budget program—Insurance underwriting expense.**—Cost of initiating and maintaining flood insurance policies is estimated at \$422 million in 1998.

**Loss and adjustment expense.**—Insured flood losses and associated loss adjustment expense is estimated at \$591 million in 1998.

**Interest expense.**—Interest expenses for Treasury borrowings are projected; a ceiling of \$50 million is requested to cover charges for purchasing Treasury securities and possible unanticipated interest costs.

The budget proposes to recover the cost of the following activities from policyholders and to reimburse other appropriations in FEMA's budget:

**Flood studies and surveys.**—These studies are estimated at \$52 million in 1998.

**Flood hazard reduction.**—This activity, which includes grants to States, is estimated at \$7 million in 1998.

**Mitigation assistance.**—This activity is estimated at \$20 million for 1998.

**Salaries and expenses.**—This activity provides for salaries and related expenses of all Federal staff administering the National Flood Insurance Program and is estimated at \$22 million in 1998.

**Financing.**—The Administrator is authorized to borrow up to \$1 billion (\$1.5 billion in 1997 only) to carry out the program. The program is financed through premium income and appropriations to repay borrowing.

**Operating results.**—Program experience is reviewed annually and, as necessary, flood insurance rates will be adjusted to maintain the NFIP's self-supporting status for the historical average loss year, and to maintain the soundness of rates for actuarially rated policies.

Statement of Operations (in millions of dollars)

Identification code 58-4236-0-3-453	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	880	981	1,107	1,290
0102 Expense .....	-1,456	-1,508	-1,221	-1,259
0109 Net income or loss (-) .....	-576	-527	-114	31

Balance Sheet (in millions of dollars)

Identification code 58-4236-0-3-453	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	6	55	10	10
Non-Federal assets:				
1206 Receivables, net .....	12	7	8	9
1207 Advances and prepayments .....	174	210	241	277
Other Federal assets:				
1801 Cash and other monetary assets .....	7	3	4	4
1802 Inventories and related properties .....	6	5	5	7
1803 Property, plant and equipment, net .....	1	1	1	1
1999 Total assets .....	206	281	269	308
<b>LIABILITIES:</b>				
Federal liabilities:				
2101 Accounts payable .....	3	18	14	10
2102 Interest payable .....		27	21	21
2103 Debt .....	265	627	723	640
2104 Resources payable to Treasury .....	1	2	1	1
Non-Federal liabilities:				
2201 Accounts payable .....	14	339	254	191
2207 Other .....	790	686	553	645
2999 Total liabilities .....	1,073	1,699	1,566	1,508
<b>NET POSITION:</b>				
3200 Invested capital .....	-867	-1,418	-1,297	-1,200
3999 Total net position .....	-867	-1,418	-1,297	-1,200
4999 Total liabilities and net position .....	206	281	269	308

Note.—This statement excludes unfunded contingent liabilities under the insurance program as follows: 1996, \$370 billion; 1997, \$415 billion; and 1998, \$465 billion.

Object Classification (in millions of dollars)

Identification code 58-4236-0-3-453	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent .....	10	12	14
11.3 Other than full-time permanent .....		1	1
11.9 Total personnel compensation .....	10	13	15
12.1 Civilian personnel benefits .....	2	2	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	2	2
23.3 Communications, utilities, and miscellaneous charges .....	2	1	
24.0 Printing and reproduction .....	2	2	1
25.1 Advisory and assistance services .....	27	40	43
25.2 Other services .....	347	373	421
25.3 Purchases of goods and services from Government accounts .....	5	9	11
31.0 Equipment .....	1		
41.0 Grants, subsidies, and contributions .....	5	17	25
42.0 Insurance claims and indemnities .....	1,067	719	695
43.0 Interest and dividends .....	37	42	42
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	1,508	1,221	1,259

Personnel Summary

Identification code 58-4236-0-3-453	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	174	258	258

**Credit accounts:**

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

For the cost of direct loans, **[\$1,385,000] \$1,495,000**, as authorized by section 319 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$25,000,000.

In addition, for administrative expenses to carry out the direct loan program, **[\$548,000] \$341,000**. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 58-0105-0-1-453	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 States share program .....	1	2	2
00.02 Community disaster loans .....	112		
10.00 Total obligations (object class 41.0) .....	113	2	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	114	2	2
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	113	2	2
23.95 New obligations .....	-113	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	10	2	2
42.00 Transferred from other accounts .....	104		
43.00 Appropriation (total) .....	114	2	2
70.00 Total new budget authority (gross) .....	114	2	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	12	77	7
73.10 New obligations .....	113	2	2
73.20 Total outlays (gross) .....	-47	-72	-2
73.40 Adjustments in expired accounts .....	-1		

**Credit accounts—Continued**

**DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 58-0105-0-1-453	1996 actual	1997 est.	1998 est.
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	77	7	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	46	2	2
86.93 Outlays from current balances .....	1	70	
87.00 Total outlays (gross) .....	47	72	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	114	2	2
90.00 Outlays .....	47	72	2

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100-707) are loans to States for the non-Federal portion of cost-sharing funds, and community disaster loans to local governments incurring substantial loss of tax and other revenues as a result of a major disaster. The funds requested for this program include direct loans and a subsidy based on criteria including loan amount and interest charged.

As required by the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 58-0105-0-1-453	1996 actual	1997 est.	1998 est.
Direct loan levels supportable by subsidy budget authority:			
1150 States share program .....	25	25	25
1150 Community Disaster Loan .....	128		
1159 Total direct loan levels .....	153	25	25
Direct loan subsidy (in percent):			
1320 States share program .....	8.62	5.54	5.98
1320 Community Disaster Loan .....	87.26	96.78	96.58
1329 Weighted average subsidy rate .....	8.62	5.54	5.98
Direct loan subsidy budget authority:			
1330 States share program .....	2	2	2
1330 Community Disaster Loan .....	112		
1339 Total subsidy budget authority .....	114	2	2
Direct loan subsidy outlays:			
1340 States share program .....	4	2	2
1340 Community disaster loans .....	43	70	
1349 Total subsidy outlays .....	47	72	2

**Personnel Summary**

Identification code 58-0105-0-1-453	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1	4	3

**DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 58-4234-0-3-453	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct loans .....	138	25	25
00.02 Interest on Treasury borrowing .....	13	10	8

10.00 Total obligations .....	151	35	33
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**Budgetary resources available for obligation:**

21.90 Unobligated balance available, start of year: Fund balance .....	37	13	3
22.00 New financing authority (gross) .....	123	25	30
22.10 Resources available from recoveries of prior year obligations .....	4		
23.90 Total budgetary resources available for obligation .....	164	38	33
23.95 New obligations .....	-151	-35	-33
24.90 Unobligated balance available, end of year: Fund balance .....	13	3	

**New financing authority (gross), detail:**

67.15 Authority to borrow (indefinite) .....	39	23	23
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	109	128	17
68.10 Receivables from program account .....	77	-70	
68.47 Portion applied to debt reduction .....	-102	-56	-10
68.90 Spending authority from offsetting collections (total) .....	84	2	7
70.00 Total new financing authority (gross) .....	123	25	30

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.90 Obligated balance: Obligated balance .....	77	57	47
72.95 Receivables from program account .....		77	7
72.99 Total unpaid obligations, start of year .....	77	134	54
73.10 New obligations .....	151	35	33
73.20 Total financing disbursements (gross) .....	-90	-115	-33
73.45 Adjustments in unexpired accounts .....	-4		
Unpaid obligations, end of year:			
74.90 Obligated balance: Obligated balance .....	57	47	47
74.95 Receivables from program account .....	77	7	7
74.99 Total unpaid obligations, end of year .....	134	54	54
87.00 Total financing disbursements (gross) .....	90	115	33

**Offsets:**

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal funds (payments from program account) .....	-47	-72	-2
88.20 Interest on U.S. securities .....	-9	-5	-3
Non-Federal sources:			
88.40 Repayments of principal .....	-49	-45	-8
88.40 Interest received on loans .....	-4	-6	-4
88.90 Total, offsetting collections (cash) .....	-109	-128	-17
88.95 Change in receivables from program accounts .....	-77	-7	-7

**Net financing authority and financing disbursements:**

89.00 Financing authority .....	-63	-110	6
90.00 Financing disbursements .....	-19	-13	16

**Status of Direct Loans (in millions of dollars)**

Identification code 58-4234-0-3-453	1996 actual	1997 est.	1998 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....	153	25	25
1112 Unobligated direct loan limitation .....	-15		
1150 Total direct loan obligations .....	138	25	25
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	101	142	202
1231 Disbursements: Direct loan disbursements .....	90	105	25
1251 Repayments: Repayments and prepayments .....	-49	-45	-8
1290 Outstanding, end of year .....	142	202	219

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records, for this program, all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 58-4234-0-3-453	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	90	142	202	219
1402 Interest receivable	6	9	13	14
1405 Allowance for subsidy cost (-)	-17	-67	-139	-141
1499 Net present value of assets related to direct loans	79	84	76	92
1801 Other Federal assets: Cash and other monetary assets	143	75	55	50
1999 Total assets	222	159	131	142
<b>LIABILITIES:</b>				
2103 Federal liabilities: Debt	222	159	131	142
2999 Total liabilities	222	159	131	142
<b>NET POSITION:</b>				
3300 Cumulative results of operations				
3999 Total net position				
4999 Total liabilities and net position	222	159	131	142

DISASTER ASSISTANCE DIRECT LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 58-4232-0-3-453	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
23.95 New obligations			
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)		1	1
68.47 Portion applied to debt reduction		-1	-1
68.90 Spending authority from offsetting collections (total)			
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority		-1	-1
90.00 Outlays		-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 58-4232-0-3-453	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	59	59	58
1251 Repayments: Repayments and prepayments		-1	-1
1290 Outstanding, end of year	59	58	57

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 58-4232-0-3-453	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	59	59	58	57

1602 Interest receivable	32	37	43	48
1604 Direct loans and interest receivable, net	91	96	101	105
1699 Value of assets related to direct loans	91	96	101	105
1999 Total assets	91	96	101	105
<b>NET POSITION:</b>				
3300 Cumulative results of operations	91	96	101	105
3999 Total net position	91	96	101	105

Trust Funds

BEQUESTS AND GIFTS

Program and Financing (in millions of dollars)

Identification code 11-8244-0-7-453	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	1	1	1
23.95 New obligations			
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			

This fund represents contributions primarily from the estate of Cora Brown to support the activities of the Disaster Relief Fund.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Offsetting receipts from the public:			
58-089700 Radiological emergency preparedness	11	12	12
General Fund Offsetting receipts from the public	11	12	12

ADMINISTRATIVE PROVISION

The Director of the Federal Emergency Management Agency shall promulgate through rulemaking a methodology for assessment and collection of fees to be assessed and collected beginning in fiscal year [1997] 1998 applicable to persons subject to the Federal Emergency Management Agency's radiological emergency preparedness regulations. The aggregate charges assessed pursuant to this section during fiscal year [1997] 1998 shall approximate, but not be less than, 100 per centum of the amounts anticipated by the Federal Emergency Management Agency to be obligated for its radiological emergency preparedness program for such fiscal year. The methodology for assessment and collection of fees shall be fair and equitable, and shall reflect the full amount of costs of providing radiological emergency planning, preparedness, response and associated services. Such fees shall be assessed in a manner that reflects the use of agency resources for classes of regulated persons and the administrative costs of collecting such fees. Fees received pursuant to this section shall be deposited in the general fund of the Treasury as offsetting receipts. Assessment and collection of such fees are only authorized during fiscal year [1997] 1998. (Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**FEDERAL FINANCIAL INSTITUTIONS  
EXAMINATION COUNCIL APPRAISAL  
SUBCOMMITTEE**

*Federal Funds*

**General and special funds:**

REGISTRY FEES

Unavailable Collections (in millions of dollars)

Identification code 95-5026-0-2-376	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Registry fees, Appraisal subcommittee	2	2	2
Appropriation:			
05.01 Registry fees	-2	-2	-2
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5026-0-2-376	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Administrative expenses	1	1	1
00.02 Grants, subsidies and contributions	1	1	1
10.00 Total obligations	2	2	2
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Fund balance	4	4	2
22.00 New budget authority (gross)	2	2	2
22.40 Capital transfer to general fund		-2	-1
23.90 Total budgetary resources available for obligation	6	4	3
23.95 New obligations	-2	-2	-2
24.90 Unobligated balance available, end of year: Fund balance	4	2	1
<b>New budget authority (gross), detail:</b>			
60.25 Appropriation (special fund, indefinite)	2	2	2
<b>Change in unpaid obligations:</b>			
73.10 New obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101-73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. The Subcommittee is now operat-

ing on fee income from state-licensed and certified real estate appraisers in the national registry. The Economic Growth and Regulatory Paperwork Reduction Act of 1996 requires full repayment of the \$5 million by the end of FY 1998. The Treasury has already been repaid \$2 million.

Object Classification (in millions of dollars)

Identification code 95-5026-0-2-376	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
99.9 Total obligations	2	2	2

Personnel Summary

Identification code 95-5026-0-2-376	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment	7	7	7

**FEDERAL HOUSING FINANCE BOARD**

*Federal Funds*

**Public enterprise funds:**

FEDERAL HOUSING FINANCE BOARD

Program and Financing (in millions of dollars)

Identification code 95-4039-0-3-371	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Operating expenses	13	15	16
00.02 Capital Investments	1		
10.00 Total obligations	14	15	16
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Fund balance	2	2	2
22.00 New budget authority (gross)	14	14	15
23.90 Total budgetary resources available for obligation	16	17	17
23.95 New obligations	-14	-15	-16
24.90 Unobligated balance available, end of year: Fund balance	2	2	
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	14	14	15
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance	2	2	2
73.10 New obligations	14	15	16
73.20 Total outlays (gross)	-14	-15	-16
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance	2	2	2
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	10	11	12
86.98 Outlays from permanent balances	4	4	4
87.00 Total outlays (gross)	14	15	16
<b>Offsets:</b>			
88.40 Against gross budget authority and outlays: Offsetting collections (cash) from: Non-Federal sources	-14	-14	-15
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays		1	1

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The

duties of the Finance Board are: (1) to ensure that the twelve Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise all lending and related operations of the Banks; (3) to ensure that the Banks fulfill their mission to the housing finance industry; (4) to ensure that the Banks remain adequately capitalized; and (5) to ensure that the Banks are able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member Board of Directors. The Directors are the Secretary of Housing and Urban Development and four other individuals appointed by the President, with the advice and consent of the Senate. The President designates one of the appointed Directors as the Chairperson of the Board of Directors. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and (4) use the United States mails in the same manner and under the same conditions as a department or agency of the United States.

#### Statement of Operations (in millions of dollars)

Identification code 95-4039-0-3-371	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	12	14	14	15
0102 Expense .....	-13	-14	-15	-16
0109 Net income .....	-1		-1	-1

#### Balance Sheet (in millions of dollars)

Identification code 95-4039-0-3-371	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	4	4	4	2
1901 Other Federal assets: Other assets .....		1		1
1999 Total assets .....	4	5	4	3
<b>LIABILITIES:</b>				
2207 Non-Federal liabilities: Other .....	1	1	1	1
2999 Total liabilities .....	1	1	1	1
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	3	4	3	1
3999 Total net position .....	3	4	3	1
4999 Total liabilities and net position .....	4	5	4	3

#### Object Classification (in millions of dollars)

Identification code 95-4039-0-3-371	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	6	7	7
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	8	9	10
12.1 Civilian personnel benefits .....	2	2	2
23.2 Rental payments to others .....	1	2	2
31.0 Equipment .....	1	1	1
99.5 Below reporting threshold .....	2	2	1
99.9 Total obligations .....	14	15	16

#### Personnel Summary

Identification code 95-4039-0-3-371	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	114	115	117
2005 Full-time equivalent of overtime and holiday hours .....		1	1

## FEDERAL LABOR RELATIONS AUTHORITY

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, rental of conference rooms in the District of Columbia and elsewhere; **[\$21,588,000] \$22,039,000: Provided,** That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further,* That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Independent Agencies Appropriations Act, 1997*)

#### Program and Financing (in millions of dollars)

Identification code 54-0100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Federal labor relations authority .....	11	11	11
00.02 Office of the general counsel .....	9	10	10
00.03 Federal service impasses panel .....	1	1	1
10.00 Total obligations .....	21	22	22
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	21	22	22
23.95 New obligations .....	-21	-22	-22
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	21	22	22
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	2	2
73.10 New obligations .....	21	22	22
73.20 Total outlays (gross) .....	-20	-22	-22
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	2	2	2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	19	20	20
86.93 Outlays from current balances .....	1	2	2
87.00 Total outlays (gross) .....	20	22	22
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	21	22	22
90.00 Outlays .....	20	22	22

The Federal Labor Relations Authority (FLRA): (1) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute; (2) decides major policy issues; (3) prescribes regulations; and (4) disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in training and facilitation in labor-management partnerships and in resolving disputes in its unified Collaboration and Alternative Dispute Resolution Program. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; facilitates the creation of partnerships as called for in Executive Order 12871; and trains the parties on rights and responsibilities under the Federal Labor Relations Management Statute.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

In 1996, the FLRA conducted over 320 programs involving over 12,000 employees, union representatives, arbitrators, and other practitioners.

*Components.*—The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

*Authority.*—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.

Within the Authority, administrative law judges hold hearings on unfair labor practice complaints, issuing reports, and making recommendations to the Authority to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Workloads are reflected in the following table:

**CASE DISPOSITIONS**

	1996 actual	1997 est.	1998 est.
Arbitration appeals .....	104	138	138
Negotiability appeals .....	67	94	94
Representation appeals/requests for review .....	26	27	27
Unfair labor practice appeals .....	72	80	80

*Office of the General Counsel.*—The functions of this office include: the investigation of all allegations of unfair labor practices filed and the processing of all representation petitions received; the exercise of final authority over the issuance and prosecution of all complaints; the supervision and conducting of elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; the conducting of all hearings to resolve disputed issues in representation cases; preparing final decisions and orders in these cases; and the direction and supervision of all employees of the regional offices. Workloads are reflected in the following table:

**CASE DISPOSITIONS**

	1996 actual	1997 est.	1998 est.
Unfair labor practice cases:			
Investigations .....	6,552	6,700	6,700
Complaints prosecuted .....	57	55	55
Complaints voluntarily settled .....	306	350	350
Appeals .....	634	624	575
Representation cases:			
Investigations .....	559	693	700
Elections/hearings .....	133	150	150

*Federal Service Impasses Panel.*—The functions of the panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978, the Panama Canal Act of 1979, and other statutes. The Panel uses a variety of procedures including factfinding and arbitration.

	1996 actual	1997 est.	1998 est.
Impasse resolutions .....	156	170	170

**Object Classification (in millions of dollars)**

Identification code 54-0100-0-1-805	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent .....	13	14	14
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	14	15	15

12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
25.2	Other services .....	1	1	1
99.5	Below reporting threshold .....	1	1	1
99.9	Total obligations .....	21	22	22

**Personnel Summary**

Identification code 54-0100-0-1-805	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	210	216	216

**FEDERAL MARITIME COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act of 1936, as amended (46 App. U.S.C. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-02; **[\$14,000,000]** \$14,300,000. *Provided*, That not to exceed \$2,000 shall be available for official reception and representation expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 65-0100-0-1-403	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Formal proceedings .....	4	4	4
00.04 Operational and administrative .....	2	2	2
00.06 Economics and agreement analysis .....	2	2	2
00.07 Tariffs, certification and licensing .....	3	2	2
00.08 Enforcement .....	2	2	2
00.10 Administration .....	2	2	2
10.00 Total obligations .....	15	14	14
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	15	14	14
23.95 New obligations .....	-15	-14	-14
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	15	14	14
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	1	1
73.10 New obligations .....	15	14	14
73.20 Total outlays (gross) .....	-16	-14	-14
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	14	13	13
86.93 Outlays from current balances .....	2	1	1
87.00 Total outlays (gross) .....	16	14	14
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	15	14	14
90.00 Outlays .....	16	14	14

The Federal Maritime Commission (the Commission) regulates the international waterborne commerce of the United States. In addition, the Commission has responsibility for licensing of ocean freight forwarders, ensuring that non-vessel-operating common carriers are tariffed and bonded, assuring that vessel owners or operators establish financial responsibility for death or injury to passengers or other persons on voyages to and from U.S. ports, and indemnifying passengers for the nonperformance of transportation. Major program

areas for 1998 are: carrying out investigations of foreign trade practices under the Foreign Shipping Practices Act; operating a computerized tariff filing system; and pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes.

Object Classification (in millions of dollars)

Identification code 65-0100-0-1-403	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services .....	1	1	1
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	15	14	14

Personnel Summary

Identification code 65-0100-0-1-403	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	159	147	143

**FEDERAL MEDIATION AND CONCILIATION SERVICE**

*Federal Funds*

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service to carry out the functions vested in it by the Labor Management Relations Act, 1947 (29 U.S.C. 171-180, 182-183), including hire of passenger motor vehicles; and for expenses necessary for the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a); and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, Public Law 95-454 (5 U.S.C. chapter 71), [**\$32,579,000**] *\$33,481,000*, including \$1,500,000, to remain available through September 30, [1998] 1999, for activities authorized by the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a): *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 93-0100-0-1-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Dispute mediation and preventive mediation, public information .....	23	23	23
00.02 Arbitration services .....	1	1	1
00.03 Management and administrative support .....	7	7	7
00.04 Labor-management cooperation project .....	2	2	2
00.91 Total direct program .....	33	33	33
01.01 Reimbursable program .....	1	1	2
10.00 Total obligations .....	34	34	35
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	34	34	35
23.95 New obligations .....	-34	-34	-35
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	33	33	33

Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Non-Federal sources .....			1
68.00 Offsetting governmental collections .....	1	1	1
68.90 Spending authority from offsetting collections (total) .....	1	1	2
70.00 Total new budget authority (gross) .....	34	34	35
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	4	6	6
73.10 New obligations .....	34	34	35
73.20 Total outlays (gross) .....	-31	-34	-35
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	6	6	6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	28	30	30
86.93 Outlays from current balances .....	2	3	3
86.97 Outlays from new permanent authority .....	1	1	2
87.00 Total outlays (gross) .....	31	34	35
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.40 Non-Federal sources .....			-1
88.45 Offsetting governmental collections .....	-1	-1	-1
88.90 Total, offsetting collections (cash) .....	-1	-1	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	33	33	33
90.00 Outlays .....	31	33	33

The Federal Mediation and Conciliation Service (FMCS or the Service) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

*Dispute mediation.*—The Service assists labor and management in mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

MEDIATION WORKLOAD DATA

	1994 actual	1995 actual	1996 actual	1997 estimate	1998 estimate
Cases in process at beginning of year	7,276	7,025	6,956	7,183	7,000
Mediation assignments .....	22,184	20,195	19,535	19,500	19,500
Mediation assignments closed .....	22,435	20,268	19,308	19,683	19,500
Cases in process at end of year .....	7,025	6,956	7,183	7,000	7,000
Total mediation conferences conducted	19,880	16,648	17,870	17,900	17,900

*Preventive mediation, public information, and educational activities.*—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in public information and educational activities such as lectures, seminars, and conferences.

*Arbitration services.*—The Service assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**ARBITRATION SERVICES WORKLOAD DATA**

	1994 actual	1995 actual	1996 actual	1997 estimate	1998 estimate
Number of panels issued .....	31,610	30,697	30,066	29,500	29,500
Number of arbitrators appointed .....	11,640	11,593	10,102	10,000	10,000

*Management and administrative support.*—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

*Labor-management cooperation project.*—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

*Alternative Dispute Resolution (ADR) Projects.*—FMCS assists other federal agencies providing mediation and technical assistance in the area of ADR. The ADR projects reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

**ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA**

	1994 actual	1995 actual	1996 actual	1997 estimate	1998 estimate
Number of ADR Projects .....	50	92	51	62	75

**Object Classification (in millions of dollars)**

Identification code 93-0100-0-1-505	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	18	18	20
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	1	1
31.0 Equipment .....	1	1	.....
41.0 Grants, subsidies, and contributions .....	2	2	2
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	34	34	35

**Personnel Summary**

Identification code 93-0100-0-1-505	1996 actual	1997 est.	1998 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	286	286	286
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....		4	4

**FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary for the Federal Mine Safety and Health Review Commission (30 U.S.C. 801 et seq.), \$6,060,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 95-2800-0-1-554	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Commission review .....	3	3	3
00.02 Administrative law judge determinations .....	3	3	3
10.00 Total obligations .....	6	6	6

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1		
22.00 New budget authority (gross) .....	6	6	6
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation	6	6	6
23.95 New obligations .....	-6	-6	-6

**New budget authority (gross), detail:**

40.00 Appropriation .....	6	6	6
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	6	6	6
73.20 Total outlays (gross) .....	-6	-6	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	5	5	5
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	6	6	6

**Net budget authority and outlays:**

89.00 Budget authority .....	6	6	6
90.00 Outlays .....	6	6	6

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**SELECTED WORKLOAD DATA**

	1996 actual	1997 est.	1998 est.
Commission review activities:			
Cases pending beginning of year .....	70	63	53
Cases called for review .....	60	75	75
Cases decided .....	67	85	90
Administrative law judge activities:			
Cases pending beginning of year .....	6,783	6,005	5,455
New cases received .....	2,220	2,800	2,800
Cases decided .....	2,998	3,350	3,350

**Object Classification (in millions of dollars)**

Identification code 95-2800-0-1-554	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.9 Total obligations .....	6	6	6

**Personnel Summary**

Identification code 95-2800-0-1-554	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	52	59	57

**FEDERAL RETIREMENT THRIFT INVESTMENT BOARD**

**Federal Funds**

**General and special funds:**

**PROGRAM EXPENSES**

**Unavailable Collections (in millions of dollars)**

Identification code 26-5290-0-2-803	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Reimbursement for program expenses .....	41	51	50

Appropriation:				
05.01	Program expenses	-41	-51	-50
07.99	Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 26-5290-0-2-803	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Administrative expenses	41	51	50
10.00	Total obligations	41	51	50
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross)	41	51	50
23.95	New obligations	-41	-51	-50
<b>New budget authority (gross), detail:</b>				
60.25	Appropriation (special fund, indefinite)	41	51	50
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	5	28	5
73.10	New obligations	41	51	50
73.20	Total outlays (gross)	-19	-74	-50
73.40	Adjustments in expired accounts	1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	28	5	5
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority	14	46	45
86.98	Outlays from permanent balances	5	28	5
87.00	Total outlays (gross)	19	74	50
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	41	51	50
90.00	Outlays	19	74	50

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, the Fund is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who, subsequently, separate from the Federal government prior to vesting and from earnings on all participant and agency contributions to the Fund.

Object Classification (in millions of dollars)

Identification code 26-5290-0-2-803	1996 actual	1997 est.	1998 est.	
11.1	Personnel compensation: Full-time permanent	5	6	6
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	1	2	2
24.0	Printing and reproduction	2	3	3
25.2	Other services	2	8	5
25.3	Purchases of goods and services from Government accounts	26	30	32
31.0	Equipment	2		
99.5	Below reporting threshold	2	1	1
99.9	Total obligations	41	51	50

Personnel Summary

Identification code 26-5290-0-2-803	1996 actual	1997 est.	1998 est.	
1001	Total compensable workyears: Full-time equivalent employment	102	113	114

of the individual Federal employee participants in the Fund. All Federal employees are eligible to contribute to the Fund. However, only those employees covered by the Federal Employees' Retirement System will have their contributions matched by employing agencies in accordance with the formulas prescribed by law. Employees are entitled to select how contributions are distributed among three investment funds: a U.S. Government securities investment fund, a common stock index investment fund, and a fixed income index investment fund.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the three separate funds is shown below:

STATUS OF THRIFT SAVINGS FUND

	[In millions of dollars]		
	1996 actual	1997 est.	1998 est.
<b>Investment balance, start of year:</b>			
Government Securities Investment Fund	21,208	23,217	26,727
Barclays Equity Index Fund	9,865	16,297	20,945
Barclays U.S. Debt Index Fund	2,009	2,483	3,287
Thrift Savings Fund investment balance, start of year	33,082	41,997	50,959
<b>Cash income for the year:</b>			
Employee contributions	4,209	4,651	5,079
Earnings <sup>1</sup>	3,973	3,856	4,638
Contributions on behalf of employees	1,974	2,189	2,407
Total net income	10,155	10,696	12,124
<b>Cash outgo during year:</b>			
Withdrawals	1,011	1,153	1,303
Loans to employees	211	505	594
Administrative expenses	19	76	50
Total outgo	1,240	1,734	1,947
Investment balance, end of year:			
Government Securities Investment Fund <sup>2</sup>	23,217	26,727	30,617
Barclays Equity Index Fund	16,297	20,945	26,319
Barclays U.S. Debt Index Fund	2,483	3,287	4,200
Thrift Savings Fund investment balance, end of year	41,997	50,959	61,136

<sup>1</sup> 1996 earnings include: return on investments in Government securities—\$1,453 million; return on investments in non-government instruments—\$2,466 million; earnings on loans—\$52 million; and agency payments for lost earnings—\$1 million.

<sup>2</sup> Includes \$69 million committed to the Barclays Equity Index Fund and \$4 million committed to the Barclays U.S. Debt Index Fund pending settlement.

STATUS OF THE GOVERNMENT SECURITIES INVESTMENT FUND

	[In millions of dollars]		
	1996 actual	1997 est.	1998 est.
Investment balance, start of year	21,208	23,217	26,727
<b>Cash income for the year:</b>			
New investments	1,367	3,078	3,369
Earnings	1,479	1,554	1,756
Total, cash income	2,845	4,632	5,125
<b>Cash outgo during the year:</b>			
Withdrawals	714	807	902
Loans to employees	109	274	307
Administrative expenses	13	41	26
Total, cash outgo	837	1,122	1,235
Investment balance, end of year	23,217	26,727	30,617

STATUS OF THE BARCLAYS EQUITY INDEX FUND

	[In millions of dollars]		
	1996 actual	1997 est.	1998 est.
Investment balance, start of year	9,865	16,297	20,945
<b>Cash income for the year:</b>			
New investments	4,383	3,078	3,369
Earnings	2,382	2,088	2,609

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf

**General and special funds—Continued**

**INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND—Continued**

**STATUS OF THE BARCLAYS EQUITY INDEX FUND—Continued**

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
Total, cash income	6,765	5,166	5,978
Cash outgo during the year:			
Withdrawals	244	287	335
Loans to employees	85	200	248
Administrative expenses	5	31	21
Total, cash outgo	333	518	604
Investment balance, end of year	16,297	20,945	26,319

**STATUS OF THE BARCLAYS U.S. DEBT INDEX FUND**

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
Investment balance, start of year	2,009	2,483	3,287
Cash income for the year:			
New investments	433	684	748
Earnings	112	214	273
Total, cash income	544	898	1,021
Cash outgo during the year:			
Withdrawals	52	59	66
Loans to employees	17	31	39
Administrative expenses	1	4	3
Total, cash outgo	70	94	108
Investment balance, end of year	2,483	3,287	4,200

**FEDERAL TRADE COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses; **[\$85,930,000] \$98,000,000: Provided**, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: *Provided further*, That notwithstanding any other provision of law, not to exceed **[\$58,905,000] \$70,000,000** of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18(a)) shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year **[1997] 1998**, so as to result in a final fiscal year **[1997] 1998** appropriation from the General Fund estimated at not more than **[\$27,025,000] \$28,000,000**, to remain available until expended: *Provided further*, That any fees received in excess of **[\$58,905,000] \$70,000,000** in fiscal year **[1997] 1998** shall remain available until expended, but shall not be available for obligation until October 1, **[1997] 1998: Provided further**, That none of the funds made available to the Federal Trade Commission shall be available for obligation for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102-242, 105 Stat. 2282-2285). (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.*)

**Unavailable Collections (in millions of dollars)**

Identification code 29-0100-0-1-376	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year	20	16	10
03.00 Offsetting Collections	16	10	
04.00 Total: Balances and collections	36	26	10
Appropriation:			
05.01 Salaries and expenses	-20	-16	-10
07.99 Total balance, end of year	16	10	

**Program and Financing (in millions of dollars)**

Identification code 29-0100-0-1-376	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Maintaining competition			
00.02 Consumer protection	31	27	28
00.91 Total direct program	31	27	28
01.01 Reimbursable program	70	76	80
10.00 Total obligations	101	103	108

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested balance	2	1	1
22.00 New budget authority (gross)	100	103	108
23.90 Total budgetary resources available for obligation	102	104	109
23.95 New obligations	-101	-103	-108
24.40 Unobligated balance available, end of year:			
Uninvested balance	1	1	1

**New budget authority (gross), detail:**

Current:			
40.00 Appropriation	31	27	28
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	65	70	70
68.26 Offsetting collections (unavailable balances)	20	16	10
68.45 Portion not available for obligation (limitation on obligations)	-16	-10	
68.90 Spending authority from offsetting collections (total)	69	76	80
70.00 Total new budget authority (gross)	100	103	108

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	11	12	11
73.10 New obligations	101	103	108
73.20 Total outlays (gross)	-100	-104	-108
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	12	11	11

**Outlays (gross), detail:**

86.90 Outlays from new current authority	29	25	26
86.93 Outlays from current balances	13	9	2
86.97 Outlays from new permanent authority	58	70	74
86.98 Outlays from permanent balances			6
87.00 Total outlays (gross)	100	104	108

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	
88.40 Non-Federal sources	-64	-69	-70
88.90 Total, offsetting collections (cash)	-65	-70	-70

**Net budget authority and outlays:**

89.00 Budget authority	35	33	38
90.00 Outlays	35	34	38

The Federal Trade Commission (FTC or Commission) is charged by law with ensuring that competition in the marketplace is vigorous, free, and fair. This is accomplished by eliminating threats to fair and honest competition from all sources, both public and private.

**Maintaining competition.**—The Commission's efforts are aimed at fostering and preserving our competitive system with the goal of maximizing consumer welfare. In addition to enforcing the antitrust laws against private sector restraints on competition, the Commission also scrutinizes regulatory policies that unduly restrain competition, and encourages policymakers to harness the benefits of competition when in the development of such policies.

**Consumer protection.**—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to improve market performance so that consumers can make informed choices when exercising their purchasing power. To accomplish this goal, the Commission will: remove harmful private and public restrictions on market performance; encourage business to provide consumers with accurate and useful information; and reinforce market forces that enhance consumer welfare.

The President's budget for 1998 provides a total of 960 workyears. The program level for the Commission would increase from \$101.9 million in 1997 to \$108.0 million in 1998, allowing the Commission to continue to pursue its missions.

The programs administered by the FTC are funded by appropriated funds and fees assessed for premerger notification filings under the Hart-Scott-Rodino Act, as required by section 605 of Public Law 101-162, as amended. The FTC will use \$80.0 million in premerger filings fees to finance its activities, of which \$10.0 million is derived from estimated carryover fee balances.

**Object Classification (in millions of dollars)**

Identification code 29-0100-0-1-376	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	18	16	17
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	19	16	17
12.1 Civilian personnel benefits .....	4	3	4
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	1	1	1
25.4 Operation and maintenance of facilities .....	1		
31.0 Equipment .....	1		
99.0 Subtotal, direct obligations .....	31	25	27
99.0 Reimbursable obligations .....	70	76	80
99.5 Below reporting threshold .....		2	1
99.9 Total obligations .....	101	103	108

**Personnel Summary**

Identification code 29-0100-0-1-376	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	291	248	248
<b>Reimbursable:</b>			
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	648	692	712
2005 Full-time equivalent of overtime and holiday hours .....	3	3	3

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Offsetting receipts from the public:			
29-085200 Pre-merger filing fees, Federal Trade Commission: Legislative proposal, subject to PAYGO .....			70
General Fund Offsetting receipts from the public .....			70

**HARRY S. TRUMAN SCHOLARSHIP FOUNDATION**

**Trust Funds**

**HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8296-0-7-502	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Interest on investments .....	4	4	4
<b>Appropriation:</b>			
05.01 Harry S. Truman memorial scholarship trust fund .....	-4	-4	-4
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-8296-0-7-502	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Scholarship awards .....	2	2	2
00.02 Program administration .....	1	1	1
10.00 Total obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
<b>Unobligated balance available, start of year:</b>			
21.40 Uninvested balance .....	-3	-3	
21.41 U.S. Securities: Par value .....	54	55	53
21.99 Total unobligated balance, start of year .....	51	52	53
22.00 New budget authority (gross) .....	4	4	4
23.90 Total budgetary resources available for obligation .....	55	56	57
23.95 New obligations .....	-3	-3	-3
<b>Unobligated balance available, end of year:</b>			
24.40 Uninvested balance .....	-3		
24.41 U.S. Securities: Par value .....	55	53	54
24.99 Total unobligated balance, end of year .....	52	53	54

**New budget authority (gross), detail:**

60.27 Appropriation (trust fund, indefinite) .....	4	4	4
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	2	3	2
73.10 New obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	3	2	2

**Outlays (gross), detail:**

86.97 Outlays from new permanent authority .....	2	2	2
86.98 Outlays from permanent balances .....	1	1	1
87.00 Total outlays (gross) .....	3	3	3

**Net budget authority and outlays:**

89.00 Budget authority .....	4	4	4
90.00 Outlays .....	3	3	3

Public Law 93-642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its 1998 annual competition, the Foundation will select up to 80 new Truman Scholars. The maximum award will be \$30,000 for four years.

**Scholarship awards.**—This activity is comprised of scholarships awarded to cover eligible educational expenses.

**Program administration.**—This activity covers all costs of operating the program, including annual program announce-

HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND—  
Continued

ment, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

Identification code 95-8296-0-7-502	1996 actual	1997 est.	1998 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	3	3	3

Personnel Summary

Identification code 95-8296-0-7-502	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4	5	5

**INSTITUTE OF AMERICAN INDIAN AND  
ALASKA NATIVE CULTURE AND ARTS  
DEVELOPMENT**

**Federal Funds**

**General and special funds:**

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498, as amended (20 U.S.C. 56, Part A), \$5,500,000. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 95-2900-0-1-502	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Payment to the Institute .....	6	6	6
10.00 Total obligations (object class 41.0) .....	6	6	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	6	6	6
23.95 New obligations .....	-6	-6	-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	6	6	6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	6	6	6
73.20 Total outlays (gross) .....	-6	-6	-6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	6	6	6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6	6	6
90.00 Outlays .....	6	6	6

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit corporation administered by a Board of Trustees. The Institute provides Native Americans with an opportunity to obtain a postsecondary education in various fields of Indian art and culture.

*Payment to the Institute.*—This activity supports the operations of the Institute.

**INTELLIGENCE COMMUNITY MANAGEMENT  
ACCOUNT**

**Federal Funds**

**General and special funds:**

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account; **[\$129,164,000] \$122,580,000**, of which \$44,011,000 for the *Advanced Research and Development Committee and the Environmental Intelligence and Applications Program* shall remain available until September 30, 1999. Provided, That of the funds appropriated under this heading, \$27,000,000 shall be transferred to the Department of Justice for the National Drug Intelligence Center to support the Department of Defense's counterdrug [monitoring and detection] intelligence responsibilities.

Further, for the foregoing purposes, \$122,123,000 during fiscal year 1999; of which \$27,000,000 shall be transferred to the Department of Justice for the National Drug Intelligence Center; and of which \$43,790,000 for the *Advanced Research and Development Committee and the Environmental Intelligence and Applications Program* shall remain available until September 30, 2000. (*Department of Defense Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 95-0401-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
<b>Obligations by program activity:</b>				
10.00 Total obligations .....	89	102	96	95
<b>Budgetary resources available for obligation:</b>				
21.40 Unobligated balance available, start of year: Uninvested balance .....	15	17	17	17
22.00 New budget authority (gross) .....	91	102	96	95
23.90 Total budgetary resources available for obligation .....	106	119	113	112
23.95 New obligations .....	-89	-102	-96	-95
24.40 Unobligated balance available, end of year: Uninvested balance .....	17	17	17	17
<b>New budget authority (gross), detail:</b>				
40.00 Appropriation .....	91	129	123	122
41.00 Transferred to other accounts .....		-27	-27	-27
43.00 Appropriation (total) .....	91	102	96	95
70.00 Total new budget authority (gross) .....	91	102	96	95
<b>Change in unpaid obligations:</b>				
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	75	69	86	96
73.10 New obligations .....	89	102	96	95
73.20 Total outlays (gross) .....	-95	-85	-87	-93
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	69	86	96	97
<b>Outlays (gross), detail:</b>				
86.90 Outlays from new current authority .....	32	56	53	52
86.93 Outlays from current balances .....	63	29	34	41
87.00 Total outlays (gross) .....	95	85	87	93
<b>Net budget authority and outlays:</b>				
89.00 Budget authority .....	91	102	96	95
90.00 Outlays .....	95	85	87	93

Since the establishment of the Community Management Staff (CMS) in 1992, additional programs and responsibilities have been added to it for budgetary oversight. To improve oversight, the Intelligence Community Management Account (ICMA) replaces the CMS as the umbrella account to hold those programs that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole. The ICMA now includes the CMS, the Environmental Intelligence and Applications program, the National Intelligence Council, the Center for Security Evaluations, the Information Systems Secretariat, the Controlled Access Program

Coordination Office, the Advanced Research and Development program, and the National Counterintelligence Center.

The CMS is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Environmental Intelligence and Applications program evaluates the application of Intelligence Community archived information and current and future imaging capabilities to the study of the environment. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The Controlled Access Program Coordination Office supports the DCI's annual review of Intelligence Special Access programs. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The Information Systems Secretariat will support technical activities and services of common Community concern regarding interoperability between national intelligence systems and consumers. The National Counterintelligence Center was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities.

#### Object Classification (in millions of dollars)

Identification code 95-0401-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
11.3 Personnel compensation: Other than full-time permanent .....	18	21	22	19
12.1 Civilian personnel benefits .....	5	6	6	6
21.0 Travel and transportation of persons .....	2	2	2	2
25.2 Other services .....	60	68	61	63
26.0 Supplies and materials .....	1	1	1	1
31.0 Equipment .....	3	4	4	4
99.9 Total obligations .....	89	102	96	95

#### Personnel Summary

Identification code 95-0401-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	221	268	278	278

## INTELLIGENCE COMMUNITY STAFF

### Federal Funds

#### General and special funds:

##### INTELLIGENCE COMMUNITY STAFF

#### Program and Financing (in millions of dollars)

Identification code 95-0400-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>				
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	1			
73.10 New obligations .....				
73.20 Total outlays (gross) .....				
73.40 Adjustments in expired accounts .....	1			
<b>Net budget authority and outlays:</b>				
89.00 Budget authority .....				
90.00 Outlays .....				

The Intelligence Community Staff provided support and assistance to the Director of Central Intelligence in his capacity as the leader of the intelligence community. In 1992, the Intelligence Community Staff was disbanded. Many of its functions were distributed to agencies of the intelligence com-

munity with the remaining activities transferred to the Intelligence Community Management account.

## INTERNATIONAL TRADE COMMISSION

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$40,850,000]** \$41,980,000, to remain available until expended. (*Department of Commerce and Related Agencies Appropriations Act, 1997.*)

#### Program and Financing (in millions of dollars)

Identification code 34-0100-0-1-153	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Research, investigations, and reports .....	40	41	42
10.00 Total obligations .....	40	41	42
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....	1		
22.00 New budget authority (gross) .....	40	41	42
23.90 Total budgetary resources available for obligation .....	41	41	42
23.95 New obligations .....	-40	-41	-42
<b>New budget authority (gross), detail:</b>			
40.05 Appropriation (indefinite) .....	40	41	42
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: start of year: Appropriation .....	4	4	4
73.10 New obligations .....	40	41	42
73.20 Total outlays (gross) .....	-39	-41	-42
74.40 Unpaid obligations, end of year: Obligated balance: end of year: Appropriation .....	4	4	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	37	38	39
86.93 Outlays from current balances .....	2	3	3
87.00 Total outlays (gross) .....	39	41	42
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	40	41	42
90.00 Outlays .....	39	41	42

The U.S. International Trade Commission is an independent agency created by an act of Congress. The Commission's current powers and duties are provided for principally by the Tariff Act of 1930; the Trade Act of 1974; the Agricultural Adjustment Act; the Trade and Tariff Act of 1984; the Omnibus Trade and Competitiveness Act of 1988; the North American Free Trade Agreement Implementation Act; and the Uruguay Round Agreements Act.

The Commission conducts investigations and makes findings concerning *inter alia*, whether: (1) increased imports are a substantial cause of serious injury to an industry; (2) a U.S. industry is being materially injured, or threatened with material injury, or the establishment of such an industry is being materially retarded, by reason of imports of goods that are subsidized or are being sold at less than fair value; (3) there are unfair import practices in import trade; and (4) imports of agricultural products are materially interfering with certain programs of the U.S. Department of Agriculture.

The Commission advises the President as to the probable economic effect on domestic industry and consumers of modification of duties and other barriers to trade which may be considered for inclusion in any proposed trade agreement with foreign countries. Further, the Commission, at the request

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

of the President, the Congress, or on the Commission's own motion, undertakes comprehensive studies and provides reports on key issues relating to international trade and economic policy matters, and upon request provides other information and advice to the Congress and President on tariff and trade matters.

The Commission, in cooperation with the Secretary of the Treasury and the Secretary of Commerce, establishes for statistical purposes an enumeration of articles imported into the United States and exported from the United States, and seeks to establish comparability of such statistics with statistical programs for domestic production.

The Commission also issues a publication containing the U.S. tariff schedule and related matters and considers questions concerning the arrangements of such schedules and the classification of articles.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

**Object Classification (in millions of dollars)**

Identification code 34-0100-0-1-153	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	23	24	25
12.1 Civilian personnel benefits .....	4	5	5
13.0 Benefits for former personnel .....	1		
23.1 Rental payments to GSA .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1		
99.0 Subtotal, direct obligations .....	39	40	41
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	40	41	42

**Personnel Summary**

Identification code 34-0100-0-1-153	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	385	383	383
1005 Full-time equivalent of overtime and holiday hours .....		1	1

**INTERSTATE COMMERCE COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

**PAYMENTS FOR DIRECTED RAIL SERVICE**

**Program and Financing (in millions of dollars)**

Identification code 30-0100-0-1-401	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Motor program .....	3		
00.02 Rail program .....	3		
00.91 Total direct program .....	6		
01.01 Reimbursable program .....	3		
10.00 Total obligations .....	9		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9		
23.95 New obligations .....	-9		
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	14		

41.00 Transferred to other accounts .....	-8		
43.00 Appropriation (total) .....	6		
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	3		
70.00 Total new budget authority (gross) .....	9		

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	4		
73.10 New obligations .....	9		
73.20 Total outlays (gross) .....	-11		
73.31 Obligated balance transferred to other accounts .....	-2		

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	6		
86.93 Outlays from current balances .....	2		
86.97 Outlays from new permanent authority .....	3		
87.00 Total outlays (gross) .....	11		

**Offsets:**

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-3		

**Net budget authority and outlays:**

89.00 Budget authority .....	6		
90.00 Outlays .....	8		

The Interstate Commerce Commission was sunset on December 31, 1995, by P.L. 104-88, the ICC Termination Act of 1995. Certain remaining surface transportation functions were transferred to the Department of Transportation's Surface Transportation Board and Federal Highway Administration.

**Object Classification (in millions of dollars)**

Identification code 30-0100-0-1-401	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3		
12.1 Civilian personnel benefits .....	1		
23.1 Rental payments to GSA .....	1		
25.2 Other services .....	1		
99.0 Subtotal, direct obligations .....	6		
99.0 Reimbursable obligations .....	3		
99.9 Total obligations .....	9		

**Personnel Summary**

Identification code 30-0100-0-1-401	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	53		
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	33		

**JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION**

**Trust Funds**

**JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8282-0-7-502	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.02 Earnings on investments .....	3	3	3
<b>Appropriation:</b>			
05.01 James Madison Memorial Fellowship Trust Fund .....	-3	-3	-3

07.99 Total balance, end of year .....

**Program and Financing (in millions of dollars)**

Identification code 95-8282-0-7-502	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Fellowship awards .....	1	1	1
00.02 Program administration .....	1	1	1
10.00 Total obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested balance .....	-1		
21.41 U.S. Securities: Par value .....	37	38	39
21.99 Total unobligated balance, start of year .....	36	38	39
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation .....	39	41	42
23.95 New obligations .....	-2	-2	-2
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	38	39	40
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	3	3	3
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-2	-2
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	2	2	2

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in fiscal years 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. High school seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 62 fellowships in 1996 and plans to award 60 in both 1997 and 1998.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

**Object Classification (in millions of dollars)**

Identification code 95-8282-0-7-502	1996 actual	1997 est.	1998 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	2	2

**Personnel Summary**

Identification code 95-8282-0-7-502	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	6	7	7

**JAPAN-UNITED STATES FRIENDSHIP COMMISSION**

**Trust Funds**

**JAPAN-UNITED STATES FRIENDSHIP TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8025-0-7-154	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....	46	46	46
Receipts:			
02.01 Interest on investment in public debt securities .....	1	1	1
04.00 Total: Balances and collections .....	47	47	47
Appropriation:			
05.01 Japan-United States friendship trust fund .....	-1	-1	-1
05.02 Japan-United States friendship trust fund, legislative proposal .....			-46
05.99 Subtotal appropriation .....	-1	-1	-47
07.99 Total balance, end of year .....	46	46	

**Program and Financing (in millions of dollars)**

Identification code 95-8025-0-7-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Grants .....	2	2	1
10.00 Total obligations (object class 41.0) .....	2	2	1
<b>Budgetary resources available for obligation:</b>			
21.91 Unobligated balance available, start of year: U.S. Securities: Par value .....	2	1	
22.00 New budget authority (gross) .....	1	1	1
23.90 Total budgetary resources available for obligation .....	3	2	1
23.95 New obligations .....	-2	-2	-1
24.91 Unobligated balance available, end of year: U.S. Securities: Par value .....	1		1
<b>New budget authority (gross), detail:</b>			
Current:			
40.26 Appropriation (trust fund, definite) .....	1		
Permanent:			
60.27 Appropriation (trust fund, indefinite) .....		1	1
70.00 Total new budget authority (gross) .....	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	2	2	1
73.20 Total outlays (gross) .....	-2	-2	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1		
86.93 Outlays from current balances .....		1	
86.97 Outlays from new permanent authority .....		1	1
86.98 Outlays from permanent balances .....	1		
87.00 Total outlays (gross) .....	2	2	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	2	2	1

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority .....	1	1	1
Outlays .....	2	2	1
Legislative proposal, subject to PAYGO:			
Budget Authority .....			46

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND—Continued

Summary of Budget Authority and Outlays—Continued

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Outlays .....			37
Total:			
Budget Authority .....	1	1	47
Outlays .....	2	2	38

The Japan-U.S. Friendship Commission administers programs that seek to improve communications and understanding between the people of Japan and the United States.

Personnel Summary

Identification code 95-8025-0-7-154	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4	5	5

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95-8025-4-7-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			37
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			46
22.40 Capital transfer to general fund .....			-9
23.90 Total budgetary resources available for obligation .....			37
23.95 New obligations .....			-37
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....			46
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			37
73.20 Total outlays (gross) .....			-37
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			37
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			46
90.00 Outlays .....			37

Since 1975, the Japan-United States Friendship Commission has operated with revenues generated from a Trust Fund currently valued at approximately \$47 million established (both in Yen in Japan, and dollars in the U.S.) to provide for annual grant programs and operations. The Administration proposes to privatize the Commission in 1998, that is, to eliminate the Commission as a federal agency and provide a \$38 million start-up grant to a new, private, non-profit corporation established to carry on the work of the Commission. The Administration proposes returning \$9 million of the original Trust Fund principal to general receipts of the Department of Treasury to offset the cost of privatization. A legislative proposal to enact this change will follow transmittal of the budget.

Personnel Summary

Identification code 95-8025-4-7-154	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....			-5

**[JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD]**

*Federal Funds*

**General and special funds:**

**[JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD]**

**[For necessary expenses to carry out the John F. Kennedy Assassination Records Collection Act of 1992, \$2,150,000.] (Independent Agencies Appropriations Act, 1997.)**

Program and Financing (in millions of dollars)

Identification code 48-1001-0-1-808	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2	3	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1	1	
22.00 New budget authority (gross) .....	2	2	
23.90 Total budgetary resources available for obligation .....	3	3	
23.95 New obligations .....	-2	-3	
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	2	
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	2	3	
73.20 Total outlays (gross) .....	-2	-3	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	2	
86.93 Outlays from current balances .....		1	
87.00 Total outlays (gross) .....	2	3	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	
90.00 Outlays .....	2	3	

The John F. Kennedy Assassination Records Review Board was established to oversee an effort of enormous scope within a three year period. The Board is charged with locating and securing all records which relate to the assassination of President Kennedy. These records include those of at least fifteen Federal agencies, previous official investigations, the Presidential libraries, and many smaller governmental and private repositories throughout the country.

The purpose of the Board is to ensure the efficient, timely and full disclosure of these records to the American public. This effort is seen as perhaps the last opportunity to clear up the many lingering doubts and questions surrounding the assassination of President Kennedy.

1997 is the Board's third and final year, and it will issue a final report upon its termination.

Object Classification (in millions of dollars)

Identification code 48-1001-0-1-808	1996 actual	1997 est.	1998 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	
99.5 Below reporting threshold .....	1	2	
99.9 Total obligations .....	2	3	

Personnel Summary

Identification code 48-1001-0-1-808	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	25	31	

**LEGAL SERVICES CORPORATION**

**Federal Funds**

**General and special funds:**

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, as amended, **[\$283,000,000] \$340,000,000**, of which **[\$274,400,000] \$318,070,000** is for basic field programs and required independent audits; **[\$1,500,000]** is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; and **\$7,100,000** is for management and administration **[\$12,000,000]** is for technology initiatives; and **\$9,930,000** is for Management and Administration and the Office of the Inspector General. (Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 20-0501-0-1-752	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	278	283	340
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	278	283	340
23.95 New obligations	-278	-283	-340
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	278	283	340
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	27	23	49
73.10 New obligations	278	283	340
73.20 Total outlays (gross)	-282	-257	-330
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	23	49	59
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	255	234	281
86.93 Outlays from current balances	27	23	49
87.00 Total outlays (gross)	282	257	330
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	278	283	340
90.00 Outlays	282	257	330

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal government.

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

SEC. 501. (a) CONTINUATION OF COMPETITIVE SELECTION PROCESS.—None of the funds appropriated in this Act to the Legal Services Corporation may be used to provide financial assistance to any person or entity except through a competitive selection process conducted in accordance with regulations promulgated by the Corporation in accordance with the criteria set forth in subsections (c), (d), and (e) of section 503 of Public Law 104-134 (110 Stat. 1321-52 et seq.).

(b) INAPPLICABILITY OF NONCOMPETITIVE PROCEDURES.—For purposes of the funding provided in this Act, rights under sections 1007(a)(9) and 1011 of the Legal Services Corporation Act (42 U.S.C. 2996f(a)(9) and 42 U.S.C. 2996j) shall not apply.

SEC. 502. (a) CONTINUATION OF REQUIREMENTS AND RESTRICTIONS.—None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of—

(1) sections 501, 502, 505, 506, and 507 of Public Law 104-134 (110 Stat. 1321-51 et seq.), and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions as set forth in such sections, except that all references in such sections to 1995 and 1996 shall be deemed to refer instead to 1997 and 1998, respectively; and

(2) section 504 of Public Law 104-134 (110 Stat. 1321-53 et seq.), and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such section, except that—

(A) subsection (c) of such section 504 shall not apply;

(B) paragraph (3) of section 508(b) of Public Law 104-134 (110 Stat. 1321-58) shall apply with respect to the requirements of subsection (a)(13) of such section 504, except that all references in such section 508(b) to the date of enactment shall be deemed to refer to April 26, 1996; and

(C) subsection (a)(11) of such section 504 shall not be construed to prohibit a recipient from using funds derived from a source other than the Corporation to provide related legal assistance to—

(i) an alien who has been battered or subjected to extreme cruelty in the United States by a spouse or a parent, or by a member of the spouse's or parent's family residing in the same household as the alien and the spouse or parent consented or acquiesced to such battery or cruelty; or

(ii) an alien whose child has been battered or subjected to extreme cruelty in the United States by a spouse or parent of the alien (without the active participation of the alien in the battery or extreme cruelty), or by a member of the spouse's or parent's family residing in the same household as the alien and the spouse or parent consented or acquiesced to such battery or cruelty, and the alien did not actively participate in such battery or cruelty.

(b) DEFINITIONS.—For purposes of subsection (a)(2)(C):

(1) The term "battered or subjected to extreme cruelty" has the meaning given such term under regulations issued pursuant to subtitle G of the Violence Against Women Act of 1994 (Pub. L. 103-322; 108 Stat. 1953).

(2) The term "related legal assistance" means legal assistance directly related to the prevention of, or obtaining of relief from, the battery or cruelty described in such subsection.

SEC. 503. (a) CONTINUATION OF AUDIT REQUIREMENTS.—The requirements of section 509 of Public Law 104-134 (110 Stat. 1321-58 et seq.), other than subsection (l) of such section, shall apply during fiscal year [1997] 1998.

(b) REQUIREMENT OF ANNUAL AUDIT.—An annual audit of each person or entity receiving financial assistance from the Legal Services Corporation under this Act shall be conducted during fiscal year [1997] 1998 in accordance with the requirements referred to in subsection (a). (Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1997.)

**MARINE MAMMAL COMMISSION**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92-522, as amended, **[\$1,189,000] \$1,240,000**. (Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-2200-0-1-302	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 11.1)	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1	1	1
23.95 New obligations	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1	1	1

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-2200-0-1-302	1996 actual	1997 est.	1998 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and, manages a research program.

**Personnel Summary**

Identification code 95-2200-0-1-302	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	10	12	12

**MERIT SYSTEMS PROTECTION BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, **[\$23,923,000]** \$24,450,000, together with not to exceed \$2,430,000 for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Independent Agencies Appropriations Act, 1996.*)

**Program and Financing (in millions of dollars)**

Identification code 41-0100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adjudication .....	20	20	21
00.02 Merit system studies .....	1	1	1
00.03 Management support .....	3	3	3
00.91 Total direct program .....	24	24	24
01.01 Reimbursable program .....	3	2	2
10.00 Total obligations .....	27	26	27
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	28	26	27
23.95 New obligations .....	-27	-26	-27

**New budget authority (gross), detail:**

Current:			
40.00 Appropriation .....	25	24	24
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	3	2	2
70.00 Total new budget authority (gross) .....	27	26	27

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	2	2
73.10 New obligations .....	27	26	27
73.20 Total outlays (gross) .....	-28	-26	-26
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	2	2	2

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	23	22	22
86.93 Outlays from current balances .....	2	2	2
86.97 Outlays from new permanent authority .....	3	2	2
87.00 Total outlays (gross) .....	28	26	26

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-2	-2

**Net budget authority and outlays:**

89.00 Budget authority .....	25	24	24
90.00 Outlays .....	25	24	24

The Merit Systems Protection Board's mission is to assist Federal agencies in running a merit-based civil service system. The MSPB accomplishes its mission on a case-by-case basis through hearing and deciding employee appeals, and on a systematic basis by reviewing significant actions and regulations of the Office of Personnel Management (OPM) and conducting studies of the civil service and other merit systems. The intended results (outcomes) of MSPB's efforts are (1) to increase the assurance that personnel actions taken against employees are processed within the law, and (2) that actions taken by OPM and other agencies support and enhance Federal merit principles.

Board workloads are reflected in the following table:

**PRODUCTION COUNT**

	1996 actual	1997 est.	1998 est.
Retirement (legal-disability) .....	1,454	1,500	1,500
Adverse action appeals .....	4,873	5,000	5,000
Reduction-in-force appeals .....	1,350	1,400	1,400
Other .....	2,877	2,900	2,900

**Object Classification (in millions of dollars)**

Identification code 41-0100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	14	14	14
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	16	16	16
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....		1	1
25.2 Other services .....	2	1	1
31.0 Equipment .....	1	1	1
99.0 Subtotal, direct obligations .....	24	24	24
99.0 Reimbursable obligations .....	3	2	2
99.9 Total obligations .....	27	26	27

**Personnel Summary**

Identification code 41-0100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	237	231	224
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	30	29	28

**MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION**

**Federal Funds**

**General and special funds:**

FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

For payment to the Morris K. Udall Scholarship and Excellence in National Environmental Trust Fund, to be available for purposes authorized by P.L. 102-259, \$2,000,000, to remain available until expended.

**Program and Financing (in millions of dollars)**

Identification code 95-0900-0-1-502	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.3)			2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			2
23.95 New obligations			-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation			2
<b>Change in unpaid obligations:</b>			
73.10 New obligations			2
73.20 Total outlays (gross)			-2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority			2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			2
90.00 Outlays			2

The General Fund payment to the Morris K. Udall Fund is being used to invest in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments will be used to carry out the activities of the Morris K. Udall Foundation. The Foundation will award scholarships, fellowships and grants, and will fund activities of the Udall Center.

**Trust Funds**

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

**Unavailable Collections (in millions of dollars)**

Identification code 95-8615-0-7-502	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year	20	20	20
<b>Receipts:</b>			
02.01 General fund payments			2
02.02 Interest on investments	1	1	1
02.99 Total receipts	1	1	3
04.00 Total: Balances and collections	21	21	23
<b>Appropriation:</b>			
05.01 Morris K. Udall Scholarship fund	-1	-1	-1
07.99 Total balance, end of year	20	20	22

**Program and Financing (in millions of dollars)**

Identification code 95-8615-0-7-502	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	1	1	1
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.41 Par value	1	2	2

21.42 Unrealized discounts		-1	-1
21.99 Total unobligated balance, start of year	1	1	1
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	2	2	2
23.95 New obligations	-1	-1	-1
Unobligated balance available, end of year:			
U.S. Securities:			
24.41 Par value	2	2	1
24.42 Unrealized discounts	-1	-1	
24.99 Total unobligated balance, end of year	1	1	1

**New budget authority (gross), detail:**

60.27 Appropriation (trust fund, indefinite)	1	1	1
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**Change in unpaid obligations:**

73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1

**Outlays (gross), detail:**

86.97 Outlays from new permanent authority	1	1	1
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**Net budget authority and outlays:**

89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Public Law 102-259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy. In addition, the Foundation is authorized to fund the Udall Center for Studies in Public Policy at the University of Arizona to carry out and manage programs established by the Foundation relating especially to a program of environmental conflict resolution.

During 1996, the Foundation awarded its first 55 undergraduate scholarships to college juniors and seniors, from 27 states and Puerto Rico, majoring in fields relating to environmental public policy and to Native American upperclassmen majoring in health care and fields related to public policy on Indian reservations. Other major projects in 1996 included a conference in conjunction with the Udall Center for Studies in Public Policy and the Society of Environmental Journalists on the topic of *Environmental Health Issues in the U.S.-Mexico Border Region: Public Perception, the Knowledge Base and Public Policy*, and the first ever Native American Congressional Summer Internship Program. As part of that program, 10 Native American college graduates and seniors—men and women—spent six weeks in Republican and Democrat congressional offices, and in the White House, learning how the U.S. government works.

In 1997, the Foundation will increase the undergraduate scholarships to qualified applicants (described above) to 70, and Native American Congressional Summer Internship Program recipients from 10 to 12. In 1997, the interns will also spend more time in Washington—10 weeks as opposed to six in 1996. For the first time the Foundation will award two Graduate Fellowships to Ph.D. candidates whose dissertation topics are in the area of environmental public policy and conflict resolution, and whose work will contribute to the mission of the Foundation. The Foundation, in conjunction with the Udall Center for Studies in Public Policy and the University of Arizona, will host the 1997 Annual Meeting of the Society of Environmental Journalists.

**Personnel Summary**

Identification code 95-8615-0-7-502	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

**NATIONAL ARCHIVES AND RECORDS  
ADMINISTRATION**

**Federal Funds**

**General and special funds:**

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives (including the Information Security Oversight Office) and records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, **[\$196,963,000]** \$206,479,000: Provided, That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to **[move into the facility]** provide adequate storage for holdings. (1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11-13; 4 U.S.C. 141-146; 5 U.S.C. App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98-497, Public Law 93-526, Executive Orders 11440, 10530, 11030, 12656, 12829, 12958; Independent Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 88-0300-0-1-804	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Records centers .....	61	62	64
00.02 Archives and related services .....	104	111	114
00.04 Archives II Facility .....	26	24	24
00.91 Total direct program .....	191	197	202
01.01 Reimbursable program .....	33	29	29
10.00 Total obligations .....	224	226	231
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested balance .....	1	5	
21.41 U.S. Securities: Par value .....	11	12	12
21.99 Total unobligated balance, start of year .....	12	17	12
22.00 New budget authority (gross) .....	230	222	231
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	241	239	243
23.95 New obligations .....	-224	-226	-231
Unobligated balance available, end of year:			
24.40 Uninvested balance .....	5		
24.41 U.S. Securities: Par value .....	12	12	12
24.99 Total unobligated balance, end of year .....	17	12	12
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	200	197	206
40.47 Portion applied to debt reduction .....	-4	-4	-4
43.00 Appropriation (total) .....	196	193	202
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	34	29	29
70.00 Total new budget authority (gross) .....	230	222	231
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation .....	22	20	30
72.41 U.S. Securities: Par value .....	6	2	2
72.99 Total unpaid obligations, start of year .....	28	22	32
73.10 New obligations .....	224	226	231
73.20 Total outlays (gross) .....	-227	-217	-229
73.40 Adjustments in expired accounts .....	-3		
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation .....	20	30	32
74.41 U.S. Securities: Par value .....	2	2	2
74.99 Total unpaid obligations, end of year .....	22	32	34

<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	181	151	158
86.93	Outlays from current balances .....	12	37	42
86.97	Outlays from new permanent authority .....	34	29	29
87.00	Total outlays (gross) .....	227	217	229
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-33	-29	-29
88.40	Non-Federal sources .....	-1		
88.90	Total, offsetting collections (cash) .....	-34	-29	-29
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	196	193	202
90.00	Outlays .....	193	187	200

The National Archives and Records Administration provides for basic operations dealing with management of the Government's archives and records, operation of Presidential libraries, and for the review for declassification of classified security information.

**Records centers.**—This activity provides for the accessioning, storage, reference service, and disposal of the semiactive and non-current records of Federal agencies through a nationwide system of 14 records centers. Significant savings result from use of low cost records storage and the efficient and timely disposal of non-permanent records.

**Archives and related services.**—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies, the permanently valuable records of the Federal Government and the historical material in Presidential libraries, related publications and exhibit programs, and the appraisal of all Federal records. It also provides for the publication of the *Federal Register* and *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, Presidential documents and for a program to improve the quality of regulations and the public's access to them.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also provides oversight for the information security program established by Executive Order 12958 and reports annually to the President on the status of that program. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829.

This activity also includes administrative costs for the National Historical Publications and Records Commission.

**Archives II Facility.**—Provides for construction and related services of a new archival facility. Costs of construction are financed by \$301,702 thousand of federally, guaranteed debt issued in 1989. Since 1994 and continuing in 1998, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

Object Classification (in millions of dollars)

Identification code 88-0300-0-1-804	1996 actual	1997 est.	1998 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	64	66	68
11.3	Other than full-time permanent .....	4	4	5
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	70	72	75
12.1	Civilian personnel benefits .....	14	15	17
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	31	34	33

23.3	Communications, utilities, and miscellaneous charges .....	9	11	11
24.0	Printing and reproduction .....	1	2	2
25.2	Other services .....	25	25	23
25.4	Operation and maintenance of facilities .....	5	5	5
25.7	Operation and maintenance of equipment .....	2	2	2
26.0	Supplies and materials .....	3	3	3
31.0	Equipment .....	3	3	6
32.0	Land and structures .....	1		
43.0	Interest and dividends .....	26	24	24
99.0	Subtotal, direct obligations .....	191	197	202
99.0	Reimbursable obligations .....	33	29	29
99.9	Total obligations .....	224	226	231

**Personnel Summary**

Identification code 88-0300-0-1-804	1996 actual	1997 est.	1998 est.	
<b>Direct:</b>				
Total compensable workyears:				
1001	Full-time equivalent employment .....	1,864	1,875	1,875
1005	Full-time equivalent of overtime and holiday hours .....	14	14	14
<b>Reimbursable:</b>				
Total compensable workyears:				
2001	Full-time equivalent employment .....	479	526	526
2005	Full-time equivalent of overtime and holiday hours .....	10	10	10

**REPAIRS AND RESTORATION**

For the repair, alteration, and improvement of archives facilities and presidential libraries, and to provide adequate storage for holdings, **[\$16,229,000] \$6,650,000**, to remain available until expended. (*Independent Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 88-0302-0-1-804	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 25.2) .....	1	16	7
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	2	16	7
23.95	New obligations .....	-1	-16	-7
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	2	16	7
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	1	10	
73.10	New obligations .....	1	16	7
73.20	Total outlays (gross) .....	-7	-12	
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	1	10	5
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	7	3	
86.93	Outlays from current balances .....			9
87.00	Total outlays (gross) .....	7	12	
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2	16	7
90.00	Outlays .....		7	12

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential libraries nationwide, and provides adequate storage for holdings. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings.

**NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION**

**GRANTS PROGRAM**

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, **[\$5,000,000] \$4,000,000**, to remain available until expended. (*Independent Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 88-0301-0-1-804	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 41.0) .....	5	5	4
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	5	5	4
23.95	New obligations .....	-5	-5	-4
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	5	5	4
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	7	7	7
73.10	New obligations .....	5	5	4
73.20	Total outlays (gross) .....	-5	-5	-4
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	7	7	7
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	5	5	4
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	5	5	4
90.00	Outlays .....	5	5	4

*National Historical Publications and Records Commission Grants.*—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

**Trust Funds**

**NATIONAL ARCHIVES GIFT FUND**

**Program and Financing (in millions of dollars)**

Identification code 88-8127-0-7-804	1996 actual	1997 est.	1998 est.	
<b>Budgetary resources available for obligation:</b>				
Unobligated balance available, start of year:				
21.40	Uninvested balance .....	2		
21.41	U.S. Securities: Par value .....		2	2
21.99	Total unobligated balance, start of year .....	2	2	2
23.90	Total budgetary resources available for obligation	2	2	2
24.41	Unobligated balance available, end of year: U.S. Securities: Par value .....	2	2	2
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....			

The National Archives Trust Fund Board may solicit and accept gifts or bequests of money, securities, or other personal property, for the benefit of or in connection with the national archival and records activities administered by the National Archives and Records Administration (44 U.S.C. 2305).

NATIONAL ARCHIVES TRUST FUND  
Program and Financing (in millions of dollars)

Identification code 88-8436-0-8-804	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01	7	7	7
00.02	5	5	5
10.00	12	12	12
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.90	3		14
21.91	10	14	
21.99	13	14	14
22.00	12	13	13
22.10	1		
23.90	26	27	27
23.95	-12	-12	-12
Unobligated balance available, end of year:			
24.90		14	15
24.91	14		
24.99	14	14	15
<b>New budget authority (gross), detail:</b>			
68.00			
Spending authority from offsetting collections (gross):			
Offsetting collections (cash)			
	12	13	13
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year: Obligated balance:			
72.90			
Fund balance			
	2	1	1
73.10	12	12	12
73.20	-13	-12	-12
73.45	-1		
74.90			
Unpaid obligations, end of year: Obligated balance:			
Fund balance			
	1	1	1
<b>Outlays (gross), detail:</b>			
86.97	12	13	13
86.98	1	-1	-1
87.00	13	12	12
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	-1	-1	-1
88.40	-11	-12	-12
88.90	-12	-13	-13
<b>Net budget authority and outlays:</b>			
89.00			
90.00		-1	-1

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from sale of copies of microfilm publications, reproductions, and other publications, and admission fees to Presidential Library museum rooms are deposited to this fund (44 U.S.C. 2108).

Statement of Operations (in millions of dollars)

Identification code 88-8436-0-8-804	1995 actual	1996 actual	1997 est.	1998 est.
0111	7	6	7	7
0112	-8	-7	-7	-7
0119				
Net income or loss, Reproduction services				
	-1	-1		
0121	5	5	5	5
0122	-4	-5	-5	-5
0129	1			
0131	1	1	1	1
0132				
Expense				

0139	1	1	1	1
0191	13	12	13	13
0192	-12	-12	-12	-12
0199	1		1	1
Net income or loss				

Balance Sheet (in millions of dollars)

Identification code 88-8436-0-8-804	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
1101	1	1	1	1
Fund balances with Treasury				
Investments in US securities:				
1102	10	14	15	15
Treasury securities, par				
Non-Federal assets:				
1201	5			
Investments in non-Federal securities, net				
1206		1		1
Receivables, net				
Other Federal assets:				
1802	1	1	1	1
Inventories and related properties				
1803	1	1	1	1
Property, plant and equipment, net				
1999	18	18	18	19
Total assets				
<b>LIABILITIES:</b>				
Federal liabilities: Accounts payable				
2101				
Non-Federal liabilities:				
2201	1	1	1	1
Accounts payable				
2207	1	1		
Other				
2999	2	2	1	1
Total liabilities				
<b>NET POSITION:</b>				
3300	16	16	17	18
Cumulative results of operations				
3999	16	16	17	18
Total net position				
4999	18	18	18	19
Total liabilities and net position				

Object Classification (in millions of dollars)

Identification code 88-8436-0-8-804	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1	2	2	2
Full-time permanent			
11.3	1	1	1
Other than full-time permanent			
11.9	3	3	3
Total personnel compensation			
12.1	1	1	1
Civilian personnel benefits			
23.3	1		
Communications, utilities, and miscellaneous charges			
24.0	1	1	1
Printing and reproduction			
25.2	4	4	4
Other services			
26.0	2	2	2
Supplies and materials			
31.0		1	1
Equipment			
99.0	12	12	12
Subtotal, reimbursable obligations			
99.9	12	12	12
Total obligations			

Personnel Summary

Identification code 88-8436-0-8-804	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
2001	110	113	113
Full-time equivalent employment			
2005	2		
Full-time equivalent of overtime and holiday hours			

[NATIONAL BANKRUPTCY REVIEW COMMISSION]

Federal Funds

General and Special Funds:

[SALARIES AND EXPENSES]

[For necessary expenses of the National Bankruptcy Review Commission, as authorized by the Bankruptcy Reform Act of 1994, \$494,000.] (Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)			
Identification code 48-1090-0-1-752	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5)		1	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1	1	
23.95 New obligations		-1	
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		
<b>Change in unpaid obligations:</b>			
73.10 New obligations		1	
73.20 Total outlays (gross)		-1	
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances		1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays		1	

The National Bankruptcy Review Commission, created by the Bankruptcy Reform Act of 1994, is required to conduct a comprehensive study of the nation's bankruptcy laws and to submit a final report to Congress, the President and the Chief Justice of the Supreme Court by October 20, 1997.

**NATIONAL CAPITAL PLANNING COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 USC 71-71i), including services as authorized by 5 USC 3109, **[\$5,390,000] \$5,740,000**. *Provided*, That all appointed members will be compensated at a rate equivalent to the rate for Executive Schedule Level IV: *Provided further*, That beginning in fiscal year 1998 and thereafter, the Commission is authorized to charge fees to cover the full costs of Geographic Information System products and services supplied by the Commission, and such fees shall be credited to this account as an offsetting collection, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)			
Identification code 95-2500-0-1-451	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	5	5	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	5	5	6
23.95 New obligations	-5	-5	-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	5	5	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	2	1	2
73.10 New obligations	5	5	6
73.20 Total outlays (gross)	-5	-4	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	1	2	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	3	4	5
86.93 Outlays from current balances	2		1
87.00 Total outlays (gross)	5	4	6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	5	5	6

90.00 Outlays	6	4	6
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The National Capital Planning Commission is the central planning agency for the Federal Government in the National Capital Region. It develops long-range plans and conducts project reviews in order to enhance the National Capital's historical, cultural and natural resources. During 1998 major emphasis will be placed on implementing key Monumental Core First Initiatives aimed at assisting the Administration's efforts in revitalizing the District of Columbia, and work to expand the Washington Geographic Information Systems (WGIS) project, a cooperative effort in the National Capital Region to capture, display and analyze geographically-based data.

**Object Classification (in millions of dollars)**

Identification code 95-2500-0-1-451	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	3	3	3
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services			1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	5	5	6

**Personnel Summary**

Identification code 95-2500-0-1-451	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment	53	55	55
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment			2

**NATIONAL COMMISSION ON LIBRARIES AND INFORMATION SCIENCE**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the National Commission on Libraries and Information Science, established by the Act of July 20, 1970 (Public Law 91-345, as amended by Public Law 102-95), **[\$897,000] \$1,123,000** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)			
Identification code 95-2700-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct program	1	1	1
10.00 Total obligations (object class 99.5)	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1	1	1
23.95 New obligations	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

The Commission is responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, for advising the President and the Congress on implementation of national library and information services policies, and for providing advice on general policies about library services under the Museum and Library Services Act.

**Personnel Summary**

Identification code 95-2700-0-1-503	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	8	9	9

**NATIONAL COMMISSION ON RESTRUCTURING THE INTERNAL REVENUE SERVICE**

**Federal Funds**

**General and special funds:**

**NATIONAL COMMISSION ON RESTRUCTURING THE INTERNAL REVENUE SERVICE**

**Program and Financing (in millions of dollars)**

Identification code 48-2450-0-1-803	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5) .....		1	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....		1	
22.00 New budget authority (gross) .....	1		
23.90 Total budgetary resources available for obligation	1	1	
23.95 New obligations .....		-1	
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1		
<b>New budget authority (gross), detail:</b>			
42.00 Transferred from other accounts .....	1		
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....		1	
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....		1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1		
90.00 Outlays .....		1	

The National Commission on Restructuring the IRS, established in FY 1996, is reviewing all IRS activities, including returns processing, taxpayer service, tax law enforcement efforts, and Tax Systems Modernization. The Commission will issue a final report describing its findings and recommendations in July, 1997.

**Personnel Summary**

Identification code 48-2450-0-1-803	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....		8	

**NATIONAL COUNCIL ON DISABILITY**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, as amended, \$1,793,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 95-3500-0-1-506	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	2	2
23.95 New obligations .....	-2	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	2	2
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-2	-2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	2	2	2

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, NCD is responsible for reviewing laws, programs, and policies of the Federal Government affecting people with disabilities. NCD also makes recommendations on issues affecting Americans with disabilities and their families to the President, the Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies, as may be appropriate.

**Object Classification (in millions of dollars)**

Identification code 95-3500-0-1-506	1996 actual	1997 est.	1998 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	2	2

**Personnel Summary**

Identification code 95-3500-0-1-506	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	9	10	11

**NATIONAL CREDIT UNION ADMINISTRATION**

**Federal Funds**

**Public enterprise funds:**

**OPERATING FUND**

**Program and Financing (in millions of dollars)**

Identification code 25-4056-0-3-373	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Examination and supervision .....	64	71	71

00.03	Administration .....	29	32	32
10.00	Total obligations .....	93	103	103

**Budgetary resources available for obligation:**

21.91	Unobligated balance available, start of year: U.S. Securities: Par value .....	1	1	1
22.00	New budget authority (gross) .....	93	103	104
23.90	Total budgetary resources available for obligation .....	94	104	105
23.95	New obligations .....	-93	-103	-103
24.91	Unobligated balance available, end of year: U.S. Securities: Par value .....	1	1	2

**New budget authority (gross), detail:**

68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	93	103	104
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**Change in unpaid obligations:**

Unpaid obligations, start of year:				
Obligated balance:				
72.90	Fund balance .....			1
72.91	U.S. Securities: Par value .....	1	21	24
72.99	Total unpaid obligations, start of year .....	1	21	25
73.10	New obligations .....	93	103	103
73.20	Total outlays (gross) .....	-72	-99	-100
Unpaid obligations, end of year:				
Obligated balance:				
74.90	Fund balance .....		1	1
74.91	U.S. Securities: Par value .....	21	24	27
74.99	Total unpaid obligations, end of year .....	21	25	28

**Outlays (gross), detail:**

86.97	Outlays from new permanent authority .....	72	99	100
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**Offsets:**

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-47	-51	-51
88.40	Non-Federal sources .....	-46	-52	-53
88.90	Total, offsetting collections (cash) .....	-93	-103	-104

**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....	-21	-4	-4

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) chartering new Federal credit unions, (b) supervising established Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 50 percent. Data relating to activities are shown below:

Item:	1996 actual	1997 est.	1998 est.
Number of new Federal credit unions chartered .....	5	5	5
Number of operating Federal credit unions .....	7,244	7,230	7,205
Assets of Federal credit unions as of June 30 (in millions)	\$205,351	\$210,619	\$215,617

**Statement of Operations (in millions of dollars)**

Identification code 25-4056-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	34	45	50	49
0102 Expense .....	-46	-46	-50	-51
0109 Net income .....	-12	-1		-2

**Balance Sheet (in millions of dollars)**

Identification code 25-4056-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Investments in US securities:				
1102 Federal assets: Treasury securities, par .....	22	23	20	17
1206 Non-Federal assets: Receivables, net .....	1	1	1	1
1803 Other Federal assets: Property, plant and equipment, net .....	47	45	43	41
1999 Total assets .....	70	69	64	59
<b>LIABILITIES:</b>				
2102 Federal liabilities: Interest payable .....	39	38	37	36
Non-Federal liabilities:				
2201 Accounts payable .....	5	2	2	3
2207 Other .....	8	9	7	5
2999 Total liabilities .....	52	49	46	44
<b>NET POSITION:</b>				
3100 Appropriated capital .....	16	18	15	13
3200 Invested capital .....	2	2	3	2
3999 Total net position .....	18	20	18	15
4999 Total liabilities and net position .....	70	69	64	59

**Object Classification (in millions of dollars)**

Identification code 25-4056-0-3-373	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	52	58	60
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	53	59	61
12.1 Civilian personnel benefits .....	12	13	13
21.0 Travel and transportation of persons .....	10	11	12
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	2	1
25.2 Other services .....	9	9	9
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	1	3	1
99.0 Subtotal, reimbursable obligations .....	93	103	103
99.9 Total obligations .....	93	103	103

**Personnel Summary**

Identification code 25-4056-0-3-373	1996 actual	1997 est.	1998 est.
2001 Total compensable workyears: Full-time equivalent employment .....	920	951	951

**CREDIT UNION SHARE INSURANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 25-4468-0-3-373	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.01 Payments to the operating fund for services and facilities .....	47	51	51
00.02 Insurance claims expenses .....		20	20
00.03 Other .....		2	2
00.91 Total operating expenses .....	47	73	73
01.01 Insurance Premium Rebate .....	105	102	104
10.00 Total obligations .....	152	175	177

**Budgetary resources available for obligation:**

Unobligated balance available, start of year:			
U.S. Securities:			
21.91 Par value .....	3,233	3,414	3,557
21.92 Unrealized discounts .....	-2	-2	
21.99 Total unobligated balance, start of year .....	3,231	3,412	3,557
22.00 New budget authority (gross) .....	312	320	331
22.10 Resources available from recoveries of prior year obligations .....	22		
23.90 Total budgetary resources available for obligation .....	3,565	3,732	3,888

**Public enterprise funds—Continued**

**CREDIT UNION SHARE INSURANCE FUND—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 25-4468-0-3-373	1996 actual	1997 est.	1998 est.
23.95 New obligations .....	-152	-175	-177
Unobligated balance available, end of year:			
U.S. Securities:			
24.91 Par value .....	3,414	3,557	3,711
24.92 Unrealized discounts .....	-2		
24.99 Total unobligated balance, end of year .....	3,412	3,557	3,711
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	312	320	331
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.90 Fund balance .....	24		
72.91 U.S. Securities: Par value .....	70	70	90
72.99 Total unpaid obligations, start of year .....	94	70	90
73.10 New obligations .....	152	175	177
73.20 Total outlays (gross) .....	-154	-155	-163
73.45 Adjustments in unexpired accounts .....	-22		
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Fund balance .....			
74.91 U.S. Securities: Par value .....	70	90	104
74.99 Total unpaid obligations, end of year .....	70	90	104
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	154	155	163
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities .....	-183	-189	-198
Non-Federal sources:			
88.40 Deposit from members .....	-126	-128	-130
88.40 Recoveries on assets acquired .....	-1	-1	-1
88.40 Other interest income .....	-2	-2	-2
88.90 Total, offsetting collections (cash) .....	-312	-320	-331
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-158	-165	-168

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 25-4468-0-3-373	1996 actual	1997 est.	1998 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders .....			
2131 Guaranteed loan commitments exempt from limitation .....	1	1	
2150 Total guaranteed loan commitments .....	1	1	
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....		1	1
2231 Disbursements of new guaranteed loans .....	1		
2251 Repayments and prepayments .....			
2290 Outstanding, end of year .....	1	1	1
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1	1	1

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91-468, enacted October 19, 1970.

**Budget program.**—The activities consist of: (a) providing member account insurance, (b) formulating standards and re-

quirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at fifty percent.

The extent of the program is estimated as follows:

Item:	1996 actual	1997 est.	1998 est.
Number of insured credit unions .....	11,493	11,250	11,050
Insured shares of member institutions as of June 30 (in millions of dollars) .....	262,416	276,800	292,000

It is estimated that approximately 4,500 State-chartered credit unions will be enrolled in the program by the end of 1997.

**Financing.**—For insurance year 1997 the credit union's required annual insurance premium of one-twelfth of 1 percent of its total member share accounts has been waived. As a result of Public Law 98-369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In fiscal year 1996 the income generated from the 1 percent deposit eliminated the need to assess the annual premium. In addition, the fund paid a \$102 million dividend to federally insured credit unions in fiscal year 1997 due to an excess in the 1.3 percent reserve requirement. The fund has \$100 million in borrowing authority from the Treasury for use in unforeseen emergencies.

**Operating results.**—Anticipated net income of \$127 million will be retained in the fund, raising the balance for unforeseen emergencies to \$3.8 billion by the end of 1998.

**Statement of Operations (in millions of dollars)**

Identification code 25-4468-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	171	185	191	200
0102 Expense .....	-47	-47	-73	-73
0109 Net income .....	124	138	118	127

**Balance Sheet (in millions of dollars)**

Identification code 25-4468-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par .....	3,316	3,487	3,650	3,818
1106 Receivables, net .....	21	11	16	18
1107 Advances and prepayments .....				
1206 Non-Federal assets: Receivables, net .....	60	51	55	60
1801 Other Federal assets: Cash and other monetary assets .....	39	38	37	36
1999 Total assets .....	3,436	3,587	3,758	3,932
<b>LIABILITIES:</b>				
2201 Non-Federal liabilities: Accounts payable .....	118	110	112	114
2999 Total liabilities .....	118	110	112	114
<b>NET POSITION:</b>				
3100 Appropriated capital .....	3,279	3,439	3,609	3,782
3200 Invested capital .....	39	38	37	36
3999 Total net position .....	3,318	3,477	3,646	3,818
4999 Total liabilities and net position .....	3,436	3,587	3,758	3,932

**Object Classification (in millions of dollars)**

Identification code 25-4468-0-3-373	1996 actual	1997 est.	1998 est.
25.2 Other services .....	47	53	53

42.0	Insurance claims and indemnities .....	20	20	20
44.0	Refunds .....	105	102	104
99.9	Total obligations .....	152	175	177

**CENTRAL LIQUIDITY FACILITY**

During fiscal year [1997] 1998, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by the National Credit Union Central Liquidity Facility Act (12 U.S.C. 1795), shall not exceed \$600,000,000: *Provided*, That administrative expenses of the Central Liquidity Facility in fiscal year 1998 [1997] shall not exceed \$560,000: *Provided further*, That \$1,000,000, together with amounts of principal and interest on loans repaid, to be available until expended, is available for loans to community development credit unions] \$203,000. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 25-4470-0-3-373	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
Operating expenses:				
00.03	Dividends on capital stock .....	39	40	41
Capital investment:				
01.01	Net loans to credit unions, total capital investment, funded .....	23	25	27
01.02	Redemption of capital stock .....	5	4	5
01.03	Withdrawal of member deposits .....	2	2	2
01.91	Total capital investment .....	30	31	34
10.00	Total obligations .....	69	71	75
<b>Budgetary resources available for obligation:</b>				
21.90	Unobligated balance available, start of year: Fund balance .....	403	403	403
22.00	New budget authority (gross) .....	69	71	75
23.90	Total budgetary resources available for obligation .....	472	474	478
23.95	New obligations .....	-69	-71	-75
24.90	Unobligated balance available, end of year: Fund balance .....	403	403	403
<b>New budget authority (gross), detail:</b>				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	69	71	75
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	69	71	75
73.20	Total outlays (gross) .....	-69	-71	-75
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	69	71	75
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-69	-71	-75
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....			

**Status of Direct Loans (in millions of dollars)**

Identification code 25-4470-0-3-373	1996 actual	1997 est.	1998 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans .....	600	600	600
1112	Unobligated direct loan limitation .....	-600	-600	-600

The National Credit Union Central Liquidity Facility was established under Public Law 95-630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

**Statement of Operations (in millions of dollars)**

Identification code 25-4470-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.	
0101	Revenue .....	39	39	40	41
0102	Expense .....	-39	-39	-40	-41
0109	Net income .....				

**Balance Sheet (in millions of dollars)**

Identification code 25-4470-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.	
<b>ASSETS:</b>					
Non-Federal assets:					
1201	Investments in non-Federal securities, net .....	701	725	750	775
1206	Receivables, net .....	9	10	10	10
1999	Total assets .....	710	735	760	785
<b>LIABILITIES:</b>					
2104	Federal liabilities: Resources payable to Treasury .....	15			
2201	Non-Federal liabilities: Accounts payable .....		17	18	20
2999	Total liabilities .....	15	17	18	20
<b>NET POSITION:</b>					
3100	Appropriated capital .....	710	735	760	785
3200	Invested capital .....	-15	-17	-18	-20
3999	Total net position .....	695	718	742	765
4999	Total liabilities and net position .....	710	735	760	785

**Object Classification (in millions of dollars)**

Identification code 25-4470-0-3-373	1996 actual	1997 est.	1998 est.	
33.0	Investments and loans .....	23	25	27
43.0	Interest and dividends .....	39	40	41
44.0	Redemptions and withdrawals .....	7	6	7
99.9	Total obligations .....	69	71	75

**Personnel Summary**

Identification code 25-4470-0-3-373	1996 actual	1997 est.	1998 est.	
1001	Total compensable workyears: Full-time equivalent employment .....	1	1	1

**COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND**

**Program and Financing (in millions of dollars)**

Identification code 25-4472-0-3-373	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 33.0) .....	2	3	2
<b>Budgetary resources available for obligation:</b>				
Unobligated balance available, start of year:				
21.90	Fund balance .....	1		
21.91	U.S. Securities: Par value .....		1	1
21.99	Total unobligated balance, start of year .....	1	1	1
22.00	New budget authority (gross) .....	2	3	2
23.90	Total budgetary resources available for obligation .....	3	4	3
23.95	New obligations .....	-2	-3	-2
24.91	Unobligated balance available, end of year: U.S. Securities: Par value .....	1	1	2

**Public enterprise funds—Continued**

**COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN  
FUND—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 25-4472-0-3-373	1996 actual	1997 est.	1998 est.
<b>New budget authority (gross), detail:</b>			
Current:			
40.00		1	
Permanent:			
68.00	2	2	2
70.00	2	3	2

<b>Change in unpaid obligations:</b>			
73.10		3	2
73.20	-2	-3	-2

<b>Outlays (gross), detail:</b>			
86.90		1	
86.97	2	2	2
87.00	2	3	2

<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40	-2	-2	-2

<b>Net budget authority and outlays:</b>			
89.00		1	
90.00		1	

**Status of Direct Loans (in millions of dollars)**

Identification code 25-4472-0-3-373	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1210	6	6	7
1231	2	3	2
1251	-2	-2	-2
1290	6	7	7

Public Law 99-609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of \$2 million in 1996 and plans to disburse \$3 million in 1997.

**NATIONAL EDUCATION GOALS PANEL**

**Federal Funds**

**General and special funds:**

**NATIONAL EDUCATION GOALS PANEL**

For expenses necessary for the National Education Goals Panel, as authorized by title II, part A of the Goals 2000: Educate America Act, [\$1,500,000] \$2,000,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 95-2650-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00	1	1	2
<b>Budgetary resources available for obligation:</b>			
22.00	1	1	2
23.95	-1	-1	-2
<b>New budget authority (gross), detail:</b>			
40.00	1	1	2

<b>Change in unpaid obligations:</b>			
72.40			
Unpaid obligations, start of year: Obligated balance:			
	1	1	
73.10	1	1	2
73.20	-1	-2	-1
74.40			
Unpaid obligations, end of year: Obligated balance:			
	1		1

<b>Outlays (gross), detail:</b>			
86.90	1	1	1
86.93		1	
87.00	1	2	1

<b>Net budget authority and outlays:</b>			
89.00	1	1	2
90.00	1	2	1

**Object Classification (in millions of dollars)**

Identification code 95-2650-0-1-503	1996 actual	1997 est.	1998 est.
11.1	1	1	1
25.2			1
99.9	1	1	2

**Personnel Summary**

Identification code 95-2650-0-1-503	1996 actual	1997 est.	1998 est.
1001	6	5	9

The bipartisan National Education Goals Panel is an independent agency responsible for overseeing the development and implementation of a reporting system for the National Education Goals; monitoring and reporting annual progress toward goal achievement at national and State levels; building a national consensus for the reforms necessary to achieve education improvement; reporting on promising and effective actions; and working with States to develop high academic standards.

**NATIONAL FOUNDATION ON THE ARTS AND  
THE HUMANITIES**

**Federal Funds**

**General and special funds:**

**NATIONAL ENDOWMENT FOR THE ARTS  
GRANTS AND ADMINISTRATION**

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, [\$82,734,000] \$119,240,000, shall be available to the National Endowment for the Arts for the support of projects and productions in the arts through assistance to organizations and individuals pursuant to section 5(c) of the Act, and for administering the functions of the Act, to remain available until expended.

**MATCHING GRANTS**

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$16,760,000, to remain available until expended, to the National Endowment for the Arts: *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the Chairman or by grantees of the Endowment under the provisions of section 10(a)(2), subsections 11(a)(2)(A) and 11(a)(3)(A) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

**Unavailable Collections (in millions of dollars)**

Identification code 59-0100-0-1-503	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99			

Receipts:				
02.01	Gifts and donations .....	1	1	1
Appropriation:				
05.01	Gifts fund .....	-1	-1	-1
07.99	Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 59-0100-0-1-503	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Promotion of the arts .....	70	92	118
00.02	Administration .....	19	17	18
00.91	Total direct program .....	89	109	136
01.01	Reimbursable program .....	1	1	1
01.02	Permanent Authority .....	1	1	1
01.91	Total permanent authority program .....	2	2	2
10.00	Total obligations .....	91	111	138
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested balance .....	5	16	6
22.00	New budget authority (gross) .....	101	101	138
23.90	Total budgetary resources available for obligation .....	106	117	144
23.95	New obligations .....	-91	-111	-138
24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	16	6	6
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	99	99	136
Permanent:				
60.27	Appropriation (trust fund, indefinite) .....	1	1	1
68.00	Spending authority from offsetting collections: Off-			
	setting collections (cash) .....	1	1	1
70.00	Total new budget authority (gross) .....	101	101	138
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	155	107	88
73.10	New obligations .....	91	111	138
73.20	Total outlays (gross) .....	-138	-129	-123
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	107	88	104
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	27	35	49
86.93	Outlays from current balances .....	109	92	72
86.97	Outlays from new permanent authority .....	1	1	1
86.98	Outlays from permanent balances .....	1	1	1
87.00	Total outlays (gross) .....	138	129	123
<b>Offsets:</b>				
88.00	Against gross budget authority and outlays:			
	Offsetting collections (cash) from: Federal sources .....	-1	-1	-1
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	100	99	136
90.00	Outlays .....	137	129	122

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent in specified fields, and State or regional organizations engaged in or concerned with the arts. Programs encourage individual and institutional development and education of the arts, preservation of the American artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may

be used, sold, or otherwise disposed of to support arts projects and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

Object Classification (in millions of dollars)

Identification code 59-0100-0-1-503	1996 actual	1997 est.	1998 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	8	8	9
11.3	Other than full-time permanent .....	2	2	2
11.9	Total personnel compensation .....	10	10	11
12.1	Civilian personnel benefits .....	2	2	2
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	1	2
23.3	Communications, utilities, and miscellaneous			
	charges .....	1	1	1
25.2	Other services .....	3	2	3
31.0	Equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	70	92	115
99.0	Subtotal, direct obligations .....	89	109	136
99.0	Reimbursable obligations .....	2	2	2
99.5	Below reporting threshold .....	-1	-1	-1
99.9	Total obligations .....	91	111	138

Personnel Summary

Identification code 59-0100-0-1-503	1996 actual	1997 est.	1998 est.	
<b>Total compensable workyears:</b>				
1001	Full-time equivalent employment .....	160	162	167
1005	Full-time equivalent of overtime and holiday hours .....	1	1	1

Federal Funds

General and special funds:

NATIONAL ENDOWMENT FOR THE HUMANITIES

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$96,100,000]** \$118,250,000, shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until expended.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$13,900,000]** \$17,750,000, to remain available until expended, of which **[\$8,000,000]** \$11,750,000 shall be available to the National Endowment for the Humanities for the purposes of section 7(h): *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the Chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 59-0200-0-1-503	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Promotion of the humanities .....	93	94	119
00.02	Administration .....	17	17	17
10.00	Total obligations .....	110	111	136

**General and special funds—Continued**

**NATIONAL ENDOWMENT FOR THE HUMANITIES—Continued**

**MATCHING GRANTS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 59-0200-0-1-503	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1	1	
22.00 New budget authority (gross) .....	111	110	136
23.90 Total budgetary resources available for obligation	112	111	136
23.95 New obligations .....	-110	-111	-136
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	110	110	136
Permanent:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	1		
70.00 Total new budget authority (gross) .....	111	110	136
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	150	110	91
73.10 New obligations .....	110	111	136
73.20 Total outlays (gross) .....	-148	-130	-127
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	110	91	100
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	54	50	61
86.93 Outlays from current balances .....	94	80	66
87.00 Total outlays (gross) .....	148	130	127
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	110	110	136
90.00 Outlays .....	148	130	127

The National Endowment for the Humanities funds activities that are intended to improve the quality of education and teaching in the humanities, to strengthen the scholarly foundation for humanities study and research, to preserve cultural and intellectual resources, and to advance understanding of the humanities among general audiences.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, state humanities councils, and individuals.

This presentation includes the Gifts and Donations account, which previously had been presented separately. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

**Object Classification (in millions of dollars)**

Identification code 59-0200-0-1-503	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	9	10	10
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services .....	1	1	2
41.0 Grants, subsidies, and contributions .....	93	94	118

99.0 Subtotal, direct obligations .....	108	109	134
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	110	111	136

**Personnel Summary**

Identification code 59-0200-0-1-503	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	171	168	168
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	2	2	2

**ADMINISTRATIVE PROVISION**

An administrative provision affecting this agency follows the National Institute of Museum AND LIBRARY Services.

**INSTITUTE OF MUSEUM AND LIBRARY SERVICES**

**Federal Funds**

**General and special funds:**

*OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION*

For carrying out [title II of the Arts, Humanities, and Cultural Affairs Act of 1976, as amended, \$22,000,000] *Subtitle C of the Museum and Library Services Act of 1996, \$26,000,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 59-0300-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Assistance for museums .....	20	20	24
00.02 Administration .....	1	2	2
10.00 Total obligations .....	21	22	26
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	21	22	26
23.95 New obligations .....	-21	-22	-26
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	21	22	26
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	32	31	16
73.10 New obligations .....	21	22	26
73.20 Total outlays (gross) .....	-22	-37	-23
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	31	16	19
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	7	8
86.93 Outlays from current balances .....	20	30	15
87.00 Total outlays (gross) .....	22	37	23
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	21	22	26
90.00 Outlays .....	22	37	23

The Office of Museum Services, within the Institute of Museum and Library Services, provides competitive grants to a broad range of museums which exhibit both living and non-living collections and to support collaborative activities between museums and libraries. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account.

Object Classification (in millions of dollars)

Identification code 59-0300-0-1-503	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	20	20	24
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	21	22	26

Personnel Summary

Identification code 59-0300-0-1-503	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	15	16	19

OFFICE OF LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out Subtitle B of the Museum and Library Services Act of 1996 as set forth in title VII, Departments of Labor, Health and Human Services, Education and Related Agencies Appropriations Act as amended, \$136,000,000 to remain available until expended. (Department of Education Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 59-0301-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Assistance for libraries .....			132
00.02 Administration .....			4
00.03 Public library services .....	93	101	
00.04 Public library construction .....	12	27	
00.05 Interlibrary cooperation .....	18	12	
00.06 Library education and training .....	2	2	
00.07 Research and demonstrations .....	3	5	
10.00 Total obligations .....	128	147	136
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	7	11	
22.00 New budget authority (gross) .....	132	136	136
23.90 Total budgetary resources available for obligation	139	147	136
23.95 New obligations .....	-128	-147	-136
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	11		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	132	136	136
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	192	151	130
73.10 New obligations .....	128	147	136
73.20 Total outlays (gross) .....	-168	-168	-142
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	151	130	124
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	34	50	56
86.93 Outlays from current balances .....	134	118	86
87.00 Total outlays (gross) .....	168	168	142
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	133	136	136
90.00 Outlays .....	168	168	142

State formula grants are made to assist public libraries in improving library services, promoting access to learning and information resources to users of all ages, to promote wider access to information through technology, and to support collaborative activities between museums and libraries. The account for the Office of Library programs was formerly shown under the Department of Education, Office of Educational Research and Improvement.

Object Classification (in millions of dollars)

Identification code 59-0301-0-1-503	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services .....			2
41.0 Grants, subsidies, and contributions .....	128	147	132
99.5 Below reporting threshold .....			1
99.9 Total obligations .....	128	147	136

Personnel Summary

Identification code 59-0301-0-1-503	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....			22

ADMINISTRATIVE PROVISIONS

Notwithstanding section 214(a)(2) of the Library Services and Technology Act, funds appropriated for the Office of Library Services shall be appropriated directly to the Director of the Institute of Museum and Library Services.

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

NATIONAL LABOR RELATIONS BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, as amended (29 U.S.C. 141-167), and other laws, [\$175,000,000] \$186,434,000: Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935 (29 U.S.C. 152), and as amended by the Labor-Management Relations Act, 1947, as amended, and as defined in section 3(f) of the Act of June 25, 1938 (29 U.S.C. 203), and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 per centum of the water stored or supplied thereby is used for farming purposes: Provided further, That none of the funds made available by this Act shall be used in any way to promulgate a final rule (altering 29 CFR part 103) regarding single location bargaining units in representation cases]. (Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 63-0100-0-1-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Field investigation .....	133	136	146
00.02 Administrative law judge hearing .....	13	13	14
00.03 Board adjudication .....	16	17	18
00.04 Securing compliance with Board orders .....	7	8	8
00.05 Internal Review .....	1	1	1
10.00 Total obligations .....	170	175	186
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	170	175	186
23.95 New obligations .....	-170	-175	-186

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 63-0100-0-1-505	1996 actual	1997 est.	1998 est.
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	170	175	186
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	14	16	14
73.10 New obligations .....	170	175	186
73.20 Total outlays (gross) .....	-166	-177	-186
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	16	14	14
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	156	162	172
86.93 Outlays from current balances .....	10	15	14
87.00 Total outlays (gross) .....	166	177	186
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	170	175	186
90.00 Outlays .....	166	177	186

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

**Program Statistics**

	1996 actual	1997 est.	1998 est.
<b>Case intake:</b>			
Unfair labor practice cases .....	34,216	35,927	37,113
Representation cases .....	5,720	6,086	6,387
<b>Administrative law judges:</b>			
Hearings closed .....	409	407	581
Adjustments after hearings closed .....	1	1	1
Decisions issued .....	442	406	582
<b>Board adjudication:</b>			
Contested Board decisions issued .....	502	512	653
Regional director decisions .....	711	745	785
<b>Representation election cases:</b>			
Decisions issued .....	207	225	224
Objection rulings .....	151	157	153
Board decisions requiring court enforcement .....	174	182	193

**Field investigation.**—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Over 92 percent of the unfair labor practice cases and 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

**Administrative law judge hearing.**—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

**Board adjudication.**—In an unfair labor practice case a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder with exceptions filed require contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

**Securing compliance with Board orders.**—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

**Object Classification (in millions of dollars)**

Identification code 63-0100-0-1-505	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	112	116	123
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	114	118	125
12.1 Civilian personnel benefits .....	20	20	21
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	20	20	20
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services .....	6	7	9
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	2	3
99.9 Total obligations .....	170	175	186

**Personnel Summary**

Identification code 63-0100-0-1-505	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	1,925	1,950	2,017
1005 Full-time equivalent of overtime and holiday hours .....		1	1

**NATIONAL MEDIATION BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary to carry out the provisions of the Railway Labor Act, as amended (45 U.S.C. 151-188), including emergency boards appointed by the President, **[\$8,300,000: Provided, That unobligated balances at the end of fiscal year 1997 not needed for emergency boards shall remain available for other statutory purposes through September 30, 1998] \$8,100,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 95-2400-0-1-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Mediator services .....	6	6	6
00.03 Arbitration services, sections 3 and 7, referees .....	1	2	2
00.04 Arbitration services, sections 3 and 7, administration .....	1		
10.00 Total obligations .....	8	8	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	8
23.95 New obligations .....	-8	-8	-8
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	8
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	8	8	8
73.20 Total outlays (gross) .....	-8	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	7	7
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	8	8
90.00 Outlays .....	8	8	8

**Mediator services.**—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and

air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries.

	1996 actual	1997 est.	1998 est.
Mediation cases:			
Pending, start of year .....	150	148	178
Received during year .....	62	120	130
Closed during year .....	64	90	90
Pending, end of year .....	148	178	218

**Employee Representation.**—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	1996 actual	1997 est.	1998 est.
Representation cases:			
Pending, start of year .....	34	51	61
Received during year .....	100	100	100
Closed during year .....	83	90	90
Pending, end of year .....	51	61	71
Freedom of Information Act (FOIA) requests received .....	46	50	50
Investigation cases closed .....	16	15	15

**Emergency disputes.**—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which threaten to seriously interrupt service, may appoint emergency boards to investigate and report on the disputes under section 160 of the Railway Labor Act (RLA). Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 amended the Railway Labor Act by adding a new emergency dispute procedure covering disputes between a publicly funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

	1996 actual	1997 est.	1998 est.
Boards/panels created:			
Emergency (sec. 160) .....	3	2	2
Emergency (sec. 159a) .....	1	2	2
Arbitration Boards .....	6	10	15
Arbitration Panels (PL 102-29) .....	0	0	0
Airline SBA Panels .....	86	125	125
ICC-LPP Panels .....	11	10	10

**Arbitration under sections 3 and 7 of the RLA.**—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	1996 actual	1997 est.	1998 est.
Public law boards caseload:			
Pending, start of year .....	6,409	6,608	6,008
Received during year .....	2,699	2,500	2,000
Closed during year .....	12,500	3,100	3,100
Pending, end of year .....	6,608	6,008	4,908
Special boards of adjustment caseload:			
Pending, start of year .....	1,317	1,527	1,427
Received during year .....	1,081	1,100	1,000
Closed during year .....	2,871	1,200	1,200
Pending, end of year .....	1,527	1,427	1,227
Number of boards convened:			
Special boards of adjustment .....	5	5	5

Public law boards .....	137	150	150
NRAB caseload:			
Pending, start of year .....	1,805	1,876	1,776
Received during year .....	836	750	924
Closed during year .....	3,765	850	934
Pending, end of year .....	1,876	1,776	1,766

<sup>1</sup> Includes 344 cases withdrawn or decided by the parties.  
<sup>2</sup> Includes 77 cases withdrawn or decided by the parties.  
<sup>3</sup> Includes 670 awards of referees, 1 award by NRAB members, and 94 cases withdrawn.

**Object Classification (in millions of dollars)**

Identification code 95-2400-0-1-505	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent .....	4	4	4
11.8 Special personal services payments .....	1	2	2
11.9 Total personnel compensation .....	5	6	6
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services .....	1		
99.9 Total obligations .....	8	8	8

**Personnel Summary**

Identification code 95-2400-0-1-505	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	52	52	52

**NATIONAL SCIENCE FOUNDATION**

**Federal Funds**

**General and special funds:**

**RESEARCH AND RELATED ACTIVITIES**

For necessary expenses in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875), and the Act to establish a National Medal of Science (42 U.S.C. 1880-1881); services as authorized by 5 U.S.C. 3109; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; [\$2,432,000,000] \$2,514,700,000, of which not to exceed [\$226,000,000] \$228,530,000 shall remain available until expended for Polar [research and] research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program; the balance to remain available until September 30, [1998] 1999: *Provided*, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation; *Provided further*, That to the extent that the amount appropriated is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally.

*Further, for the foregoing purposes related to the national defense only (polar operations support), \$62,600,000, to become available on October 1, 1998, and remain available until expended. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 49-0100-0-1-999	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Biological sciences .....	304	320	331
00.02 Computer and information science and engineering .....	262	273	294
00.03 Engineering .....	323	349	360
00.05 Geosciences .....	425	446	453
00.06 Mathematical and physical sciences .....	661	696	716
00.07 Social, behavioral and economic sciences .....	119	122	130
00.09 U.S. Polar Research Program .....	166	162	166
00.10 U.S. Antarctic Logistical Support Activities .....	65	63	63
00.11 Critical Technologies Institute .....	3	3	3
00.91 Subtotal, direct program .....	2,328	2,434	2,515

**General and special funds—Continued**

**RESEARCH AND RELATED ACTIVITIES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 49-0100-0-1-999	1996 actual	1997 est.	1998 est.
01.01 Reimbursable program .....	86	100	100
10.00 Total obligations .....	2,414	2,534	2,615
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....	3	2	.....
22.00 New budget authority (gross) .....	2,399	2,532	2,615
22.10 Resources available from recoveries of prior year obligations .....	15	.....	.....
22.30 Unobligated balance expiring .....	-1	.....	.....
23.90 Total budgetary resources available for obligation .....	2,416	2,534	2,615
23.95 New obligations .....	-2,414	-2,534	-2,615
24.40 Unobligated balance available, end of year: Uninvested balance .....	2	.....	.....
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	2,313	2,432	2,515
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	86	100	100
70.00 Total new budget authority (gross) .....	2,399	2,532	2,615
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	2,134	2,259	2,352
73.10 New obligations .....	2,414	2,534	2,615
73.20 Total outlays (gross) .....	-2,262	-2,441	-2,436
73.40 Adjustments in expired accounts .....	-12	.....	.....
73.45 Adjustments in unexpired accounts .....	-15	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	2,259	2,352	2,531
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	699	754	780
86.93 Outlays from current balances .....	1,491	1,588	1,556
86.97 Outlays from new permanent authority .....	72	85	85
86.98 Outlays from permanent balances .....	.....	14	15
87.00 Total outlays (gross) .....	2,262	2,441	2,436
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-86	-100	-100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,313	2,432	2,515
90.00 Outlays .....	2,176	2,341	2,336

The Research and Related Activities appropriation addresses Foundation goals to enable the United States to uphold world leadership in all aspects of science and engineering, and to promote the discovery, integration, dissemination and employment of new knowledge in service to society. Research activities will contribute to the achievement of these goals through expansion of the knowledge base; integration of research and education; stimulation of knowledge transfer among academia and the public and private sectors; and bringing the perspectives of many disciplines to bear on complex problems important to the nation. This appropriation supports NSF's active development of performance indicators that tie to the key program functions of Research Project Support, Research Facilities, and Education and Training.

The major research program activities of the Foundation are:

**Biological sciences.**—This activity promotes scientific progress in biology through support of research ranging from the study of molecules, through cells and organisms, to studies of ecosystems.

**Computer and information sciences and engineering.**—Research is directed at "information processing" in the broadest sense of the term, ranging from fundamental theory to systems engineering.

**Engineering.**—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas which are relevant to national problems and long-term needs. This activity also includes small business innovation research.

**Geosciences.**—This research advances knowledge of the properties and dynamics of the planet Earth.

**Mathematical and physical sciences.**—Research in this activity is directed at increasing understanding of natural laws and phenomena. It includes research in mathematics, astronomy, physics, chemistry, and materials science.

**Social, behavioral and economic sciences.**—This activity supports research in social, behavioral and economic sciences, facilitates international scientific cooperation, and funds science resources studies.

**U.S. Polar Research Programs.**—This activity supports Arctic and Antarctic research and operational science support and other related activities for the United States Polar Research Programs. This activity includes funding for the expenses of the Arctic Research Commission.

**U.S. Antarctic Logistical Support Activities.**—This activity provides necessary expenses for reimbursing Federal agencies for logistical and other related activities for the United States Antarctic Logistical Support Activities.

**Critical Technologies Institute.**—This activity supports a federally-funded research and development center that provides analytical support to the Executive Branch.

**Object Classification (in millions of dollars)**

Identification code 49-0100-0-1-999	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	4	4	4
25.1 Advisory and assistance services .....	5	5	5
25.2 Other services .....	14	14	14
25.3 Purchases of goods and services from Government accounts .....	1	1	1
25.4 Operation and maintenance of facilities .....	81	81	81
25.5 Research and development contracts .....	124	124	124
41.0 Grants, subsidies, and contributions .....	2,097	2,205	2,286
99.0 Subtotal, direct obligations .....	2,326	2,434	2,515
99.0 Reimbursable obligations .....	85	100	100
99.5 Below reporting threshold .....	3	.....	.....
99.9 Total obligations .....	2,414	2,534	2,615

**Personnel Summary**

Identification code 49-0100-0-1-999	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	3	3	3

**ACADEMIC RESEARCH INFRASTRUCTURE**

**Program and Financing (in millions of dollars)**

Identification code 49-0150-0-1-251	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Academic Research Infrastructure .....	71	30	.....
10.00 Total obligations (object class 41.0) .....	71	30	.....
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....	1	30	.....
22.00 New budget authority (gross) .....	100	.....	.....
23.90 Total budgetary resources available for obligation .....	101	30	.....
23.95 New obligations .....	-71	-30	.....

24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	30		
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	100		
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	221	208	156
73.10	New obligations .....	71	30	
73.20	Total outlays (gross) .....	-83	-82	-59
73.40	Adjustments in expired accounts .....	-1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	208	156	97
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	2		
86.93	Outlays from current balances .....	81	82	59
87.00	Total outlays (gross) .....	83	82	59
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	100		
90.00	Outlays .....	83	82	59

The Academic Research Infrastructure facilities activity was terminated in FY 97. A program to support the acquisition of major research instrumentation is funded through the Research and Related Activities appropriation beginning in FY 1997.

**MAJOR RESEARCH EQUIPMENT**

For necessary expenses of major construction projects pursuant [to the] to the National Science Foundation Act of 1950, as amended, [\$80,000,000] \$85,000,000, to remain available until expended. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 49-0551-0-1-251	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Program Activity .....	70	80	85
10.00	Total obligations .....	70	80	85
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	70	80	85
23.95	New obligations .....	-70	-80	-85
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	70	80	85
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	126	166	172
73.10	New obligations .....	70	80	85
73.20	Total outlays (gross) .....	-30	-74	-94
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	166	172	163
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....		8	9
86.93	Outlays from current balances .....	30	66	85
87.00	Total outlays (gross) .....	30	74	94
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	70	80	85
90.00	Outlays .....	30	74	94

**Object Classification (in millions of dollars)**

Identification code 49-0551-0-1-251	1996 actual	1997 est.	1998 est.	
25.5	Research and development contracts .....	25	25	
41.0	Grants, subsidies, and contributions .....	70	55	60
99.9	Total obligations .....	70	80	85

The Major Research Equipment activity supports the construction and procurement of unique national research platforms and major research equipment. Performance is measured against established construction schedules, costs and milestones.

**SALARIES AND EXPENSES**

For necessary salaries and expenses of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875); services authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$9,000 for official reception and representation expenses; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; rental of conference rooms in the District of Columbia; reimbursement of the General Services Administration for security guard services and headquarters relocation; [\$134,310,000:] \$136,950,000: Provided, That contracts may be entered into under salaries and expenses in fiscal year [1997] 1998 for maintenance and operation of facilities, and for other services, to be provided during the next fiscal year. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 49-0180-0-1-251	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Administration and Management .....	131	134	137
00.02	Relocation .....	5	5	5
10.00	Total obligations .....	136	139	142
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	136	139	142
23.95	New obligations .....	-136	-139	-142
<b>New budget authority (gross), detail:</b>				
<b>Current:</b>				
40.00	Appropriation .....	133	134	137
<b>Permanent:</b>				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	3	5	5
70.00	Total new budget authority (gross) .....	136	139	142
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	21	21	13
73.10	New obligations .....	136	139	142
73.20	Total outlays (gross) .....	-135	-147	-141
73.40	Adjustments in expired accounts .....	-1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	21	13	14
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	116	121	123
86.93	Outlays from current balances .....	16	21	13
86.97	Outlays from new permanent authority .....	3	5	5
87.00	Total outlays (gross) .....	135	147	141
<b>Offsets:</b>				
<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-3	-5	-5
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	133	134	137
90.00	Outlays .....	132	142	136

This account provides funds to administer and manage NSF programs. NSF continues to develop performance measures for use in the administration and management of NSF programs. These measures are used for program development and performance monitoring. This account also provides for the reimbursement to the General Services Administration (GSA) for expenses incurred by GSA pursuant to the relocation of the National Science Foundation.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Object Classification (in millions of dollars)**

Identification code 49-0180-0-1-251	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	65	66	68
11.3 Other than full-time permanent .....	8	8	8
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	75	76	78
12.1 Civilian personnel benefits .....	14	14	14
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	16	16	17
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	3	3	3
25.3 Purchases of goods and services from Government accounts .....	6	6	6
25.7 Operation and maintenance of equipment .....	4	4	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	6	6	6
99.0 Subtotal, direct obligations .....	133	134	137
99.0 Reimbursable obligations .....	3	5	5
99.9 Total obligations .....	136	139	142

**Personnel Summary**

Identification code 49-0180-0-1-251	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	1,206	1,213	1,184
1005 Full-time equivalent of overtime and holiday hours .....	4	5	5

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, as amended, [\$4,690,000] \$4,850,000, to remain available until September 30, [1998] 1999. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 49-0300-0-1-251	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Office of Inspector General .....	4	5	5
10.00 Total obligations .....	4	5	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....		1	
22.00 New budget authority (gross) .....	4	5	5
23.90 Total budgetary resources available for obligation .....	4	5	5
23.95 New obligations .....	-4	-5	-5
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	4	5	5
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	4	5	5
73.20 Total outlays (gross) .....	-4	-5	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	3	4	4
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	4	5	5

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4	5	5
90.00 Outlays .....	4	5	5

This appropriation provides agencywide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, Public Law 95-452, as amended by Public Law 100-504.

**Object Classification (in millions of dollars)**

Identification code 49-0300-0-1-251	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.1 Advisory and assistance services .....	1	1	1
99.5 Below reporting threshold .....	-1		
99.9 Total obligations .....	4	5	5

**Personnel Summary**

Identification code 49-0300-0-1-251	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	44	46	46

**EDUCATION AND HUMAN RESOURCES**

For necessary expenses in carrying out science and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875), including services as authorized by 5 U.S.C. 3109 and rental of conference rooms in the District of Columbia, [\$619,000,000] \$625,500,000, to remain available until September 30, [1998] 1999: *Provided*, That to the extent that the amount of this appropriation is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall [bereduced] *be reduced* proportionally. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 49-0106-0-1-251	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Educational system reform .....	95	102	103
00.02 EPSCoR .....	36	38	37
00.03 Elementary, secondary, and informal education .....	194	197	183
00.04 Undergraduate education .....	85	87	99
00.05 Graduate education .....	67	71	74
00.06 Human resource development .....	75	74	73
00.07 Research, evaluation and communications .....	49	50	57
00.91 Total direct program .....	601	619	626
01.01 Reimbursable program .....	3	5	5
10.00 Total obligations .....	604	624	631
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	2		
22.00 New budget authority (gross) .....	602	624	631
23.90 Total budgetary resources available for obligation .....	604	624	631
23.95 New obligations .....	-604	-624	-631
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	599	619	626
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	3	5	5
70.00 Total new budget authority (gross) .....	602	624	631

Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation .....	752	783
73.10	New obligations .....	604	624
73.20	Total outlays (gross) .....	-562	-599
73.40	Adjustments in expired accounts .....	-11	
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation .....	783	808
			850
Outlays (gross), detail:			
86.90	Outlays from new current authority .....	103	124
86.93	Outlays from current balances .....	456	470
86.97	Outlays from new permanent authority .....	3	5
			5
87.00	Total outlays (gross) .....	562	599
			589
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-3	-5
			-5
Net budget authority and outlays:			
89.00	Budget authority .....	599	619
90.00	Outlays .....	559	594
			584

Education and Human Resources (EHR) activities provide a comprehensive set of programs that further NSF's goal of achieving excellence in U.S. science, mathematics, engineering, and technology (SMET) education. At the pre-kindergarten through grade 12 (pre K-12) level, EHR focuses on the systemic reform of SMET education in states, cities, school districts, and rural areas. Its pre K-12 programs provide new instructional materials and pedagogical techniques that incorporate the latest advances in teaching, learning, and educational technologies. They also provide enrichment activities for teachers and students. Undergraduate initiatives improve curriculum in all SMET fields and lead major reform efforts in mathematics, chemistry, and other disciplines. EHR programs strengthen laboratory course offerings and enhance faculty. Programs for advanced technological education strengthen education for students preparing to enter the high-technology workforce. Graduate level support is directed primarily to research fellowships and traineeships to guarantee the U.S. position of world leadership in science and technology. All EHR programming focuses on broadening participation of groups underrepresented in SMET fields. The Experimental Program to Stimulate Competitive Research (EPSCoR) broadens participation of states and regions in science and engineering. EHR supports research that advances SMET education and promotes applications of educational technologies. Evaluation and communications activities ensure programmatic accountability by developing indicators that measure impact and inform the education community of best practices and other relevant information. This appropriation also supports NSF's active development of performance indicators that tie to the key program functions of Education and Training and Research Project Support.

Object Classification (in millions of dollars)			
Identification code 49-0106-0-1-251			
	1996 actual	1997 est.	1998 est.
Direct obligations:			
21.0	Travel and transportation of persons .....	2	2
25.1	Advisory and assistance services .....	14	14
25.2	Other services .....	6	6
41.0	Grants, subsidies, and contributions .....	578	597
			604
99.0	Subtotal, direct obligations .....	600	619
99.0	Reimbursable obligations .....	3	5
99.5	Below reporting threshold .....	1	
			5
99.9	Total obligations .....	604	624
			631

**Trust Funds**

**DONATIONS**

**Unavailable Collections (in millions of dollars)**

Identification code 49-8960-0-7-251			
	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99	Balance, start of year .....		
Receipts:			
02.01	Donations to National Science Foundation .....	24	38
			38
Appropriation:			
05.01	Donations .....	-24	-38
			-38
07.99	Total balance, end of year .....		

**Program and Financing (in millions of dollars)**

Identification code 49-8960-0-7-251			
	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01	Ocean drilling programs .....	17	17
00.02	Gifts and Donations .....	11	7
00.06	Gemini Telescope .....	1	14
			14
10.00	Total obligations .....	29	38
			38

**Budgetary resources available for obligation:**

21.40	Unobligated balance available, start of year:		
	Uninvested balance .....	12	8
			7
22.00	New budget authority (gross) .....	24	38
			38
23.90	Total budgetary resources available for obligation	36	46
23.95	New obligations .....	-29	-38
			-38
24.40	Unobligated balance available, end of year:		
	Uninvested balance .....	8	7
			6

**New budget authority (gross), detail:**

60.27	Appropriation (trust fund, indefinite) .....	24	38
			38

**Change in unpaid obligations:**

72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation .....	11	11
			11
73.10	New obligations .....	29	38
			38
73.20	Total outlays (gross) .....	-28	-38
			-38
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation .....	11	11
			11

**Outlays (gross), detail:**

86.97	Outlays from new permanent authority .....	17	38
			38
86.98	Outlays from permanent balances .....	11	
87.00	Total outlays (gross) .....	28	38
			38

**Net budget authority and outlays:**

89.00	Budget authority .....	24	38
90.00	Outlays .....	28	38
			38

**Activities funded are:**

U.S. dollars which are advanced from foreign governments as contributions to the cost of the international participation in major projects, including ocean drilling under the National Science Foundation ocean drilling programs.

Gifts and donations are used for costs associated with meetings for visiting foreign scientists and certain special functions of the National Science Board. These funds are not otherwise available.

Agreements between the United States and foreign countries are to promote cooperative efforts in basic research between these countries. Principal activities supported include: cooperative research, joint seminars, exchange of senior scientists, and short-term research development visits.

**Object Classification (in millions of dollars)**

Identification code 49-8960-0-7-251			
	1996 actual	1997 est.	1998 est.
25.4	Operation and maintenance of facilities .....	7	7
25.5	Research and development contracts .....	10	10
41.0	Grants, subsidies, and contributions .....	11	21
			21
99.5	Below reporting threshold .....	1	
99.9	Total obligations .....	29	38
			38

**NATIONAL TRANSPORTATION SAFETY BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902) [\$42,407,000] \$40,000,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. (*Department of Transportation and Related Agencies Appropriations Act, 1997.*)

[For an additional amount for "Salaries and Expenses", \$6,000,000, to reimburse other federal agencies for previously incurred costs of recovering wreckage from TWA flight 800, and for other costs related to the TWA 800 accident investigation: *Provided*, That the entire amount is designated by Congress as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.] (*Omnibus Consolidated Appropriations Act, 1997.*)

**Unavailable Collections (in millions of dollars)**

Identification code 95-0310-0-1-407	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Accident investigation user fees			6
Appropriation:			
05.01 Accident investigation user fees, legislative proposal subject to PAYGO			-5
05.02 Accident investigation user fees, legislative proposal not subject to PAYGO			-1
05.99 Subtotal appropriation			-6
07.99 Total balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 95-0310-0-1-407	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Policy and direction	6	6	7
00.02 Aviation safety	13	21	9
00.03 Surface transportation safety	11	11	12
00.04 Research and engineering	5	6	8
00.05 Administration	3	3	3
00.06 Administrative law judges	1	1	1
10.00 Total obligations	39	48	40

**Budgetary resources available for obligation:**

22.00 New budget authority (gross)	39	48	40
23.95 New obligations	-39	-48	-40

**New budget authority (gross), detail:**

40.00 Appropriation	39	48	40
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	3	3	5
73.10 New obligations	39	48	40
73.20 Total outlays (gross)	-38	-46	-41
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	3	5	4

**Outlays (gross), detail:**

86.90 Outlays from new current authority	35	43	36
86.93 Outlays from current balances	3	3	5
87.00 Total outlays (gross)	38	46	41

**Net budget authority and outlays:**

89.00 Budget authority	39	48	40
90.00 Outlays	38	46	41

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority	39	48	40
Outlays	38	46	41
Supplemental proposal:			
Budget Authority		20	
Outlays		18	2
Legislative proposal, not subject to PAYGO:			
Budget Authority			1
Outlays			1
Legislative proposal, subject to PAYGO:			
Budget Authority			5
Outlays			5
Total:			
Budget Authority	39	68	46
Outlays	38	64	49

The National Transportation Safety Board (NTSB), as an independent nonregulatory agency, is charged with promoting transportation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

In 1998, the Administration requests a total funding level of \$46.0 million for NTSB Salaries and Expenses, including \$6 million to be derived from a proposed commercial aviation accident investigation fee. This funding level represents an 8.5 percent increase above the 1997 enacted level of \$42.4 million, excluding one-time costs associated with TWA 800. It is uncertain whether the TWA 800 investigation will continue into 1998. In the event that it does, the Administration anticipates that extraordinary costs related to the investigation would be funded through a supplemental appropriation.

The additional resources requested for 1998 will allow the NTSB to hire additional accident investigators; contract with private companies for communications and other services; and fulfill the Presidential Memorandum of September 9, 1996, which requires the NTSB to play a coordinating role, in conjunction with private non-profit organizations, in providing assistance to families of victims of aviation accidents.

**SELECTED WORKLOAD DATA**

	1996 actual	1997 est.	1998 est.
Major accident investigation reports	16	19	20
Other accident investigation reports	2,480	2,549	2,580
Safety recommendations	322	330	340
Safety studies and Special Investigations	6	6	6
Certificate license and civil penalty appeals	475	475	475

**Object Classification (in millions of dollars)**

Identification code 95-0310-0-1-407	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent	22	25	23
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	23	26	24
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	7	1
31.0 Equipment	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	39	48	40

**Personnel Summary**

Identification code 95-0310-0-1-407	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment	360	370	337

1005 Full-time equivalent of overtime and holiday hours 6 6 6

**SALARIES AND EXPENSES**  
**(Legislative proposals)**

*In addition to amounts otherwise available under this head, to be derived from additional user fees and to become available upon enactment of authorizing legislation, \$6,000,000.*

**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 95-0310-2-1-407	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.02 Aviation safety			1
10.00 Total obligations (object class 25.2)			1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			1
23.95 New obligations			-1
<b>New budget authority (gross), detail:</b>			
40.20 Appropriation (special fund, definite)			1
<b>Change in unpaid obligations:</b>			
73.10 New obligations			1
73.20 Total outlays (gross)			-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority			1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			1
90.00 Outlays			1

This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize a commercial aviation accident investigation fee and, upon enactment of the authorization, and the appropriations language proposed above, the fees will become available for expenditure. Because the current requirements of the Budget Enforcement Act of 1990 make it difficult to fund discretionary programs with receipts that are not authorized in appropriations acts, the Administration is proposing a change in the requirements to facilitate the enactment of proposals such as this one.

**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 95-0310-4-1-407	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.02 Aviation safety			5
10.00 Total obligations			5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			5
23.95 New obligations			-5
<b>New budget authority (gross), detail:</b>			
40.20 Appropriation (special fund, definite)			5
<b>Change in unpaid obligations:</b>			
73.10 New obligations			5
73.20 Total outlays (gross)			-5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority			5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			5
90.00 Outlays			5

This is the "PAYGO" portion of the National Transportation Safety Board user fee proposal addressed above.

**Object Classification (in millions of dollars)**

Identification code 95-0310-4-1-407	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent			3
12.1 Civilian personnel benefits			1
23.1 Rental payments to GSA			1
99.9 Total obligations			5

**Personnel Summary**

Identification code 95-0310-4-1-407	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment			44

**EMERGENCY FUND**

For necessary expenses of the National Transportation Safety Board for accident investigations, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), \$1,000,000[ *Provided*, That the entire amount is designated by Congress as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended]. (*Omnibus Consolidated Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 95-0311-0-1-407	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2)	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance			1
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	1	1	2
23.95 New obligations			-1
24.40 Unobligated balance available, end of year:			
Uninvested balance		1	2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1		
90.00 Outlays	1		

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. In 1998, the Administration proposes to double the size of the emergency fund to cover unanticipated costs associated with an increased number of accidents.

**NEIGHBORHOOD REINVESTMENT CORPORATION**

**Federal Funds**

**General and special funds:**

**PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION**

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), **[\$49,900,000]** \$50,000,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 82-1300-0-1-451	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	39	50	50
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	39	50	50
23.95 New obligations	-39	-50	-50
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	39	50	50
<b>Change in unpaid obligations:</b>			
73.10 New obligations	39	50	50
73.20 Total outlays (gross)	-39	-50	-50
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	39	50	50
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	39	50	50
90.00 Outlays	39	50	50

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWOs); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWOs; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For FY 1998, a program level of \$50,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

**BUDGET ACTIVITY**

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
<b>Neighborworks Programs:</b>			
1. Creation of new programs	1	2	2
2. Capacity building	12	17	17
3. Preserving affordable housing/equity capital	10	15	12
4. Program reviews	2	2	2
5. Training and informing	7	9	9
6. Secondary market activities	4	6	6
7. General administration	5	5	6
Total corporate obligations	43	56	54
<b>Sources of financing:</b>			
1. Federal appropriation	39	50	50
2. Reimbursements for services provided	2	3	1
3. Other sources	1	3	3
Unused balance, start of year	1	0	0
Net obligations incurred	43	56	54
Unused balance, end of year	0	0	0
Obligated balances, start of year	4	4	4

Obligated balances, end of year	4	4	4
Net corporate outlay	43	56	54

**Statement of Operations (in millions of dollars)**

Identification code 82-1300-0-1-451	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue	42	43	56	54
0102 Expense	-42	-43	-56	-54
0109 Net income or loss (-)				

**Balance Sheet (in millions of dollars)**

Identification code 82-1300-0-1-451	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	1	1	1	1
<b>Other Federal assets:</b>				
1801 Cash and other monetary assets	4	3	3	3
1803 Property, plant and equipment, net	1	2	2	2
1999 Total assets	6	6	6	6
<b>LIABILITIES:</b>				
<b>Non-Federal liabilities:</b>				
2201 Accounts payable	2	2	2	2
2207 Other	2	2	1	1
2999 Total liabilities	4	4	3	3
<b>NET POSITION:</b>				
3300 Cumulative results of operations	2	3	3	3
3999 Total net position	2	3	3	3
4999 Total liabilities and net position	6	7	6	6

**Object Classification of Corporation Obligations (in millions of dollars)**

	1996 actual	1997 est.	1998 est.
Salaries and benefits	14	15	15
Occupancy	1	2	2
Professional services	2	2	2
Travel and transportation of persons	2	2	2
Conferences and workshops	1	1	1
Grants and grant commitments	20	31	28
Other operating costs	3	4	4
Total obligations	43	56	54

**Personnel Summary**

	1996 actual	1997 est.	1998 est.
<b>Non-Federal employees: Total compensable workyears:</b>			
Full-time equivalent employment	213	225	220
Full-time equivalent of overtime and holiday hours	7	7	7

**NUCLEAR REGULATORY COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including the employment of aliens; services authorized by 5 U.S.C. 3109; publication and dissemination of atomic information; purchase, repair, and cleaning of uniforms; official representation expenses (not to exceed \$20,000); reimbursements to the General Services Administration for security guard services; hire of passenger motor vehicles and aircraft, **[\$471,800,000]** \$476,500,000, to remain available until expended: *Provided*, That of the amount appropriated herein, **[\$11,000,000]** \$17,000,000 shall be derived from the Nuclear Waste Fund: *Provided further*, That from this appropriation, transfers of sums may be made

to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: *Provided further*, That moneys received by the Commission for the cooperative nuclear safety research program, services rendered to *State governments*, foreign governments and international organizations, and the material and information access authorization programs, including criminal history checks under section 149 of the Atomic Energy Act may be retained and used for salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$457,300,000]** *\$\$457,500,000* in fiscal year **[1997]** *1998* shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the funds herein appropriated for regulatory reviews and other [activities pertaining to waste stored at the Hanford site, Washington,] *assistance provided to the Department of Energy and other Federal agencies* shall be excluded from license fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[1997]** *1998* from licensing fees, inspection services and other services and collections, excluding those moneys received for the cooperative nuclear safety research program, services rendered to *State governments*, foreign governments and international organizations, and the material and information access authorization programs, so as to result in a final fiscal year **[1997]** *1998* appropriation estimated at not more than **[\$14,500,000]** *\$19,000,000*. (*Energy and Water Development Appropriations Act, 1997.*)

Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	5	5	5
70.00	Total new budget authority (gross) .....	472	477	481
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation .....	176	164	194
73.10	New obligations .....	516	512	481
73.20	Total outlays (gross) .....	-512	-482	-480
73.45	Adjustments in unexpired accounts .....	-16		
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation .....	164	194	196
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	331	354	357
86.93	Outlays from current balances .....	176	117	118
86.97	Outlays from new permanent authority .....	5	5	5
86.98	Outlays from permanent balances .....		6	
87.00	Total outlays (gross) .....	512	482	480
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-5	-5	-5
Net budget authority and outlays:				
89.00	Budget authority .....	467	472	476
90.00	Outlays .....	507	477	475

**Reactor Program.**—This program encompasses all NRC inspection, oversight, and licensing of reactor facilities and designs, as required by the Atomic Energy Act of 1954, as amended; all reactor regulatory research as required by the Energy Reorganization Act of 1974 (Section 205 of Public Law 95-209); and all other functions associated with reactors including evaluation of safety concerns, assessment of operational events and experience, technical training for NRC staff, independent review and legal advice to the Commission on safety issues, adjudicatory reviews, investigations of wrongdoing by reactor licensees, reactor enforcement policy, and actions to protect the public health and safety.

**Nuclear Materials and Nuclear Waste Programs.**—This program encompasses all NRC public health and safety, safeguards, research activities, operational data analysis, technical training, adjudicatory reviews, investigations, enforcement, and independent safety and legal advice related to the licensing, inspection and environmental reviews for fuel cycle facilities, the transportation of nuclear materials, the safe interim storage of spent fuel, nuclear materials users, the safe management and disposal of low-level and high-level radioactive wastes, and uranium recovery and related remedial actions. This program also includes safeguards reviews for all licensing activities involving the export of special nuclear material, the integrated agency effort to oversee decontamination and decommissioning of facilities and sites associated with NRC-licensed activities, and regulatory assistance provided to the Department of Energy including activities associated with anticipated commercial vitrification of high-level waste in Hanford tanks.

**Management and Support Program.**—This program encompasses NRC central policy direction, resources management, and all administrative and logistical support. In addition, this program administers NRC's responsibilities with State, local government, Indian tribes, foreign countries and international organizations.

Unavailable Collections (in millions of dollars)				
Identification code 31-0200-0-1-276	1996 actual	1997 est.	1998 est.	
Balance, start of year:				
01.99	Balance, start of year .....			
Receipts:				
02.01	Nuclear facility fees, Nuclear Regulatory Commission .....	454	462	462
Appropriation:				
05.01	Salaries and expenses .....	-449	-457	-457
05.02	Office of Inspector General .....	-5	-5	-5
05.99	Subtotal appropriation .....	-454	-462	-462
07.99	Total balance, end of year .....			

Program and Financing (in millions of dollars)				
Identification code 31-0200-0-1-276	1996 actual	1997 est.	1998 est.	
Obligations by program activity:				
Direct program:				
00.01	Reactor .....	251	255	237
00.02	Nuclear Materials and Nuclear Waste .....	80	85	85
00.03	Management and Support .....	177	161	154
00.91	Total direct program .....	508	501	476
01.01	Reimbursable program .....	8	11	5
10.00	Total obligations .....	516	512	481
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year: Uninvested balance .....	63	35	
22.00	New budget authority (gross) .....	472	477	481
22.10	Resources available from recoveries of prior year obligations .....	16		
23.90	Total budgetary resources available for obligation .....	551	512	481
23.95	New obligations .....	-516	-512	-481
24.40	Unobligated balance available, end of year: Uninvested balance .....	35		

New budget authority (gross), detail:				
Current:				
40.00	Appropriation .....	7	4	2
Appropriation (special fund, definite):				
40.20	Appropriation (special fund, definite) .....	449	457	457
40.20	Appropriation (special fund, definite) .....	11	11	17
43.00	Appropriation (total) .....	467	472	476

Object Classification (in millions of dollars)				
Identification code 31-0200-0-1-276	1996 actual	1997 est.	1998 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	207	224	219
11.3	Other than full-time permanent .....	5	5	5
11.5	Other personnel compensation .....	5	5	5
11.8	Special personal services payments .....	1	1	1

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

Object Classification (in millions of dollars)—Continued

Identification code 31-0200-0-1-276	1996 actual	1997 est.	1998 est.
11.9 Total personnel compensation .....	218	235	230
12.1 Civilian personnel benefits .....	43	47	45
21.0 Travel and transportation of persons .....	15	13	12
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	19	20	21
23.3 Communications, utilities, and miscellaneous charges .....	7	6	6
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	86	76	67
25.3 Purchases of goods and services from Government accounts .....	95	83	75
25.4 Operation and maintenance of facilities .....	2	2	2
25.7 Operation and maintenance of equipment .....	3	2	2
26.0 Supplies and materials .....	3	2	2
31.0 Equipment .....	11	10	9
41.0 Grants, subsidies, and contributions .....	2	1	1
99.0 Subtotal, direct obligations .....	508	501	476
99.0 Reimbursable obligations .....	8	11	5
99.5 Below reporting threshold .....			
99.9 Total obligations .....	516	512	481

**Personnel Summary**

Identification code 31-0200-0-1-276	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	3,020	3,018	2,948
1005 Full-time equivalent of overtime and holiday hours .....	50	50	50

**OFFICE OF INSPECTOR GENERAL**  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, including services authorized by 5 U.S.C. 3109, [\$5,000,000] \$4,800,000, to remain available until expended; and in addition, an amount not to exceed 5 percent of this sum may be transferred from Salaries and Expenses, Nuclear Regulatory Commission: *Provided*, That notice of such transfers shall be given to the Committees on Appropriations of the House and Senate: *Provided further*, That from this appropriation, transfers of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [1997] 1998 from licensing fees, inspection services, and other services and collections, so as to result in a final fiscal year [1997] 1998 appropriation estimated at not more than \$0. (*Energy and Water Development Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 31-0300-0-1-276	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Inspector General .....	4	7	5
10.00 Total obligations .....	4	7	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1	2	
22.00 New budget authority (gross) .....	5	5	5
23.90 Total budgetary resources available for obligation .....	6	7	5

23.95 New obligations .....	-4	-7	-5
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	2		

**New budget authority (gross), detail:**

40.20 Appropriation (special fund, definite) .....	5	5	5
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	3
73.10 New obligations .....	4	7	5
73.20 Total outlays (gross) .....	-4	-5	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	3	3

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	3	4	4
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	4	5	5

**Net budget authority and outlays:**

89.00 Budget authority .....	5	5	5
90.00 Outlays .....	4	5	5

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

**Object Classification (in millions of dollars)**

Identification code 31-0300-0-1-276	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	3	4	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....		2	1
99.9 Total obligations .....	4	7	5

**Personnel Summary**

Identification code 31-0300-0-1-276	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	42	43	43

**NUCLEAR WASTE TECHNICAL REVIEW BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, [\$2,531,000] \$3,200,000, to be derived from the Nuclear Waste Fund, and to remain available until expended. (*Energy and Water Development Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 48-0500-0-1-271	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1	1	
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation .....	4	3	3
23.95 New obligations .....	-3	-3	-3

24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	1		
<b>New budget authority (gross), detail:</b>				
40.20	Appropriation (special fund, definite) .....	3	3	3
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	3	3	3
73.20	Total outlays (gross) .....	-3	-4	-3
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	3	3	3
86.93	Outlays from current balances .....		1	
87.00	Total outlays (gross) .....	3	4	3
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	3	3	3
90.00	Outlays .....	3	4	3

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 48-0500-0-1-271	1996 actual	1997 est.	1998 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	3	3	3

Personnel Summary

Identification code 48-0500-0-1-271	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	20	23	23

**OCCUPATIONAL SAFETY AND HEALTH  
REVIEW COMMISSION**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission (29 U.S.C. 661), **[\$7,753,000] \$7,800,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-2100-0-1-554	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Commission review .....	2	2	2
00.02 Administrative law judge determinations .....	4	4	4
00.03 Executive direction .....	2	2	2
10.00 Total obligations .....	8	8	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	8
23.95 New obligations .....	-8	-8	-8
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	8
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	8	8	8

73.20	Total outlays (gross) .....	-7	-8	-8
74.40	Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1	
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	7	7	7
86.93	Outlays from current balances .....		1	1
87.00	Total outlays (gross) .....	7	8	8
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	8	8	8
90.00	Outlays .....	7	8	8

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	1996 actual	1997 est.	1998 est.
<b>Commission review activities:</b>			
Cases pending beginning of year .....	63	57	44
Cases called for review .....	50	62	62
Cases decided .....	56	75	75
<b>Administrative law judge activities:</b>			
Cases pending beginning of year .....	891	747	489
New cases received .....	1,682	2,094	2,254
<b>Case dispositions:</b>			
After assignment but without hearing .....	1,693	2,170	2,170
Heard and decided by judge .....	133	182	182

Object Classification (in millions of dollars)

Identification code 95-2100-0-1-554	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	8	8	8

Personnel Summary

Identification code 95-2100-0-1-554	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	69	73	72

**OFFICE OF GOVERNMENT ETHICS**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, as amended by Public Law 100-598, and the Ethics Reform Act of 1989, Public Law 101-194, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed **\$1,500** for official reception and representation expenses; **[\$8,078,000] \$8,265,000.** (Independent Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-1100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	8	8	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	8
23.95 New obligations .....	-8	-8	-8
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	8

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-1100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	8	8	8
73.20 Total outlays (gross) .....	-8	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	7	7
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	8	8
90.00 Outlays .....	8	8	8

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure; and by issuing and amending regulations implementing the procurement integrity provisions relating to negotiating for employment, post employment, and gratuities in the Office of Federal Procurement Policy Act Amendments of 1988, P.L. 100-679.

**Object Classification (in millions of dollars)**

Identification code 95-1100-0-1-805	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	8	8	8

**Personnel Summary**

Identification code 95-1100-0-1-805	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	80	84	84

**OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, \$19,345,000, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10. (*Department of Interior and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 48-1100-0-1-808	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Operation of relocation office .....	6	6	6
00.03 Relocation payments (housing) .....	12	12	12
00.04 Discretionary fund payments .....	3	1	1
10.00 Total obligations .....	21	19	19
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	17	17	17
22.00 New budget authority (gross) .....	20	19	19
23.90 Total budgetary resources available for obligation	37	36	36
23.95 New obligations .....	-21	-19	-19
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	17	17	17
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	20	19	19
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	11	10	13
73.10 New obligations .....	21	19	19
73.20 Total outlays (gross) .....	-22	-16	-15
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	10	13	17
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	11	12	12
86.93 Outlays from current balances .....	11	4	3
87.00 Total outlays (gross) .....	22	16	15
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	20	19	19
90.00 Outlays .....	22	16	15

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1982. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48-1100-0-1-808	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	2	1	1
32.0 Land and structures .....	12	12	12
41.0 Grants, subsidies, and contributions .....	3	1	1
99.9 Total obligations .....	21	19	19

Personnel Summary

Identification code 48-1100-0-1-808	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	86	81	81

**OFFICE OF SPECIAL COUNSEL**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12), Public Law 103-424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103-353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$8,116,000] \$8,450,000.** (*Independent Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 62-0100-0-1-805	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Investigation and prosecution of reprisals for whistleblowing .....	8	8	8
10.00 Total obligations .....	8	8	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	8
23.95 New obligations .....	-8	-8	-8
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	8
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1	1	1
73.10 New obligations .....	8	8	8
73.20 Total outlays (gross) .....	-8	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	7	7
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	8	8
90.00 Outlays .....	8	8	8

The Office of Special Counsel (OSC) (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board (MSPB); (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

The current Special Counsel has undertaken a review of and made changes in virtually every aspect of the operations of the OSC. The aim of these efforts has been to make the OSC more responsive to those individuals who seek the agency's assistance, to improve the productivity of the OSC's employees, and to ensure that the OSC is an easily accessible source of information about the rights of government employees.

Overall in FY 1996, there were more than 7,517 instances in which the assistance or action of the OSC was sought by federal employees and other persons. Many prohibited personnel practice and Hatch Act cases investigated by the OSC are resolved without recourse to formal proceedings before the MSPB. In Fiscal Year 1996 the OSC obtained 86 corrective or other favorable actions, and efforts to obtain such negotiated resolutions will continue. In Fiscal Year 1996 the OSC also filed 7 enforcement actions before the MSPB in prohibited personnel practice and Hatch Act matters. The OSC also issued a record-setting 3,578 Hatch Act advisory opinions (both written and oral) to people who sought advice. During FY 1996, the OSC's Disclosure Unit received 384 disclosure matters for possible referral and completed 225 of them. Twenty-nine Disclosure Unit matters were referred to agency heads for their review.

The following tables display the anticipated workloads:

ALLEGATIONS RECEIVED

	1996 actual	1997 est.	1998 est.
Reprisal for whistleblowing .....	671	720	763
Other personnel practices .....	3,168	3,200	3,200
Hatch Act .....	100	105	110

ALLEGATIONS CLOSED

	1996 actual	1997 est.	1998 est.
Reprisal for whistleblowing .....	577	619	656
Other personnel practices .....	3,087	3,118	3,118
Hatch Act .....	101	106	111

Object Classification (in millions of dollars)

Identification code 62-0100-0-1-805	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	8	8	8

Personnel Summary

Identification code 62-0100-0-1-805	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	89	96	93

**OTHER COMMISSIONS AND BOARDS**

*Federal Funds*

**General and special funds:**

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE  
ABROAD

SALARIES AND EXPENSES

For expenses for the Commission for the Preservation of America's Heritage Abroad, \$206,000, as authorized by Public Law 99-83, section 1303. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.*)

**[FRANKLIN DELANO ROOSEVELT MEMORIAL COMMISSION]**

**[SALARIES AND EXPENSES]**

[For necessary expenses of the Franklin Delano Roosevelt Memorial Commission, established by the Act of August 11, 1955 (69 Stat. 694), as amended by Public Law 92-332 (86 Stat. 401), \$500,000

**General and special funds—Continued**

**[FRANKLIN DELANO ROOSEVELT MEMORIAL COMMISSION]—  
Continued**

**[SALARIES AND EXPENSES]—Continued**

to remain available until expended.] (Department of Interior and Related Agencies Appropriations Act, 1997.)

**Unavailable Collections (in millions of dollars)**

Identification code 95-9911-0-1-808	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....		2	4
Receipts:			
02.01 Miscellaneous deposits, Miscellaneous trust funds, Independent agencies .....	1	1	1
02.02 Interest, Miscellaneous trust funds, Independent agencies .....	1	1	1
02.99 Total receipts .....	2	2	2
04.00 Total: Balances and collections .....	2	4	6
07.99 Total balance, end of year .....	2	4	6

**Program and Financing (in millions of dollars)**

Identification code 95-9911-0-1-808	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2) .....	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....		2	
22.00 New budget authority (gross) .....	1		
23.90 Total budgetary resources available for obligation	3	2	
23.95 New obligations .....	-1		
24.40 Unobligated balance available, end of year: Uninvested balance .....	2		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1	1	
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1		
73.20 Total outlays (gross) .....	-1	-1	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1		
90.00 Outlays .....	1	1	

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis. Individual commissions, where all transactions fall below the threshold (i.e., transactions do not round to \$1 million), are included.

The Commission for the Preservation of America's Heritage Abroad requests \$206 thousand for 1998 to encourage the preservation of cemeteries, monuments, and historic buildings associated with the foreign heritage of the United States.

The Franklin Delano Roosevelt Memorial Commission formulated plans for a memorial to Franklin Delano Roosevelt. Construction of the FDR Memorial is expected to be completed in the Spring of 1997. Upon completion there will be a dedication of the Memorial, and the Commission will be terminated.

**Personnel Summary**

Identification code 95-9911-0-1-808	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2		

**OUNCE OF PREVENTION COUNCIL**

**Federal Funds**

**General and special funds:**

**VIOLENT CRIME REDUCTION PROGRAMS**

For activities authorized by sections 30101 and 30102 of Public Law 103-322 (including administrative costs), **[\$1,500,000]** \$9,000,000, to remain available until expended, which shall be derived from the Violent Crime Reduction Trust Fund, for the Ounce of Prevention Grant Program: *Provided*, That the Council may accept and use gifts and donations, both real and personal, for the purpose of aiding or facilitating the authorized activities of the Council, of which not to exceed \$5,000 may be used for official reception and representation expenses. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 95-0100-0-1-754	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Grants/Program .....	1	2	8
00.02 Administrative Expenses .....	1	1	1
10.00 Total obligations .....	2	3	9
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....	1	1	
22.00 New budget authority (gross) .....	2	2	9
23.90 Total budgetary resources available for obligation	3	3	9
23.95 New obligations .....	-2	-3	-9
24.40 Unobligated balance available, end of year: Uninvested balance .....	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	2	
42.00 Transferred from other accounts .....			9
43.00 Appropriation (total) .....	2	2	9
70.00 Total new budget authority (gross) .....	2	2	9
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....		2	4
73.10 New obligations .....	2	3	9
73.20 Total outlays (gross) .....		-1	-4
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	2	4	10
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			2
86.93 Outlays from current balances .....		1	2
87.00 Total outlays (gross) .....		1	4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	9
90.00 Outlays .....		1	4

Amounts for the Ounce of Prevention Council's activities are derived from transfers from the Violent Crime Reduction Trust Fund (VCRTF), as authorized by the Violent Crime Control and Law Enforcement Act (VCCLEA) of 1994. These funds are provided to enable the Council to coordinate the VCCLEA programs; publish a catalog of prevention programs; assist communities in obtaining information about prevention programs; develop strategies for program integration and grant simplification; and award grants to communities as specified by the authorizing legislation.

The Ounce of Prevention Council is chaired by Vice President Gore and consists of the Attorney General, the Secretary of the Treasury, the Secretaries of Labor, Health and Human Services, Agriculture, Education, Housing and Urban Development, the Interior, and the Director of the Office of National Drug Control Policy.

## Object Classification (in millions of dollars)

Identification code 95-0100-0-1-754	1996 actual	1997 est.	1998 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	2	8
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	3	9

## Personnel Summary

Identification code 95-0100-0-1-754	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4	7	10

## PANAMA CANAL COMMISSION

## Federal Funds

## Public enterprise funds:

## PANAMA CANAL REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 95-4061-0-3-403	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.01 Transit operations .....	417	437	451
00.02 Supporting services .....	77	78	83
00.03 General Corporate Expenses .....	104	102	105
00.91 Total operating expenses .....	598	617	639
Capital investment:			
02.01 Transit operation projects .....	72	75	89
02.02 General support projects .....	6	5	5
02.03 Utilities projects .....	7	5	6
02.04 Accomplishment of prior year slippage .....	.....	5	11
02.05 Unanticipated delays/slippage .....	.....	-11	.....
02.91 Total capital investment .....	85	79	111
10.00 Total obligations .....	683	696	750
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Fund balance .....	34	3	5
22.00 New budget authority (gross) .....	652	698	748
23.90 Total budgetary resources available for obligation .....	686	701	753
23.95 New obligations .....	-683	-696	-750
24.90 Unobligated balance available, end of year: Fund balance .....	3	5	3
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	652	698	748
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance: Fund balance .....	182	248	272
73.10 New obligations .....	683	696	750
73.20 Total outlays (gross) .....	-618	-672	-716
74.90 Unpaid obligations, end of year: Obligated balance: Fund balance .....	248	272	306
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	522	672	690
86.98 Outlays from permanent balances .....	96	.....	26
87.00 Total outlays (gross) .....	618	672	716
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-652	-698	-748
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	-35	-26	-32

Note.—Authority to borrow is available to the Panama Canal Commission on a permanent indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$100 million.

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. The Commission is self-sufficient in its operations and makes payments to the Republic of Panama as specified in the Panama Canal Treaty of 1977. Pursuant to Public Law 104-106, the Commission is a wholly-owned government corporation and is funded by a revolving fund.

**Budget program—Transit operations.**—The services performed by this activity are (in millions of dollars):

	1996 actual	1997 est.	1998 est.
Maintenance of channels and dams .....	46	43	44
Navigation service and control .....	113	116	120
Lock operations and maintenance .....	87	95	106
General repairs, engineering, and maintenance services .....	33	33	33
Fire and facility protection services .....	16	17	17
Public service payments to Panama .....	20	20	20
Payments to Panama .....	85	89	90
General canal expense .....	21	23	24
Net operating expenses .....	421	436	454

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Payments to Panama include a public service payment of \$10 million, a fixed annuity of \$10 million, and an annuity based on net tonnage of vessels transiting the Canal. These payments are prescribed in paragraph 5 of article III and paragraphs 4(a) and 4(b) of article XIII of the Panama Canal Treaty of 1977.

Vessel traffic volume and other indices of workload are as follows:

	1996 actual	1997 est.	1998 est.
Ship transits (over 300 net Panama Canal tons) .....	13,721	13,870	13,900
Tolls (in millions of dollars) .....	487	520	566

Capital obligations for 1998 include the following major projects: continuation of the Gaillard Cut widening/straightening program, replacement of one tugboat, addition of a dump scow, replacement of anchor barge and launches, additional towing locomotives, improvement of vessel traffic management system, replacement of switchgear in locks transformer rooms, and other improvements to transit facilities and equipment.

**Supporting services.**—The services performed by these supporting activities are (in millions of dollars):

	1996 actual	1997 est.	1998 est.
Supply and logistical .....	22	22	23
Utilities .....	34	45	45
Other supporting services .....	16	16	16
Operating expenses .....	72	83	84

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Capital obligations for 1998 include several projects for electric power and communications systems improvements, the replacement of overaged motor vehicles, and the procurement of small equipment items.

General Corporate expenses provide for the salaries and related expenses for the overall direction and administration of the Commission, including Financial Management, Personnel Administration, and the Office of Inspector General. It also provides for non-administrative expenses which are general in nature and not associated with any specific function. Included in these costs are: the amortization of the expense of the special retirement provisions of the treaty implementation legislation; the interest expense on the investment of the U.S. Government in the Canal which is paid into the miscellaneous receipts of the U.S. Treasury; the health and education services provided to Commission's employees and

**Public enterprise funds—Continued**

**PANAMA CANAL REVOLVING FUND—Continued**

their dependents at Department of Defense facilities; the compensation benefits for work injuries (FECA); the premiums for the Federal employees health benefits program (FEHBA); certain other statutory costs required by the U.S. Government; and miscellaneous expenses of a general nature.

(In millions of dollars)

	1996 actual	1997 est.	1998 est.
General corporate expenses .....	134	131	135

**Financing.**—The Commission has two Treasury accounts: the Revolving Fund, which covers operations, and the Dissolution Fund, which provides for costs associated with the dissolution of the Panama Canal Commission and will not be available for obligation until October 1, 1998. The operation of the Canal is conducted on a commercial basis with revenues derived from tolls collected from vessels and other essential supporting services. Revenues collected are deposited in an account in the Panama Canal Revolving Fund. Operating and capital expenditures are then funded from this account. The Commission may borrow from the U.S. Treasury not more than \$100 million outstanding at any time. No cash withdrawals against these funds are planned.

The amount set aside from toll receipts for a capital advance in 1998 is \$68.3 million. No provision is made for working capital in 1998.

The budget reflects a two phase toll rate increase of 8.2 percent effective January 1, 1997, and 7.5 percent effective January 1, 1998. The Commission incurred an operating loss of \$1.7 million in 1996 and is budgeting a modest loss of \$1.9 million for 1997. Both losses will be carried forward and fully recovered in 1998.

**Statement of Operations (in millions of dollars)**

Identification code 95-4061-0-3-403	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	538	581	596	625
0102 Expense .....	-409	-450	-464	-484
0109 Net income or loss (-) .....	129	131	132	141
0111 Revenue .....	48	44	53	53
0112 Expense .....	-84	-83	-94	-94
0119 Net income or loss (-) .....	-36	-39	-41	-41
0121 Revenue .....				
0122 Expense .....	-93	-94	-93	-96
0129 Net income or loss (-) .....	-93	-94	-93	-96
0191 Total revenues .....	586	625	649	678
0192 Total expenses .....	-586	-627	-651	-674
0199 Net income or loss .....		-2	-2	4

**Balance Sheet (in millions of dollars)**

Identification code 95-4061-0-3-403	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	217	252	278	310
Investments in US securities:				
1106 Receivables, net .....	4	5	5	5
1206 Non-Federal assets: Receivables, net .....	10	5	5	5
Other Federal assets:				
1802 Inventories and related properties .....	31	33	29	29
1803 Property, plant and equipment, net .....	502	512	539	581
1901 Other assets .....	87	61	40	17
1999 Total assets .....	851	868	896	947
<b>LIABILITIES:</b>				
Federal liabilities: Accounts payable .....				
2101 .....	3	4	3	3
Non-Federal liabilities:				
2201 Accounts payable .....	33	36	33	33
2206 Pension and other actuarial liabilities .....	104	76	52	32
2207 Other .....	123	148	166	178

2999 Total liabilities .....	263	264	254	246
<b>NET POSITION:</b>				
3200 Invested capital .....	588	604	642	701
3999 Total net position .....	588	604	642	701
4999 Total liabilities and net position .....	851	868	896	947

**Object Classification (in millions of dollars)**

Identification code 95-4061-0-3-403	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	201	256	269
11.3 Other than full-time permanent .....	21	25	26
11.5 Other personnel compensation .....	49	48	49
11.9 Total personnel compensation .....	271	329	344
12.1 Civilian personnel benefits .....	37	46	47
13.0 Benefits for former personnel .....	15	15	15
21.0 Travel and transportation of persons .....	4	4	4
22.0 Transportation of things .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	3	5	5
24.0 Printing and reproduction .....		1	
25.1 Advisory and assistance services .....	3	2	2
25.2 Other services .....	30	32	31
25.6 Medical care .....	2	2	2
26.0 Supplies and materials .....	71	67	72
31.0 Equipment .....	53	49	54
32.0 Land and structures .....	37	34	61
41.0 Grants, subsidies, and contributions .....	95	99	100
42.0 Insurance claims and indemnities .....	9	9	9
43.0 Interest and dividends .....	1		2
93.0 Limitation on expenses .....	50		
99.0 Subtotal, reimbursable obligations .....	683	696	750
99.9 Total obligations .....	683	696	750

**Personnel Summary**

Identification code 95-4061-0-3-403	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	8,253	10,170	10,316
2005 Full-time equivalent of overtime and holiday hours .....	1,045	895	866

**LIMITATION ON ADMINISTRATIVE EXPENSES**

**Program and Financing (in millions of dollars)**

	1996 actual	1997 est.	1998 est.
<b>Program by activities:</b>			
Administrative and general:			
1. Executive direction .....	13		
2. Operations direction .....	2		
3. Financial management .....	15		
4. Personnel administration .....	5		
5. Inspector General .....	2		
6. Employment costs .....	13		
Total obligations under limitation .....	50		
Balance lapsing .....	3		
<b>Financing:</b>			
Limitation .....	53		

In 1997 and 1998 no limitation on administrative expenses is proposed.

The limitation on administrative expenses in 1996 provided for salaries and expenses associated with the overall direction and administration of the Commission. In 1997 and thereafter the Commission does not have a limitation on administrative expenses.

**Object Classification (in millions of dollars)**

Identification code 95-4061-0-3-403	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	27		
11.3 Other than full-time permanent .....	1		
11.9 Total personnel compensation .....	28		
12.1 Civilian personnel benefits .....	10		

23.3	Communications, utilities, and miscellaneous charges	3		
25.1	Advisory and assistance services			
25.2	Other services	7		
26.0	Supplies and materials	1		
31.0	Equipment	1		
93.0	Limitation on expenses	-50		
99.0	Subtotal, limitation acct—direct obligations			

Personnel Summary

Identification code 95-4061-0-3-403	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
6001 Full-time equivalent employment	758		
6005 Full-time equivalent of overtime and holiday hours	6		

PANAMA CANAL COMMISSION DISSOLUTION FUND

Unavailable Collections (in millions of dollars)

Identification code 95-4073-0-3-403	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year		2	4
03.00 Offsetting Collections	2	2	2
04.00 Total: Balances and collections	2	4	6
07.99 Total balance, end of year	2	4	6

Program and Financing (in millions of dollars)

Identification code 95-4073-0-3-403	1996 actual	1997 est.	1998 est.
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2	2	2
68.45 Portion not available for obligation (limitation on obligations)	-2	-2	-2
68.90 Spending authority from offsetting collections (total)			
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	-2	-2	-2
90.00 Outlays	-2	-2	-2

Pursuant to 22 USC 3714a., Sec. 1305., there is established in the Treasury of the United States a fund known as the "Panama Canal Commission Dissolution Fund". The Fund shall be managed by the Commission and will be available after September 30, 1998, to pay the operating costs associated with the dissolution of the Panama Canal Commission.

Balance Sheet (in millions of dollars)

Identification code 95-4073-0-3-403	1995 actual	1996 actual	1997 est.	1998 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury		2	4	6
1999 Total assets		2	4	6
NET POSITION:				
3200 Invested capital		2	4	6
3999 Total net position		2	4	6
4999 Total liabilities and net position		2	4	6

PENNSYLVANIA AVENUE DEVELOPMENT CORPORATION

Federal Funds

General and special funds:

The Pennsylvania Avenue Development Corporation was terminated as of April 1, 1996 pursuant to Public Law No. 104-34. All remaining funds were transferred to the General Services Administration.

POSTAL SERVICE

Federal Funds

General and special funds:

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, [\$85,080,000] \$86,274,000. Provided, That mail for overseas voting and mail for the blind shall continue to be free: Provided further, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: Provided further, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in the fiscal year ending on September 30, [1997] 1998. (Treasury, Postal Service, and General Government Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 18-1001-0-1-372	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Current year	56	61	55
00.02 Reconciliation adjustment			2
00.03 Prior years' liabilities	29	24	29
10.00 Total obligations (object class 41.0)	85	85	86
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	85	85	86
23.95 New obligations	-85	-85	-86
New budget authority (gross), detail:			
40.00 Appropriation	85	85	86
Change in unpaid obligations:			
73.10 New obligations	85	85	86
73.20 Total outlays (gross)	-85	-85	-86
Outlays (gross), detail:			
86.90 Outlays from new current authority	85	85	86
Net budget authority and outlays:			
89.00 Budget authority	85	85	86
90.00 Outlays	85	85	86

PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED LIABILITIES

For payment to the Postal Service Fund for meeting the liabilities of the former Post Office Department to the Employees' Compensation Fund pursuant to 39 United States Code 2004, [\$35,536,000] \$34,850,000. (Treasury, Postal Service, and General Government Appropriations Act, 1997.)

**General and special funds—Continued**

**PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED LIABILITIES—Continued**

**Program and Financing (in millions of dollars)**

Identification code 18-1004-0-1-372	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	37	36	35
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	37	36	35
23.95 New obligations	-37	-36	-35
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	37	36	35
<b>Change in unpaid obligations:</b>			
73.10 New obligations	37	36	35
73.20 Total outlays (gross)	-37	-36	-35
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	37	36	35
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	37	36	35
90.00 Outlays	37	36	35

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority	37	36	35
Outlays	37	36	35
Legislative proposal, subject to PAYGO:			
Budget Authority			-35
Outlays			-35
<b>Total:</b>			
Budget Authority	37	36	
Outlays	37	36	

**PAYMENT TO THE POSTAL SERVICES FOR NONFUNDED LIABILITIES (Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 18-1004-4-1-372	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)			-35
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			-35
23.95 New obligations			35
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation			-35
<b>Change in unpaid obligations:</b>			
73.10 New obligations			-35
73.20 Total outlays (gross)			35
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new permanent authority			-35
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			-35
90.00 Outlays			35

This schedule reflects the changes resulting from the proposal to require that liabilities of the former Post Office Department to the Employees' Compensation Fund, which were previously appropriated to the U.S. Postal Service, shall now be liabilities of the Postal Service and payable out of the Postal Service Fund.

Pursuant to Public Law 98-328, the FY 1998 appropriations requests of the U.S. Postal Service are: for "Payment to the Postal Service Fund," \$91,657,000; and for "Payment to the

Postal Service Fund for Nonfunded Liabilities," \$34,850,000. The Postal Service is also requesting an FY 1997 supplemental appropriation of \$5,383,000. If this supplemental request is granted, the FY 1998 Postal Service request for "Payment to the Postal Service Fund" would be reduced accordingly, to \$86,274,000. The President's budget contains no supplemental appropriations request.

**Public enterprise funds:**

**POSTAL SERVICE FUND**

**Program and Financing (in millions of dollars)**

Identification code 18-4020-0-3-372	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Postal field operations	39,430	41,078	42,762
00.02 Transportation	3,736	4,143	4,375
00.03 Building occupancy	1,297	1,475	1,525
00.04 Supplies and services	2,132	2,491	2,683
00.05 Research and development	55	57	60
00.06 Administration and area operations	4,663	4,478	5,301
00.07 Interest	1,894	2,031	2,274
00.08 Servicewide expenses	727	180	185
00.09 Capital investment	3,192	6,024	3,646
00.10 Post Office Dept. Workers' Compensation	37	36	35
00.91 Subtotal, direct program	57,163	61,993	62,846
02.01 Reimbursable program	258	265	276
10.00 Total obligations	57,421	62,258	63,122
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Treasury balance	476	411	411
22.00 New budget authority (gross)	60,280	66,171	64,803
22.30 Unobligated balance expiring	-65		
22.60 Redemption of debt	-2,859	-3,912	-1,681
23.90 Total budgetary resources available for obligation	57,832	62,670	63,533
23.95 New obligations	-57,421	-62,258	-63,122
24.90 Unobligated balance available, end of year: Treasury balance	411	411	411
<b>New budget authority (gross), detail:</b>			
67.15 Authority to borrow (indefinite)	3,441	8,000	4,932
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	56,838	58,171	59,871
70.00 Total new budget authority (gross)	60,279	66,171	64,803
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.47 Authority to borrow	16,994	18,935	20,096
72.90 Treasury balance	-201	-544	167
72.91 U.S. Securities: Par value	1,249	860	1,100
72.99 Total unpaid obligations, start of year	18,042	19,251	21,363
73.10 New obligations	57,421	62,258	63,122
73.20 Total outlays (gross)	-56,212	-60,147	-63,930
Unpaid obligations, end of year:			
Obligated balance:			
74.47 Authority to borrow	18,935	20,096	20,391
74.90 Treasury balance	-544	167	-336
74.91 U.S. Securities: Par value	860	1,100	500
74.99 Total unpaid obligations, end of year	19,251	21,363	20,555
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	56,212	60,147	63,930
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1,219	-1,244	-1,266
88.20 Interest on U.S. securities	-142	-126	-72
88.40 Non-Federal sources	-55,477	-56,801	-58,533
88.90 Total, offsetting collections (cash)	-56,838	-58,171	-59,871
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	3,441	8,000	4,932

90.00	Outlays .....	-626	1,976	4,059
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## Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority .....	3,441	8,000	4,932
Outlays .....	-626	1,976	4,059
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			35
Outlays .....			35
Total:			
Budget Authority .....	3,441	8,000	4,967
Outlays .....	-626	1,976	4,094

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

*Programs.*—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and U.S. competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is encouraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business, governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that pro-

motes universal access to the benefits of these new technologies for all citizens who desire them.

*Financing.*—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed \$2.0 billion in obligations issued for the purpose of capital improvements and \$1.0 billion for the purpose of paying operating expenses. As of September 30, 1998, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to \$11.789 billion.

*Operating.*—Estimated revenue will total \$59.553 billion in 1998. This includes \$59.414 billion from mail and services revenue, \$72 million from investment income, and \$67 million accrued for revenue foregone appropriations in 1998. Total expenses are estimated at \$61.386 billion in 1998.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employee Health Benefit Program (FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service is required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of \$693 million to the Civil Service Retirement

**Public enterprise funds—Continued**

**POSTAL SERVICE FUND—Continued**

and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two payments are to be made in three equal annual installments, beginning in fiscal year 1996.

**Statement of Operations (in millions of dollars)**

Identification code 18-4020-0-3-372	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	54,509	56,544	57,863	59,553
0102 Expense .....	-52,739	-54,977	-57,808	-61,386
0109 Net income or loss (-) .....	1,770	1,567	55	-1,833

**Balance Sheet (in millions of dollars)**

Identification code 18-4020-0-3-372	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	25	21	25	25
Investments in US securities:				
1102 Treasury securities, par .....	1,249	860	1,100	500
1106 Receivables, net .....	405	471	479	485
1107 Advances and prepayments .....	25	24	24	24
Non-Federal assets:				
1206 Receivables, net .....	790	618	623	643
1207 Advances and prepayments .....	155	160	160	160
Other Federal assets:				
1801 Cash and other monetary assets .....	241	-580	185	-271
1802 Inventories and related properties .....	222	228	228	228
1803 Property, plant and equipment, net .....	16,849	17,857	20,258	22,805
1901 Other assets .....	28,960	31,944	32,210	32,883
1999 Total assets .....	48,921	51,603	55,292	57,482
<b>LIABILITIES:</b>				
Federal liabilities:				
2101 Accounts payable .....	1,741	2,428	2,638	2,768
2102 Interest payable .....	147	71	81	75
2103 Debt .....	7,265	5,906	8,833	11,789
2104 Resources payable to Treasury .....	25	5	5	5
Non-Federal liabilities:				
2201 Accounts payable .....	9,742	8,838	9,155	9,172
2203 Debt .....	14	12	10	8
2206 Pension and other actuarial liabilities .....	33,777	36,529	36,696	37,619
2207 Other .....	401	438	443	448
2999 Total liabilities .....	53,112	54,227	57,861	61,884
<b>NET POSITION:</b>				
3200 Invested capital .....	3,034	3,034	3,034	3,034
3300 Cumulative results of operations .....	-7,225	-5,658	-5,603	-7,436
3999 Total net position .....	-4,191	-2,624	-2,569	-4,402
4999 Total liabilities and net position .....	48,921	51,603	55,292	57,482

**Object Classification (in millions of dollars)**

Identification code 18-4020-0-3-372	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	24,067	24,767	25,886
11.3 Other than full-time permanent .....	4,143	4,360	4,611
11.5 Other personnel compensation .....	4,356	4,694	4,632
11.9 Total personnel compensation .....	32,566	33,821	35,129
12.1 Civilian personnel benefits .....	8,969	9,236	10,018
13.0 Benefits for former personnel .....	1,180	1,281	1,370
21.0 Travel and transportation of persons .....	209	221	229
22.0 Transportation of things .....	4,253	4,667	4,932
23.1 Rental payments to GSA .....	36	36	36
23.2 Rental payments to others .....	699	711	744
23.3 Communications, utilities, and miscellaneous charges .....	577	607	617
24.0 Printing and reproduction .....	50	77	84
25.2 Other services .....	2,045	2,100	2,532
26.0 Supplies and materials .....	1,718	1,335	1,398
31.0 Equipment .....	1,988	4,158	2,274
32.0 Land and structures .....	1,132	1,870	1,376
42.0 Insurance claims and indemnities .....	105	107	109
<b>Interest and dividends:</b>			
43.0 Interest and dividends .....	445	448	678
43.0 Interest and dividends .....	1,449	1,583	1,596
99.0 Subtotal, reimbursable obligations .....	57,421	62,258	63,122

99.9 Total obligations .....	57,421	62,258	63,122
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**Personnel Summary**

Identification code 18-4020-0-3-372	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
2005 Full-time equivalent of overtime and holiday hours .....	66,242	66,098	66,771
2011 Exempt Full-time equivalent employment .....	829,377	834,143	844,646

**POSTAL SERVICE FUND**

**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 18-4020-2-3-372	1996 actual	1997 est.	1998 est.
<b>New budget authority (gross), detail:</b>			
67.15 Authority to borrow (indefinite) .....			35
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....			-35
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....			35
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			35
90.00 Outlays .....			35

This schedule reflects the changes resulting from the proposal to require that liabilities of the former Post Office Department to the Employees' Compensation Fund, which were previously appropriated to the U.S. Postal Service, shall now be liabilities to the Postal Service and payable out of the Postal Service Fund.

**RAILROAD RETIREMENT BOARD**

**Federal Funds**

**General and special funds:**

**[DUAL BENEFITS PAYMENTS ACCOUNT] FEDERAL WINDFALL SUBSIDY**

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, [\$223,000,000] \$206,000,000, which shall include amounts becoming available in fiscal year [1997] 1998 pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds [\$223,000,000] \$206,000,000: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 60-0111-0-1-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	233	223	206
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	239	223	206
22.30 Unobligated balance expiring .....	-6		
23.90 Total budgetary resources available for obligation .....	233	223	206
23.95 New obligations .....	-233	-223	-206
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	239	223	206
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	233	223	206
73.20 Total outlays (gross) .....	-233	-223	-206
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	233	223	206

Net budget authority and outlays:				
89.00	Budget authority .....	239	223	206
90.00	Outlays .....	233	223	206

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, [S300,000] \$50,000, to remain available through September 30, [1998] 1999, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 60-0113-0-1-601	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 42.0) .....	227	246	256
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	227	246	256
23.95	New obligations .....	-227	-246	-256
<b>New budget authority (gross), detail:</b>				
60.05	Appropriation (indefinite) .....	227	246	256
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	227	246	256
73.20	Total outlays (gross) .....	-227	-246	-256
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	227	246	256
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	227	246	256
90.00	Outlays .....	227	246	256

This account funds interest on uncashed checks and income taxes on Tier I and Tier II railroad retirement benefits.

SPECIAL MANAGEMENT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 60-0200-0-1-601	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 11.1) .....	1		
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	1		
23.95	New obligations .....	-1		
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	1		
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	1		
73.20	Total outlays (gross) .....	-1		
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	1		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	1		
90.00	Outlays .....	1		

Personnel Summary

Identification code 60-0200-0-1-601	1996 actual	1997 est.	1998 est.	
1001	Total compensable workyears: Full-time equivalent employment .....	10		

Trust Funds

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 60-8051-0-7-603	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
00.01	Benefit payments .....	63	79	80
00.02	Administrative expenses .....	17	16	16
10.00	Total obligations .....	80	95	96
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	80	95	96
23.95	New obligations .....	-80	-95	-96
<b>New budget authority (gross), detail:</b>				
Current:				
40.26	Appropriation (trust fund, definite) .....	17	16	16
Permanent:				
60.27	Appropriation (trust fund, indefinite) .....	63	79	80
70.00	Total new budget authority (gross) .....	80	95	96
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	4	2	2
73.10	New obligations .....	80	95	96
73.20	Total outlays (gross) .....	-82	-95	-96
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	2	2	2
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	17	16	16
86.93	Outlays from current balances .....	2		
86.97	Outlays from new permanent authority .....	63	79	80
87.00	Total outlays (gross) .....	82	95	96
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	80	95	96
90.00	Outlays .....	82	95	96

Note.—Appropriations language for the 1998 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

WORKLOAD

	1990 actual	1995 actual	1996 actual	1997 est.	1998 est.
Unemployment claims .....	300,351	147,378	162,434	153,000	150,000
Cumulative workload decline (%) .....		-51	-46	-49	-50
Sickness Claims .....	269,926	193,483	192,630	184,000	181,000
Cumulative workload decline (%) .....		-28	-29	-32	-33

Object Classification (in millions of dollars)

Identification code 60-8051-0-7-603	1996 actual	1997 est.	1998 est.	
42.0	Benefit payments .....	63	79	80
93.0	Limitation on expenses .....	17	16	16
99.0	Subtotal, direct obligations .....	80	95	96
99.9	Total obligations .....	80	95	96

RAIL INDUSTRY PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.	
Balance, start of year:				
01.99	Balance, start of year .....	10,971	11,406	11,896
Receipts:				
02.01	Interest and profits on investments in public debt securities .....	1,014	1,003	877
02.02	Refunds .....	-36	-30	-30

**General and special funds—Continued**

**RAIL INDUSTRY PENSION FUND—Continued**

**Unavailable Collections (in millions of dollars)—Continued**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
02.03 Taxes .....	2,330	2,321	2,313
02.05 Federal payments to railroad retirement trust funds .....	181	186	195
02.99 Total receipts .....	3,489	3,480	3,355
04.00 Total: Balances and collections .....	14,460	14,886	15,251
Appropriation:			
05.01 Rail industry pension fund .....	-3,054	-2,990	-3,002
05.02 Rail industry pension fund, legislative proposal .....			5
05.99 Subtotal appropriation .....	-3,054	-2,990	-2,997
07.99 Total balance, end of year .....	11,406	11,896	12,254

**Program and Financing (in millions of dollars)**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	3,054	2,990	3,002
01.01 Reimbursable .....	4	4	4
10.00 Total obligations .....	3,058	2,994	3,006
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	3,058	2,994	3,006
23.95 New obligations .....	-3,058	-2,994	-3,006

**New budget authority (gross), detail:**

Current:			
40.26 Appropriation (trust fund, definite) .....	79	77	78
Permanent:			
60.27 Appropriation (trust fund, indefinite) .....	3,410	3,403	3,277
60.45 Portion precluded from obligation .....	-435	-490	-353
63.00 Appropriation (total) .....	2,975	2,913	2,924
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	4	4	4
70.00 Total new budget authority (gross) .....	3,058	2,994	3,006

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	142	254	256
73.10 New obligations .....	3,058	2,994	3,006
73.20 Total outlays (gross) .....	-2,946	-2,992	-3,004
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	254	256	258

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	78	77	78
86.97 Outlays from new permanent authority .....	2,868	2,915	2,926
87.00 Total outlays (gross) .....	2,946	2,992	3,004

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-4	-4	-4

**Net budget authority and outlays:**

89.00 Budget authority .....	3,054	2,990	3,002
90.00 Outlays .....	2,942	2,988	3,000

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority .....	3,054	2,990	3,002
Outlays .....	2,942	2,988	3,000
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-5
Outlays .....			-5
Total:			
Budget Authority .....	3,054	2,990	2,997
Outlays .....	2,942	2,988	2,995

**Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bar-**

**gained like other private pension plans but embedded in Federal law. About 142,000 individuals also receive a "windfall" benefit.**

**Status of Funds (in millions of dollars)**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Uninvested balance .....	-29	39	
U.S. Securities:			
0101 Par value .....	12,129	14,763	12,152
0102 Unrealized discounts .....	-987	-3,142	
0199 Total balance, start of year .....	11,113	11,660	12,152
Cash income during the year:			
Governmental receipts:			
0200 Refunds, Rail Industry Pension Fund .....	-36	-30	-30
0201 Taxes, Rail Industry Pension Fund .....	2,330	2,321	2,313
Intragovernmental transactions:			
0240 Interest and profits on investments in public debt securities, Rail Industry Pension Fund .....	1,014	1,003	877
0242 Federal payments to railroad retirement trust funds, Rail Industry Pension Fund .....	181	186	195
Offsetting collections:			
0280 Rail Industry Pension Fund .....	4	4	4
0299 Total cash income .....	3,493	3,484	3,359
Cash outgo during year:			
0500 Rail Industry Pension Fund .....	-2,946	-2,992	-3,004
0501 Proposed legislation (-) .....			5
0597 Outgo under present law (-) .....	-2,946	-2,992	-3,004
0598 Outgo under proposed legislation (-) .....			5
0599 Total cash outgo (-) .....	-2,946	-2,992	-2,999
Unexpended balance, end of year:			
0700 Uninvested balance .....	39		
U.S. Securities:			
0701 Par value .....	14,763	12,152	12,512
0702 Unrealized discounts .....	-3,142		
0799 Total balance, end of year .....	11,660	12,152	12,512

**Object Classification (in millions of dollars)**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
Direct obligations:			
42.0 Benefit payments .....	2,949	2,887	2,898
43.0 Interest and dividends .....	11	10	10
93.0 Administrative expenses (see separate schedule) .....	92	92	93
99.0 Subtotal, direct obligations .....	3,052	2,989	3,001
99.0 Reimbursable obligations .....	4	4	4
99.5 Below reporting threshold .....	2	1	1
99.9 Total obligations .....	3,058	2,994	3,006

**LIMITATION ON ADMINISTRATION**

**For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$87,898,000]** \$88,800,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund, of which not less than \$2,900,000 shall be available for technology improvements to improve customer service. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)**

**Program and Financing (In millions of dollars)**

	1996 actual	1997 est.	1998 est.
<b>Program by activities:</b>			
Direct program:			
Rail Industry Pension Fund:			
Subtotal, Rail Industry Pension Fund .....	46	46	47
Railroad Social Security Equivalent Benefit:			
Subtotal, Railroad Social Security Equivalent Benefit .....	25	24	24
Supplemental Annuity Pension Fund:			
Subtotal, Supplemental Annuity Pension Fund .....	2	2	2

Railroad Unemployment Insurance Trust Fund:			
Subtotal, Railroad Unemployment Insurance Trust			
Fund .....	17	16	16
Total, direct program .....	90	88	89
Reimbursable program .....	4	4	4
Total obligations .....	94	92	93
<b>Financing:</b>			
Offsetting collections from: Trust funds .....	-4	-4	-4
Unobligated balance expiring .....			
<b>Limitation</b> .....	90	88	89
<b>Relation of obligations to outlays:</b>			
Obligations incurred, net .....	90	88	89
Obligated balance, start of year .....		8	8
Obligated balance, end of year .....	-8	-8	-8
Outlays from limitation .....	82	88	89

The table below shows the continued decline anticipated in major workloads.

	1994 actual	1995 actual	1996 actual	1997 est.	1998 est.
Pending, start of year .....	12,437	11,937	9,615	8,998	8,598
New Railroad Retirement applications ....	56,267	52,665	49,243	49,100	48,100
New Social Security certifications .....	6,951	6,215	5,440	6,000	6,000
Total dispositions (excluding partial awards) .....	63,718	61,202	55,300	55,500	54,500
Pending, end of year .....	11,937	9,615	8,998	8,598	8,198

As shown below, the Board projects this workload will continue to decline, as the number of beneficiaries on the rolls continues to decline.

	1980 actual	1990 actual	1995 actual	1996 actual	1997 est.	1998 est.
Total beneficiaries .....	1,009,500	894,196	799,158	775,387	753,800	730,600

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

**Object Classification (in millions of dollars)**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
<b>Limitation Acct—Direct Obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	56	57	56
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	58	59	58
12.1 Civilian personnel benefits .....	11	11	10
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services .....	8	8	8
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1		3
93.0 Limitation on expenses .....	-87	-87	-88
99.0 Subtotal, limitation acct—direct obligations .....			
<b>Limitation Acct—Reimbursable Obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
93.0 Limitation on expenses .....	-4	-4	-4
99.0 Subtotal, limitation acct—reimbursable obligations .....			

**Personnel Summary**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
<b>Limitation account—direct:</b>			
Total compensable workyears:			
6001 Full-time equivalent employment .....	1,347	1,281	1,206
6005 Full-time equivalent of overtime and holiday hours .....	4	4	1
<b>Limitation account—reimbursable:</b>			
7001 Total compensable workyears: Full-time equivalent employment .....	44	44	44

**LIMITATION ON THE OFFICE OF INSPECTOR GENERAL**

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than **[\$5,404,000]** \$5,400,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account[: *Provided*, That none of the funds made available in this Act may be transferred to the Office from the Department of Health and Human Services, or used to carry out any such transfer: *Provided further*, That none of the funds made available in this paragraph may be used for any audit, investigation, or review of the Medicare program]. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

	1996 actual	1997 est.	1998 est.
<b>Program by activities:</b>			
Operations (total obligations) .....	6	5	5
<b>Financing:</b>			
Offsetting collections from trust funds .....	-1		
Unobligated balance expiring .....			
<b>Limitation</b> .....	6	5	5
<b>Relation of obligations to outlays:</b>			
Obligations incurred, net .....	5	5	5
Obligated balance, start of year .....			
Obligated balance, end of year .....			
Outlays from limitation .....	5	5	5

**Object Classification (in millions of dollars)**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
93.0 Limitation on expenses .....	-5	-5	-5
99.0 Subtotal, limitation account—allocation .....			

**Personnel Summary**

Identification code 60-8011-0-7-601	1996 actual	1997 est.	1998 est.
8001 Total compensable workyears: Full-time equivalent employment .....	75	64	64

**RAIL INDUSTRY PENSION FUND  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 60-8011-4-7-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....			-5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-5
23.95 New obligations .....			5
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....			-5
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			-5
73.20 Total outlays (gross) .....			5
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			-5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-5
90.00 Outlays .....			-5

The legislation proposes to change the law so that social security benefits paid under the Railroad Retirement Board's

**General and special funds—Continued**

**RAIL INDUSTRY PENSION FUND—Continued**

system would in no instance be less generous than the social security benefits that the Social Security Administration would pay. The main beneficiaries of the change would be spouses and children of rail employees.

**SUPPLEMENTAL ANNUITY PENSION FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 60-8012-0-7-601	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year	74	35	46
Receipts:			
02.01 Interest and profits on investments in public debt securities	2	2	2
02.03 Supplemental annuity taxes	98	95	94
02.04 Refunds, supplemental annuity pension fund	-30	-2	-2
02.99 Total receipts	70	95	94
04.00 Total: Balances and collections	144	130	140
Appropriation:			
05.01 Supplemental Annuity Pension Fund	-109	-84	-80
05.99 Subtotal appropriation	-109	-84	-80
07.99 Total balance, end of year	35	46	60

**Program and Financing (in millions of dollars)**

Identification code 60-8012-0-7-601	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	109	84	80
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	109	84	80
23.95 New obligations	-109	-84	-80
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	124	84	80
60.45 Portion precluded from obligation	-15		
63.00 Appropriation (total)	109	84	80
70.00 Total new budget authority (gross)	109	84	80
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	7	7	7
73.10 New obligations	109	84	80
73.20 Total outlays (gross)	-109	-84	-80
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	7	7	7
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	109	84	80
Net budget authority and outlays:			
89.00 Budget authority	109	84	80
90.00 Outlays	109	84	80

In addition to rail social security, rail industry pensions and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25-29 years of creditable service. Monthly benefit amounts are calculated from a base of \$23, adding \$4 for every year of service over 25, up to a maximum monthly benefit of \$43. Employers finance benefits on a pay-as-you-go basis by a cents-per-hour tax, currently established at 35 cents per hour.

**Status of Funds (in millions of dollars)**

Identification code 60-8012-0-7-601	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance	53	1	

0101 U.S. Securities: Par value	28	41	53
0199 Total balance, start of year	81	42	53
Cash income during the year:			
Governmental receipts:			
0200 Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB	98	95	94
0201 Refunds, Supplemental Annuity Pension Fund, Refunds	-30	-2	-2
Intragovernmental transactions:			
0240 Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB	2	2	2
0299 Total cash income	70	95	94
Cash outgo during year:			
0500 Supplemental Annuity Pension Fund	-109	-84	-80
Unexpended balance, end of year:			
0700 Uninvested balance	1		
0701 U.S. Securities: Par value	41	53	67
0799 Total balance, end of year	42	53	67

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT**

**Unavailable Collections (in millions of dollars)**

Identification code 60-8010-0-7-601	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year	2,200	2,294	2,541
Receipts:			
02.01 Interest and profits on investments in public debt securities	131	139	148
02.02 Income tax credits	46	60	61
02.03 Interest transferred to Federal hospital insurance trust fund	-39	-35	-35
02.04 Taxes	1,899	1,882	1,873
02.05 Receipts transferred to Federal hospital insurance trust fund	-362	-366	-367
02.06 Receipts from Federal old-age survivors insurance trust fund	3,554	3,755	3,789
02.07 Receipts from Federal disability insurance trust fund	2	62	98
02.10 Refunds, railroad social security equivalent benefit account	-27	-20	-20
02.99 Total receipts	5,204	5,477	5,547
04.00 Total: Balances and collections	7,404	7,771	8,088
Appropriation:			
05.01 Rail industry social security equivalent benefit account	-5,110	-5,230	-5,287
05.02 Rail industry social security equivalent benefit account, legislative proposal			-36
05.99 Subtotal appropriation	-5,110	-5,230	-5,323
07.99 Total balance, end of year	2,294	2,541	2,765

**Program and Financing (in millions of dollars)**

Identification code 60-8010-0-7-601	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations	5,179	5,304	5,369
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5,179	5,304	5,369
23.95 New obligations	-5,179	-5,304	-5,369
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	5,204	5,477	5,547
60.45 Portion precluded from obligation	-94	-247	-260
60.47 Portion applied to debt reduction	-3,081	-3,155	-3,227
63.00 Appropriation (total)	2,029	2,075	2,060
67.15 Authority to borrow (indefinite)	3,150	3,229	3,309
70.00 Total new budget authority (gross)	5,179	5,304	5,369
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	65	48	51
73.10 New obligations	5,179	5,304	5,369
73.20 Total outlays (gross)	-5,196	-5,301	-5,366

74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	48	51	54
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	5,131	5,253	5,315
86.98	Outlays from permanent balances .....	65	48	51
87.00	Total outlays (gross) .....	5,196	5,301	5,366
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	5,179	5,304	5,369
90.00	Outlays .....	5,195	5,301	5,366

**Summary of Budget Authority and Outlays**  
(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority .....	5,179	5,304	5,369
Outlays .....	5,196	5,301	5,366
Legislative proposal, subject to PAYGO:			
Budget Authority .....			36
Outlays .....			36
Total:			
Budget Authority .....	5,179	5,304	5,405
Outlays .....	5,196	5,301	5,402

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. The SSEB receives monthly advances from the general fund equal to an estimate of the transfer the SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 1996, \$3,150 million was advanced and \$3,081 million was repaid.

**Status of Funds** (in millions of dollars)

Identification code 60-8010-0-7-601	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	-18	-30	22
0101 U.S. Securities: Par value .....	2,283	2,318	2,447
0105 Outstanding Treasury borrowing .....	-54	-69	-74
0199 Total balance, start of year .....	2,211	2,219	2,395
Cash income during the year:			
Governmental receipts:			
0200 Railroad Soc. Sec. equivalent ben. acct., Taxes	1,899	1,882	1,873
0201 Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund .....	-362	-366	-367
0202 Railroad Soc. Sec. Equivalent Ben. Acct., Refunds	-27	-20	-20
Intragovernmental transactions:			
0240 Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities .....	131	139	148
0241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits .....	46	60	61
0242 Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund .....	-39	-35	-35
0243 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund	3,554	3,755	3,789
0244 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund .....	2	62	98
0299 Total cash income .....	5,204	5,477	5,547
Cash outgo during year:			
0500 Railroad social security equivalent benefit account	-5,196	-5,301	-5,366
0501 Proposed legislation (-) .....			-36
0597 Outgo under present law (-) .....	-5,196	-5,301	-5,366
0598 Outgo under proposed legislation (-) .....			-36

0599	Total cash outgo (-) .....	-5,196	-5,301	-5,402
Unexpended balance, end of year:				
0700	Uninvested balance .....	-30	22	-6
0701	U.S. Securities: Par value .....	2,318	2,447	2,628
0705	Outstanding Treasury borrowing .....	-69	-74	-82
0799	Total balance, end of year .....	2,219	2,395	2,540

**Object Classification** (in millions of dollars)

Identification code 60-8010-0-7-601	1996 actual	1997 est.	1998 est.	
42.0	Benefit payments .....	4,923	5,052	5,124
43.0	Interest and dividends .....	8	10	10
92.0	Repayment of interest on benefit advances .....	248	242	235
99.0	Subtotal, direct obligations .....	5,179	5,304	5,369
99.9	Total obligations .....	5,179	5,304	5,369

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 60-8010-4-7-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations (object class 42.0) .....		36
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....		36
23.95	New obligations .....		-36
<b>New budget authority (gross), detail:</b>			
60.27	Appropriation (trust fund, indefinite) .....		36
<b>Change in unpaid obligations:</b>			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation .....		
73.10	New obligations .....		36
73.20	Total outlays (gross) .....		-36
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation .....		
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority .....		36
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		36
90.00	Outlays .....		36

The legislation proposes to change the law so that social security benefits paid under the Railroad Retirement Board's system would in no instance be less generous than the social security benefits that the Social Security Administration would pay. The main beneficiaries of the change would be spouses and children of rail employees.

**RESOLUTION TRUST CORPORATION**

**Federal Funds**

**General and special funds:**

**OFFICE OF INSPECTOR GENERAL**

**Program and Financing** (in millions of dollars)

Identification code 22-1500-0-1-373	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations .....	8	
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....	11	
22.21	Unobligated balance transferred to other accounts .....	-4	
23.90	Total budgetary resources available for obligation .....	8	
23.95	New obligations .....	-8	

**General and special funds—Continued**

**OFFICE OF INSPECTOR GENERAL—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 22-1500-0-1-373	1996 actual	1997 est.	1998 est.
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	11		
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance .....	8		
73.10 New obligations .....	8		
73.20 Total outlays (gross) .....	-7		
73.31 Obligated balance transferred to other accounts .....	-9		
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance .....			
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	4		
86.93 Outlays from current balances .....	3		
87.00 Total outlays (gross) .....	7		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	11		
90.00 Outlays .....	7		

The Resolution Trust Corporation (RTC) Office of Inspector General (OIG) was established in April 1990 in accordance with the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA). As a result of FIRREA and the RTC Completion Act of 1993, the RTC sunset was completed on December 31, 1995. All assets and liabilities of RTC were transferred to the FSLIC Resolution Fund, under the management of the Federal Deposit Insurance Corporation (FDIC). The remaining obligations of the RTC OIG have also been transferred to the FDIC's FSLIC Resolution Fund and will be included in all schedules under that fund until expended. The RTC Office of Inspector General merged with the FDIC Office of Inspector General, and its audits, investigations, and other reviews will continue to be performed.

**Object Classification (in millions of dollars)**

Identification code 22-1500-0-1-373	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	5		
12.1 Civilian personnel benefits .....	1		
23.2 Rental payments to others .....	1		
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	8		

**Personnel Summary**

Identification code 22-1500-0-1-373	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	56		
1005 Full-time equivalent of overtime and holiday hours .....	1		

**Public enterprise funds:**

**RTC REVOLVING FUND**

**Program and Financing (in millions of dollars)**

Identification code 22-4055-0-3-373	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Operating expenses:</b>			
00.01 Administrative expenses (gross) .....	189		
00.02 Oversight Board expenses .....	3		
00.91 Total operating expenses .....	192		
<b>Capital investment:</b>			
01.01 FFB Interest Payments .....	326		

01.91 Total capital investment .....	326		
10.00 Total obligations .....	518		

**Budgetary resources available for obligation:**

21.90 Unobligated balance available, start of year: Fund balance .....	15,090	13,763	13,763
22.00 New budget authority (gross) .....	3,036		
22.21 Unobligated balance transferred to other accounts .....	-1,109		
22.30 Unobligated balance expiring .....			-13,759
22.60 Redemption of debt .....	-2,738		
23.90 Total budgetary resources available for obligation .....	14,279	13,763	4
23.95 New obligations .....	-518		
24.90 Unobligated balance available, end of year: Fund balance .....	13,763	13,763	4

**New budget authority (gross), detail:**

68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	3,036		

**Change in unpaid obligations:**

72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance .....	151		
73.10 New obligations .....	518		
73.20 Total outlays (gross) .....	-608		
73.31 Obligated balance transferred to other accounts .....	-60		
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance .....			

**Outlays (gross), detail:**

86.98 Outlays from permanent balances .....	608		
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**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Recoveries (asset sales proceeds and other) .....	-3,036		

**Net budget authority and outlays:**

89.00 Budget authority .....			
90.00 Outlays .....	-2,428		

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) as a temporary agency to dispose of insolvent thrift institutions. The Savings Association Insurance Fund (SAIF) took over responsibility for resolving failed thrifts on July 1, 1995, and the RTC's assets and liabilities were transferred to the FSLIC Resolution Fund (FRF) on December 31, 1995. Beginning on January 1, 1996, activities related to the former RTC are included in the budget schedules of FRF.

Of \$18.3 billion appropriated to RTC in 1993 by the RTC Completion Act, amounts not needed by RTC remain available for two years after RTC termination for possible transfer by the Secretary of the Treasury to SAIF, if needed to cover SAIF losses and upon certain certifications by the Chairman of the FDIC. The Thrift Depositor Protection Oversight Board determined that only \$4.6 billion of the \$18.3 billion will be required. The excess \$13.8 billion was not transferred to the FSLIC Resolution Fund upon RTC termination, but remains available for transfer to SAIF until December 31, 1997.

**Balance Sheet (in millions of dollars)**

Identification code 22-4055-0-3-373	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	15,235	13,763	13,763	4
1901 Other Federal assets: Other assets .....	14,093			
1999 Total assets .....	29,328	13,763	13,763	4
<b>LIABILITIES:</b>				
2104 Federal liabilities: Resources payable to Treasury .....	13,419			
2207 Non-Federal liabilities: Other .....	922			
2999 Total liabilities .....	14,341			
<b>NET POSITION:</b>				
3100 Appropriated capital .....	14,987	13,763	13,763	4

3999	Total net position .....	14,987	13,763	13,763	4
4999	Total liabilities and net position .....	29,328	13,763	13,763	4

Object Classification (in millions of dollars)

Identification code 22-4055-0-3-373	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent .....	63		
11.5 Other personnel compensation .....	2		
11.9 Total personnel compensation .....	65		
12.1 Civilian personnel benefits .....	10		
21.0 Travel and transportation of persons .....	4		
23.2 Rental payments to others .....	4		
23.3 Communications, utilities, and miscellaneous charges .....	1		
24.0 Printing and reproduction .....	1		
25.2 Other services .....	105		
31.0 Equipment .....	1		
42.0 Insurance claims and indemnities .....	1		
43.0 Interest and dividends .....	326		
99.9 Total obligations .....	518		

Personnel Summary

Identification code 22-4055-0-3-373	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	954		
1005 Full-time equivalent of overtime and holiday hours .....	26		

RIVER BASIN COMMISSIONS

RIVER BASIN COMMISSIONS

Program and Financing (in millions of dollars)

Identification code 46-9912-0-1-301	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5) .....	2		
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	2		
23.95 New obligations .....	-2		
New budget authority (gross), detail:			
40.00 Appropriation .....	2		
Change in unpaid obligations:			
73.10 New obligations .....	2		
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	2		
Net budget authority and outlays:			
89.00 Budget authority .....	2		
90.00 Outlays .....	2		

The Energy and Water Development Act of 1997 did not provide funding for the River Basin Commissions, and the 1998 Budget requests no funds for these Commissions. The 1998 Budget proposes supplemental appropriations language so that, beginning in 1997 and thereafter, the United States members and the alternate members appointed under the Susquehanna River Basin Compact and the Delaware River Basin Compact shall be officers or employees of the Executive Branch who shall serve without additional compensation.

Personnel Summary

Identification code 46-9912-0-1-301	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4		

SECURITIES AND EXCHANGE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,000 for official reception and representation expenses, ~~[\$260,400,000]~~ \$285,412,000, of which not to exceed \$10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions, and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of cooperation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including (1) such incidental expenses as meals taken in the course of such attendance, (2) any travel and transportation to or from such meetings, and (3) any other related lodging or subsistence: *Provided*, That [immediately upon enactment of this Act, the rate of fees under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) shall increase from one-fiftieth of one percentum to one-thirty-third of one percentum, and such increase shall be deposited as an offsetting collection to this appropriation, to remain available until expended, to recover costs of services of the securities registration process: *Provided further*, That effective January 1, 1997, every national securities association shall pay to the Commission a fee at a rate of one-three-hundredth of one percentum of the aggregate dollar amount of sales transacted by or through any member of such association otherwise than on a national securities exchange (other than bonds, debentures, and other evidences of indebtedness) subject to prompt last sale reporting pursuant to the rules of the Commission or a registered national securities association, excluding any sales for which a fee is paid under section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee), and such increase shall be deposited as an offsetting collection to this appropriation, to remain available until expended, to recover the costs to the Government of the supervision and regulation of securities markets and securities professionals: *Provided further*, That the fee due from every national securities association shall be paid on or before September 30, 1997, with respect to transactions and sales occurring during the period beginning on January 1, 1997, and ending at the close of August 31, 1997: ] fees and charges authorized by sections 6(b)(4) of the Securities Act of 1933 (15 U.S.C. 77f(b)(4)) and 31(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(d)) shall be credited to this account as offsetting collections: *Provided further*, That not to exceed \$249,523,000 of such offsetting collections shall be available until expended for necessary expenses of this account: *Provided further*, That the total amount appropriated from the General Fund for fiscal year [1997] 1998 under this heading shall be reduced as all such offsetting fees are deposited to this appropriation so as to result in a final total fiscal year [1997] 1998 appropriation from the General Fund estimated at not more than ~~[\$37,778,000]~~ \$35,889,000: *Provided further*, That any such fees collected in excess of ~~[\$222,622,000]~~ \$249,523,000 shall remain available until expended but shall not be available for obligation until October 1, [1997] 1998. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act of 1997.)

Unavailable Collections (in millions of dollars)

Identification code 50-0100-0-1-376	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....			22
03.00 Offsetting Collections .....		22	57
04.00 Total: Balances and collections .....		22	79
Appropriation:			
05.01 Salaries and expenses .....			-22
07.99 Total balance, end of year .....		22	57

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)**

Identification code 50-0100-0-1-376	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Full disclosure .....	58	56	57
00.02 Prevention and suppression of fraud .....	101	103	105
00.03 Supervision and regulation of securities markets .....	38	41	43
00.04 Investment management regulation .....	50	57	58
00.05 Legal and Economic services .....	19	21	21
00.07 Program direction .....	34	33	33
00.91 Total direct program .....	300	311	317
01.01 Reimbursable program .....	1	1	1
10.00 Total obligations .....	301	312	318
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Fund balance .....	24	73	23
22.00 New budget authority (gross) .....	346	262	308
22.10 Resources available from recoveries of prior year obligations .....	6		
23.90 Total budgetary resources available for obligation .....	376	335	331
23.95 New obligations .....	-301	-312	-318
24.90 Unobligated balance available, end of year: Fund balance .....	73	23	14
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	103	38	36
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	243	246	307
68.26 Offsetting collections (unavailable balances) .....			22
68.45 Portion not available for obligation (limitation on obligations) .....		-22	-57
68.90 Spending authority from offsetting collections (total) .....	243	224	272
70.00 Total new budget authority (gross) .....	346	262	308
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	46	55	73
73.10 New obligations .....	301	312	318
73.20 Total outlays (gross) .....	-284	-296	-306
73.45 Adjustments in unexpired accounts .....	-6		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	55	73	84
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	89	33	31
86.93 Outlays from current balances .....	28	3	8
86.97 Outlays from new permanent authority .....	159	193	234
86.98 Outlays from permanent balances .....	8	67	33
87.00 Total outlays (gross) .....	284	296	306
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
88.45 Offsetting governmental collections .....	-242	-245	-306
88.90 Total, offsetting collections (cash) .....	-243	-246	-307
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	103	16	1
90.00 Outlays .....	42	50	-1

The primary mission of the Securities and Exchange Commission (the Commission) is to administer and enforce the federal securities laws in order to protect investors, and to maintain fair, honest, and efficient markets.

**Full disclosure.**—This program ensures that investors will be provided with material facts in the public offering, trading, voting and tendering of securities. Issuers that have con-

ducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

**Electronic filing project (EDGAR).**—In 1984, the SEC began a pilot project that successfully tested the feasibility of receiving, analyzing, and disseminating full disclosure filings electronically. The pilot received approximately 114,000 filings electronically through July 1992, when it was closed.

In early 1989, the SEC selected a contractor to develop the operational system. This system provides the Commission with the capability for electronic receipt, analysis, and dissemination of almost all of the full disclosure filings. The operational system opened in January 1992 for test filings, and the phase-in of mandated electronic filing began in April 1993. As of May 6, 1996, all 28,000 companies and funds registered with the SEC were phased in, except for certain foreign issuers. As of December 6, 1996, the operational system has received and disseminated over 407,000 filings. The operational contract, due to expire in January 1997, is being extended for one additional year to allow sufficient time to conduct a full and open competition for a new EDGAR contract. Contract costs for 1997 are estimated at \$8.2 million. EDGAR costs are allocated between the Full Disclosure Program (\$6.6 million) and Investment Management Regulation (\$1.6 million). Offers on a new EDGAR contract are expected to be received no later than January 24, 1997. The costs for the follow-on contract are not known at this time and will depend heavily on the extent of privatization proposed by the offerors and accepted by Congress.

**SELECTED WORKLOAD DATA**

	1996 actual	1997 est.	1998 est.
Filings of initial 1933 Act registration statements—other than investment companies .....	1,490	1,490	1,490
Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies ..	5,310	5,310	5,310
Filings of definitive proxy and information statements (uncontested)—other than investment companies .....	9,500	9,500	9,500
Filings of annual and periodic reports—other than investment companies .....	71,200	71,200	71,200
Filings of Director and Officer ownership and transaction reports .....	264,700	264,000	264,000

**Prevention and suppression of fraud.**—This program evaluates information indicating possible violations of the federal securities laws. Possible violations include, among other things, the illegal distribution of unregistered securities; fraud in the offer, purchase, and sale of securities; insider trading, market manipulation; and illegal conduct by broker-dealers, investment advisers, and other regulated entities. Investigations of possible violations are conducted and, if appropriate, enforcement actions are initiated. Actions include civil proceedings seeking injunctive and other relief and administrative proceedings. The Commission is now authorized to seek court orders imposing civil monetary penalties for any securities law violation as well as to seek such penalties against regulated entities in administrative proceedings. Under appropriate circumstances matters are referred for criminal prosecution.

**SELECTED WORKLOAD DATA**

	1996 actual	1997 est.	1998 est.
Investigations opened .....	426	425	425

Administrative proceedings opened .....	241	245	245
Civil actions opened .....	212	210	210

**Supervision and regulation of securities markets.**—Trading in the securities markets is regulated to protect investors against fraud and manipulation and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions. The Commission also conducts examinations of broker-dealers and inspections of transfer agents, clearing agencies, and self-regulatory organizations.

## SELECTED WORKLOAD DATA

	1996 actual	1997 est.	1998 est.
Review of changes in the rules and procedures of self-regulatory organizations .....	544	575	600
Inspections of self-regulatory organizations .....	39	38	38
Broker dealer registration applications .....	900	900	900
Broker-dealer oversight and cause examinations .....	645	630	630
Transfer agent examinations .....	167	150	150

**Investment management regulation.**—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

## SELECTED WORKLOAD DATA

	1996 actual	1997 est.	1998 est.
Investment company assets inspected (\$ trillions) .....	0.7	1.2	0.8
Investment company portfolios and amendments filed .....	19,274	19,760	20,420
Investment company proxy statements filed .....	750	770	785
Investment advisers inspected .....	1,446	1,325	1,500
Investment adviser registration statements filed .....	3,241	1,400	1,000
Exemptive applications closed .....	498	485	490
Public utility filings processed .....	147	155	170
Public utility annual and periodic reports examined .....	1,450	1,400	1,400

**Legal and economic services.**—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae*, and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings are appropriate in the public interest and for the protection of public investors.

## SELECTED WORKLOAD DATA

	1996 actual	1997 est.	1998 est.
Litigation matters opened .....	293	290	290

Adjudicatory matters received .....	81	80	80
Adjudicatory matters completed .....	81	80	80
Legislative matters .....	250	245	245
Chapter 11 disclosure statements commented on .....	110	125	125
Administrative proceedings disposed by Administrative Law Judges .....	63	72	82

**Program direction.**—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating priorities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

The Commission will be supported in the future by the funding structure included in Title IV of the "National Securities Markets Improvement Act of 1996" (P.L. 104-290), which was signed by the President on October 11, 1996. This law will over time reduce the rates of fees charged under Federal securities laws. In 1998, the Section 6(b) fee rate paid by corporations to register securities with the Commission will be reduced from  $\frac{1}{33}$  of one percent, the 1997 enacted level, to  $\frac{1}{34}$  of one percent of the maximum aggregate price at which such securities are proposed to be offered. The first  $\frac{1}{50}$  of one percent of this fee shall be deposited in the general fund of the U.S. Treasury, and the remaining increment will be made available for use by the Commission as an offsetting collection. This reduction in the registration fee rate will modestly lower the cost of raising capital in the United States. In addition, to promote equity across securities markets, the "National Securities Markets Improvement Act of 1996" extends transaction fees to the over-the-counter market at a rate of  $\frac{1}{300}$  of one percent of the aggregate dollar amount of securities transacted, the rate currently paid by all national and regional exchanges. These new transaction fees also will be available to the Commission as an offsetting collection.

## Object Classification (in millions of dollars)

Identification code 50-0100-0-1-376	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	161	171	178
11.5 Other personnel compensation .....	3	3	3
Total personnel compensation .....	164	174	181
12.1 Civilian personnel benefits .....	37	40	43
21.0 Travel and transportation of persons .....	6	7	7
23.2 Rental payments to others .....	25	26	25
23.3 Communications, utilities, and miscellaneous charges .....	7	7	7
24.0 Printing and reproduction .....	2	2	3
25.2 Other services .....	39	38	38
26.0 Supplies and materials .....	8	8	6
31.0 Equipment .....	12	9	7
Subtotal, direct obligations .....	300	311	317
99.0 Reimbursable obligations .....	1	1	1
Total obligations .....	301	312	318

## Personnel Summary

Identification code 50-0100-0-1-376	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment .....	2,767	2,797	2,797
1005 Full-time equivalent of overtime and holiday hours .....	16	16	16
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	6	6	6

**Public enterprise funds:**

**INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION**

**Program and Financing (in millions of dollars)**

Identification code 50-4068-0-3-376	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.47 Unobligated balance available, start of year: Authority to borrow .....	1,000	1,000	1,000
23.95 New obligations .....			
24.47 Unobligated balance available, end of year: Authority to borrow .....	1,000	1,000	1,000
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Securities Investor Protection Corporation (SIPC) may borrow up to \$1 billion from the U.S. Department of the Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

**SELECTIVE SERVICE SYSTEM**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; and not to exceed \$1,000 for official reception and representation expenses; **[\$22,930,000]** and not less than \$506,000 for the "Service to America" initiative; \$23,919,000. *Provided*, That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever he deems such action to be necessary in the interest of national defense: *Provided further*, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States.

*Further, for the foregoing purposes, \$23,919,000 to be available only during fiscal year 1999. (Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 90-0400-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
<b>Obligations by program activity:</b>				
00.01 Direct program .....	23	23	24	24
10.00 Total obligations .....	23	23	24	24
<b>Budgetary resources available for obligation:</b>				
22.00 New budget authority (gross) .....	23	23	24	24
23.95 New obligations .....	-23	-23	-24	-24
<b>New budget authority (gross), detail:</b>				
40.00 Appropriation .....	23	23	24	24
<b>Change in unpaid obligations:</b>				
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	9	8	9	10
73.10 New obligations .....	23	23	24	24
73.20 Total outlays (gross) .....	-20	-22	-23	-24
73.40 Adjustments in expired accounts .....	-4			
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	8	9	10	11
<b>Outlays (gross), detail:</b>				
86.90 Outlays from new current authority .....	17	17	17	17
86.93 Outlays from current balances .....	3	5	6	7
87.00 Total outlays (gross) .....	20	22	23	24

Net budget authority and outlays:				
89.00 Budget authority .....	23	23	24	24
90.00 Outlays .....	20	22	23	24

The Selective Service System continues to register men as they reach age 18, as required by law, and maintain a data base of registrant records. Should the Nation return to conscription for a national emergency, the SSS must respond effectively to current Department of Defense requirements to have the first draftees at military processing centers 193 days after a mobilization.

In 1998 through interagency partnership with other agencies, the SSS will expand its national initiative, "Service to America" to afford every young man that receives an SSS registration acknowledgment card, over 1.9 million annually, the opportunity to serve America today through volunteer military or civilian service. Important messages about public service opportunities will be incorporated into the existing registration acknowledgment process. In this way, the SSS will also become a clearing house for other Federal agencies encouraging America's youth to serve the Nation during peacetime.

In addition to improving business processes and registration compliance statistics, the Agency is moving to a more modern computer technology, new reengineering projects and revised methods of registration.

**Object Classification (in millions of dollars)**

Identification code 90-0400-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
<b>Personnel compensation:</b>				
11.1 Full-time permanent .....	7	8	8	8
11.8 Special personal services payments .....	6	5	6	6
11.9 Total personnel compensation .....	13	13	14	14
12.1 Civilian personnel benefits .....	2	2	2	2
23.1 Rental payments to GSA .....	1	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2	2
24.0 Printing and reproduction .....	1	1	1	1
25.2 Other services .....	3	3	3	3
99.5 Below reporting threshold .....	1	1	1	1
99.9 Total obligations .....	23	23	24	24

**Personnel Summary**

Identification code 90-0400-0-1-054	1996 actual	1997 est.	1998 est.	1999 est.
<b>Total compensable workyears:</b>				
1001 Full-time equivalent employment .....	179	190	190	190
1005 Full-time equivalent of overtime and holiday hours .....	1	1	1	1

**SMITHSONIAN INSTITUTION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed thirty years), and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; up to 5 replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees; **[\$317,557,000]** \$334,557,000, of which not to exceed **[\$30,665,000]** \$32,718,000 for the instrumentation program, collections acquisition, Museum Support Center equipment and move, exhibition reinstallation, the National Museum of the American Indian, the repatriation of skeletal remains program, research equipment, information man-

agement, and Latino programming shall remain available until expended, and including such funds as may be necessary to support American overseas research centers and a total of \$125,000 for the Council of American Overseas Research Centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

[For an additional amount for salaries and expenses, \$935,000, to remain available until expended, to address anti-terrorism requirements: *Provided*, That Congress hereby designates this amount as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That this amount shall be available only to the extent that an official budget request for a specific dollar amount, that includes designation of the entire amount as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.] (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 33-0100-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Museums and Research Institutes .....	159	162	173
00.02 Program Support and Outreach .....	30	35	36
00.03 Administration .....	34	33	34
00.04 Facilities Services .....	84	88	92
10.00 Total obligations .....	307	318	335
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	6	10	10
22.00 New budget authority (gross) .....	311	318	335
23.90 Total budgetary resources available for obligation	317	328	345
23.95 New obligations .....	-307	-318	-335
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	10	10	10
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	311	318	335
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	48	48	49
73.10 New obligations .....	307	318	335
73.20 Total outlays (gross) .....	-304	-315	-328
73.40 Adjustments in expired accounts .....	-3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	48	49	56
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	271	277	287
86.93 Outlays from current balances .....	33	38	41
87.00 Total outlays (gross) .....	304	315	328
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	311	318	335
90.00 Outlays .....	304	315	328

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves for reference and study purposes over one hundred million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 16 museums; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone Biological Area Fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33-0100-0-1-503	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	168	169	175
11.3 Other than full-time permanent .....	7	8	8
11.5 Other personnel compensation .....	6	7	7
11.9 Total personnel compensation .....	181	184	190
12.1 Civilian personnel benefits .....	39	40	42
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges	21	21	24
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	31	33	34
25.3 Purchases of goods and services from Government		1	1
accounts .....			
26.0 Supplies and materials .....	14	16	17
31.0 Equipment .....	7	9	13
99.9 Total obligations .....	307	318	335

Personnel Summary

Identification code 33-0100-0-1-503	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	4,288	4,378	4,378
1005 Full-time equivalent of overtime and holiday hours	100	100	100

MUSEUM PROGRAMS AND RELATED RESEARCH (SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in millions of dollars)

Identification code 33-0102-0-1-503	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1	1	1
23.95 New obligations .....			
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1	1	1
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	2	1
73.10 New obligations .....			
73.20 Total outlays (gross) .....		-1	-1
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	2	1	
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....		1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....		1	1

This account supports a program of grants payable in excess U.S.-owned foreign currencies to U.S. universities, museums, and other institutions of higher learning. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

CONSTRUCTION AND IMPROVEMENTS, NATIONAL ZOOLOGICAL PARK

For necessary expenses of planning, construction, remodeling, and equipping of buildings and facilities at the National Zoological Park, by contract or otherwise, \$3,850,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**General and special funds—Continued**

**CONSTRUCTION AND IMPROVEMENTS, NATIONAL ZOOLOGICAL PARK—Continued**

**Program and Financing (in millions of dollars)**

Identification code 33-0129-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	5	4	4
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	7	5	5
22.00 New budget authority (gross)	3	4	4
23.90 Total budgetary resources available for obligation	10	9	9
23.95 New obligations	-5	-4	-4
24.40 Unobligated balance available, end of year:			
Uninvested balance	5	5	5
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	3	4	4
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	4	3	4
73.10 New obligations	5	4	4
73.20 Total outlays (gross)	-6	-3	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	3	4	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1	2	2
86.93 Outlays from current balances	5	1	3
87.00 Total outlays (gross)	6	3	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	3	4	4
90.00 Outlays	6	3	5

This account is used to finance repairs, alterations, and improvements to existing National Zoological Park facilities, including exhibits, located in Rock Creek Park; to prepare plans and specifications for construction; to perform renovations, restorations, and new construction implementing the master plan approved by the Commission of Fine Arts and the National Capital Planning Commission in 1973; and to make repairs, modifications, and improvements to the animal conservation and research center at Front Royal, VA. Funds requested in 1998 will continue major facility renovations and improvements at the Rock Creek Park location, and support essential programs for renovation, repair and preventive maintenance of existing facilities at Rock Creek and Front Royal.

**Object Classification (in millions of dollars)**

Identification code 33-0129-0-1-503	1996 actual	1997 est.	1998 est.
25.2 Other services	4	3	3
26.0 Supplies and materials	1	1	1
99.9 Total obligations	5	4	4

**REPAIR AND RESTORATION OF BUILDINGS**

For necessary expenses of repair and restoration of buildings owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), including not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, **[\$39,000,000]** \$32,000,000, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or restoration of buildings of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0132-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2)	21	39	32
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	15	28	28
22.00 New budget authority (gross)	34	39	32
23.90 Total budgetary resources available for obligation	49	67	60
23.95 New obligations	-21	-39	-32
24.40 Unobligated balance available, end of year:			
Uninvested balance	28	28	28
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	34	39	32
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	29	22	37
73.10 New obligations	21	39	32
73.20 Total outlays (gross)	-28	-24	-39
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	22	37	30
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	14	16	13
86.93 Outlays from current balances	14	8	26
87.00 Total outlays (gross)	28	24	39
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	34	39	32
90.00 Outlays	29	24	39

This account encompasses repairs, restorations, code compliance changes, and building system renewals of Smithsonian museum buildings, and facilities for storage and conservation of collections, research, and support.

**CONSTRUCTION**

For necessary expenses for construction, **[\$10,000,000]** \$58,000,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0133-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.06 National Museum of the American Indian	34	1	2
00.07 Natural History East Court building	21	5	
00.08 Air and Space Museum Extension	1	3	
00.09 Alterations and Modifications	2	6	3
10.00 Total obligations (object class 25.2)	58	15	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	41	11	6
22.00 New budget authority (gross)	28	10	58
23.90 Total budgetary resources available for obligation	69	21	64
23.95 New obligations	-58	-15	-5
24.40 Unobligated balance available, end of year:			
Uninvested balance	11	6	59
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	28	10	58
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	8	55	39
73.10 New obligations	58	15	5
73.20 Total outlays (gross)	-11	-31	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	55	39	36

Outlays (gross), detail:				
86.90	Outlays from new current authority .....	11	4	3
86.93	Outlays from current balances .....		27	5
87.00	Total outlays (gross) .....	11	31	8
Net budget authority and outlays:				
89.00	Budget authority .....	28	10	58
90.00	Outlays .....	11	31	8

This account provides funding for major new construction projects and minor construction, alterations, and modifications to existing facilities required to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education. The 1998 budget request includes the full Federal government share of \$58 million for the construction of the Mall Museum building, National Museum of the American Indian.

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS  
OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$10,875,000] \$11,375,000, of which \$500,000 shall be available for anti-terrorism requirements.** (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**[For an additional amount for operations and maintenance, \$1,600,000, to remain available until expended, to address anti-terrorism requirements: *Provided*, That Congress hereby designates this amount as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That this amount shall be available only to the extent that an official budget request for a specific dollar amount, that includes designation of the entire amount as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.]** (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 33-0302-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	10	12	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	10	12	11
23.95 New obligations .....	-10	-12	-11
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	10	12	11
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	3	5
73.10 New obligations .....	10	12	11
73.20 Total outlays (gross) .....	-8	-10	-11
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	3	5	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	8	10	9
86.93 Outlays from current balances .....			2
87.00 Total outlays (gross) .....	8	10	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10	12	11
90.00 Outlays .....	10	10	11

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 33-0302-0-1-503	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.2 Other services .....	5	6	5
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	10	12	11

Personnel Summary

Identification code 33-0302-0-1-503	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	45	52	52

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS  
CONSTRUCTION

For necessary expenses of capital repair and rehabilitation of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, \$9,000,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**[For an additional amount for construction, \$3,400,000, to remain available until expended, to address anti-terrorism requirements: *Provided*, That Congress hereby designates this amount as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That this amount shall be available only to the extent that an official budget request for a specific dollar amount, that includes designation of the entire amount as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.]** (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 33-0303-0-1-503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Construction .....	8	15	9
10.00 Total obligations (object class 25.2) .....	8	15	9
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	6	7	4
22.00 New budget authority (gross) .....	9	12	9
23.90 Total budgetary resources available for obligation .....	15	19	13
23.95 New obligations .....	-8	-15	-9
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	7	4	4
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	9	12	9
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	28	25	23
73.10 New obligations .....	8	15	9
73.20 Total outlays (gross) .....	-11	-18	-11
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	25	23	21
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	4	3
86.93 Outlays from current balances .....	9	14	8
87.00 Total outlays (gross) .....	11	18	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	12	9
90.00 Outlays .....	11	18	11

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major

**General and special funds—Continued**

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS—Continued**  
**CONSTRUCTION—Continued**

projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities.

**NATIONAL GALLERY OF ART**  
**SALARIES AND EXPENSES**

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, \$53,899,000, of which not to exceed **[\$3,026,000] \$2,276,000** for the special exhibition program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

[For an additional amount for salaries and expenses, \$382,000, to remain available until expended, to address anti-terrorism requirements: *Provided*, That Congress hereby designates this amount as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That this amount shall be available only to the extent that an official budget request for a specific dollar amount, that includes designation of the entire amount as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.] (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 33–0200–0–1–503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	52	54	55
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	2	2	1
22.00 New budget authority (gross) .....	52	54	54
23.90 Total budgetary resources available for obligation	54	56	55
23.95 New obligations .....	–52	–54	–55
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	2	1	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	52	54	54
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	4	5	4
73.10 New obligations .....	52	54	55
73.20 Total outlays (gross) .....	–51	–55	–54
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	5	4	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	45	49	49
86.93 Outlays from current balances .....	6	6	5
87.00 Total outlays (gross) .....	51	55	54

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	52	54	54
90.00 Outlays .....	51	55	54

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

**Object Classification (in millions of dollars)**

Identification code 33–0200–0–1–503	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	27	28	29
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	30	31	32
12.1 Civilian personnel benefits .....	6	8	8
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services .....	6	6	5
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	1	1
99.5 Below reporting threshold .....	1		1
99.9 Total obligations .....	52	54	55

**Personnel Summary**

Identification code 33–0200–0–1–503	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	768	792	792
1005 Full-time equivalent of overtime and holiday hours	38	44	44

**NATIONAL GALLERY OF ART**

**REPAIR, RESTORATION AND RENOVATION OF BUILDINGS**

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, \$5,942,000, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 33–0201–0–1–503	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	10	7	7
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	5	2	1
22.00 New budget authority (gross) .....	6	6	6
23.90 Total budgetary resources available for obligation	12	8	7
23.95 New obligations .....	–10	–7	–7
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	2	1	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	6	6	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	5	11	12
73.10 New obligations .....	10	7	7
73.20 Total outlays (gross) .....	–4	–6	–7
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	11	12	11
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	4	6	7

Net budget authority and outlays:				
89.00	Budget authority .....	6	6	6
90.00	Outlays .....	4	6	7

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and study. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 33-0201-0-1-503				
25.2	Other services .....	1	1	1
32.0	Land and structures .....	8	5	5
99.5	Below reporting threshold .....	1	1	1
99.9	Total obligations .....	10	7	7

Personnel Summary

Identification code 33-0201-0-1-503				
1001	Total compensable workyears: Full-time equivalent employment .....	3	3	3

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, \$5,840,000. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 33-0400-0-1-503				
Obligations by program activity:				
10.00	Total obligations .....	6	6	6
Budgetary resources available for obligation:				
22.00	New budget authority (gross) .....	6	6	6
23.95	New obligations .....	-6	-6	-6
New budget authority (gross), detail:				
40.00	Appropriation .....	6	6	6
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation .....	5	3	3
73.10	New obligations .....	6	6	6
73.20	Total outlays (gross) .....	-8	-6	-6
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation .....	3	3	3
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	4	4	4
86.93	Outlays from current balances .....	4	2	2
87.00	Total outlays (gross) .....	8	6	6
Net budget authority and outlays:				
89.00	Budget authority .....	6	6	6
90.00	Outlays .....	6	6	6

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident body of fellowship awardees, through conferences, publication and dialog.

Object Classification (in millions of dollars)

Identification code 33-0400-0-1-503				
11.1	Personnel compensation: Full-time permanent .....	2	2	2

12.1	Civilian personnel benefits .....	1	1	1
25.2	Other services .....	1	1	1
41.0	Grants, subsidies, and contributions .....	2	2	2
99.9	Total obligations .....	6	6	6

Personnel Summary

Identification code 33-0400-0-1-503				
1001	Total compensable workyears: Full-time equivalent employment .....	39	40	40

STATE JUSTICE INSTITUTE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1992 (Public Law 102-572 (106 Stat. 4515-4516)), [\$6,000,000] \$5,000,000, to remain available until expended: *Provided*, That not to exceed \$2,500 shall be available for official reception and representation expenses. (Department of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act of 1997.)

Program and Financing (in millions of dollars)

Identification code 48-0052-0-1-752				
Obligations by program activity:				
00.02	Grants .....	5	6	5
10.00	Total obligations (object class 41.0) .....	5	6	5
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year: Uninvested balance .....	4	4	4
22.00	New budget authority (gross) .....	5	6	5
23.90	Total budgetary resources available for obligation	9	10	9
23.95	New obligations .....	-5	-6	-5
24.40	Unobligated balance available, end of year: Uninvested balance .....	4	4	2
New budget authority (gross), detail:				
40.00	Appropriation .....	5	6	5
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation .....	12	7	6
73.10	New obligations .....	5	6	5
73.20	Total outlays (gross) .....	-10	-5	-6
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation .....	7	6	6
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	1	1	1
86.93	Outlays from current balances .....	9	4	5
87.00	Total outlays (gross) .....	10	5	6
Net budget authority and outlays:				
89.00	Budget authority .....	5	6	5
90.00	Outlays .....	10	5	6

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. Appropriations in 1997 are intended to provide for continuation of Institute operations at a reduced level. In addition to the \$5 million requested for State Justice Institute, the President's Budget requests \$30 million in the Office of Justice Programs' (Department of Justice) Violent Crime Reduction Trust Fund for assistance to State Courts.

**TENNESSEE VALLEY AUTHORITY**

*Federal Funds*

**Public enterprise funds:**

TENNESSEE VALLEY AUTHORITY FUND

For the purpose of carrying out the provisions of the Tennessee Valley Authority Act of 1933, as amended (16 U.S.C. ch. 12A), including hire, maintenance, and operation of aircraft, and purchase and hire of passenger motor vehicles, \$106,000,000, to remain available until expended: *Provided*, That [of the funds provided herein, \$15,000,000 shall be made available for the Environmental Research Center in Muscle Shoals, Alabama: *Provided further*, That of the funds provided herein, \$6,000,000 shall be made available for operation, maintenance, improvement, and surveillance of Land Between the Lakes: *Provided further*, That of the amount provided herein, \$15,000,000 shall be available for Economic Development activities: *Provided further*, That none of the funds provided herein, shall be available for detailed engineering and design or constructing a replacement for Chickamauga Lock and Dam on the Tennessee River System]; *the Tennessee Valley Authority is directed: (1) to study and identify which of its nonpower programs, projects, and activities are appropriate and in the public interest to continue to be carried out by the Authority or transferred to another State or Federal governmental entity and determine which of the properties (including lands, facilities, equipment, and other property) entrusted to it are no longer necessary for those nonpower programs, projects, and activities identified for continuation or transfer; and (2) by October 15, 1997, to submit a report on such determinations to Congress: Provided further, That sixty days following submission of the written report to Congress, TVA is authorized hereinafter to take all actions necessary or appropriate to discontinue any of its nonpower programs, projects, or activities that are not identified for continuation or transfer in such report; and, in order to provide in part the funds necessary for the operation during fiscal year 1999 and in fiscal years thereafter of such nonpower programs, projects, or activities continued by the Authority, to use such appropriations and nonpower proceeds as are available to it at the end of fiscal years 1997 and 1998, and to sell, lease, or otherwise dispose of those properties identified as no longer necessary and apply the proceeds thereof in accordance with the Tennessee Valley Authority Act. (Energy and Water Development Appropriations Act, 1997.)*

Program and Financing (in millions of dollars)

Identification code 64-4110-0-3-999	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.01 Water and Land Stewardship .....	65	69	67
00.03 Land Between the Lakes .....	10	10	11
00.04 Economic development .....	16	18	6
00.05 Environmental Research .....	15	19	6
00.06 Power Program: Power supply and use .....	4,776	4,824	5,012
00.07 General services .....	15		
00.91 Total operating expenses .....	4,897	4,940	5,102
Capital investment:			
01.01 Water and Land Management .....	8	7	16
01.03 Land Between the Lakes .....	1	1	2
01.04 Chickamauga Lock .....	1		7
01.06 Power Program: Power supply and use .....	1,322	788	704
01.20 Defeasance Trust .....	157	64	
01.91 Total capital investment .....	1,489	860	729
10.00 Total obligations .....	6,386	5,800	5,831
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year:			
Uninvested balance .....	21	23	13
22.00 New budget authority (gross) .....	6,388	5,790	5,830
23.90 Total budgetary resources available for obligation	6,409	5,813	5,843
23.95 New obligations .....	-6,386	-5,800	-5,831
24.90 Unobligated balance available, end of year:			
Uninvested balance .....	23	13	13
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	109	106	106

Permanent:			
67.15 Authority to borrow (indefinite) (Public Law 96-97) .....	8		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	6,334	5,937	6,068
68.27 Capital transfer to general fund .....	-63	-61	-59
68.47 Portion applied to debt reduction .....		-192	-285
68.90 Spending authority from offsetting collections (total) .....	6,271	5,684	5,724
70.00 Total new budget authority (gross) .....	6,388	5,790	5,830
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.47 Authority to borrow .....	4,178	3,701	4,751
72.90 Uninvested balance .....	90	255	100
72.91 U.S. Securities: Par value .....	1,242	959	
72.99 Total unpaid obligations, start of year .....	5,510	4,915	4,851
73.10 New obligations .....	6,386	5,800	5,831
73.20 Total outlays (gross) .....	-6,981	-5,864	-5,831
Unpaid obligations, end of year:			
Obligated balance:			
74.47 Authority to borrow .....	3,701	4,751	4,751
74.90 Fund balance .....	255	100	100
74.91 U.S. Securities: Par value .....	959		
74.99 Total unpaid obligations, end of year .....	4,915	4,851	4,851
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	21	13	25
86.93 Outlays from current balances .....	86	88	83
86.97 Outlays from new permanent authority .....	6,206	5,678	5,718
86.98 Outlays from permanent balances .....	668	85	5
87.00 Total outlays (gross) .....	6,981	5,864	5,831
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-172	-151	-151
88.40 Non-Federal sources .....	-6,052	-5,715	-5,858
88.90 Total, offsetting collections (cash) .....	-6,224	-5,866	-6,009
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	164	-76	-179
90.00 Outlays .....	757	-2	-178

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$30 billion.

**Status of Direct Loans (in millions of dollars)**

Identification code 64-4110-0-3-999	1996 actual	1997 est.	1998 est.
<b>Position with respect to appropriations act limitation on obligations:</b>			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	61	107	118
1150 Total direct loan obligations .....	61	107	118
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	149	150	198
1231 Disbursements: Direct loan disbursements .....	61	107	118
1251 Repayments: Repayments and prepayments .....	-60	-58	-67
1263 Write-offs for default: Direct loans .....		-1	-2
1290 Outstanding, end of year .....	150	198	247

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. Its program in 1998 will be financed from three sources: (1) appropriations by the Congress; (2) proceeds available from current power operations and borrowings against future power revenues; and (3) proceeds available from nonpower activities.

The following table provides detailed information on programs financed by power proceeds and borrowings and programs financed by appropriations and nonpower proceeds.

## POWER PROGRAM

	Power proceeds and borrowings		
	1996 actual	1997 est.	1998 est.
Program by activities:			
Operating expenses:			
Power program: Power supply and use (total operating expenses) .....	4,776	4,824	5,012
Capital investment:			
Power program: Power supply and use (total capital investment) .....	1,322	788	704
Total obligations .....	6,098	5,612	5,716
Budget authority (gross) .....	6,098	5,612	5,716
Budget Authority: .....			
Permanent:			
Authority to borrow .....	8	0	0
Spending authority from offsetting collections (new) .....	6,153	5,865	6,060
Capital transfer to general fund .....	(63)	(61)	(59)
Portion applied to debt reduction .....	0	(192)	(285)
Spending authority from offsetting collections (total) .....	6,098	5,612	5,716
Relation of obligations to outlays:			
Total obligations .....	6,098	5,612	5,716
Obligated balance, start of year:			
Authority to borrow .....	4,125	3,530	3,459
Obligated balance, end of year .....	(3,530)	(3,459)	(3,459)
Outlays (gross) .....	6,693	5,683	5,716
Adjustments to budget authority and outlays			
Deductions for offsetting collections:			
Federal funds .....	(172)	(151)	(151)
Non-federal sources .....	(5,918)	(5,653)	(5,850)
Total, offsetting collections .....	(6,090)	(5,804)	(6,001)
Budget Authority (net) .....	8	(192)	(285)
Outlays (net) .....	603	(121)	(285)

*TVA's program paid for by appropriations.*—TVA's legislated mandate is to provide for the integrated, sustainable development of the Tennessee River basin. As a federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 125 counties in seven states.

Federal appropriations do not support TVA's power business and are prohibited by law from application to the power program which operates as an accountable business with the rate payer income from 7.3 million customers.

Appropriations provide for public services to maintain and operate public resources—navigable channels, flood control, recreation, technology development and non-regulatory, community-based programs that protect the water quality of the Tennessee River system. The Budget proposes that \$106 million be appropriated for these purposes in 1998. The Budget also announces TVA's intention to develop a plan that would eliminate Federal government funding for these programs for TVA for 1999 and beyond. During 1997 and 1998, TVA will work with Congress, State and local governments and other interested parties and will undertake a major effort to find alternate ways to fund, organize and manage these programs.

*Water and Land Stewardship.*—Funds TVA's statutory obligation to operate 54 dams and reservoirs to regulate streamflow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of 14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and manage 630,000 hectares (1.7 million acres) of land; provide

services and education to watershed communities; operate public recreation areas at 36 dam reservations, 160 camping and day-use areas, 90 stream access sites; and meet federal regulatory law requirements.

The Tennessee Valley Authority and the Army Corps of Engineers will jointly study and by September 1, 1997 submit a report and recommendations to the Office of Management and Budget on management arrangements by which the activities of these agencies on the Cumberland River and the Tennessee River could be integrated in order to improve the operation of these river systems for navigation, flood control, the production of electric power, recreation and other public benefits and reduce the costs of such operations to both taxpayers and electricity consumers. The cost of conducting the study and developing the recommendations will be borne equally by the Tennessee Valley Authority and the Army Corps of Engineers.

*Land Between the Lakes.*—Partially funds Land Between The Lakes as the hub of a tourism and recreation industry that annually generates \$400 million in economic activity in nine contiguous counties.

*Economic Development.*—Partially funds initiatives to increase the production of goods and services and generate high-value, sustainable jobs in the seven states of the Tennessee Valley. This program is scheduled to phase out in 1999, with activities now funded here continuing, as appropriate, with funding from public/private partnerships.

*Environmental Research Center.*—Partially funds the development and introduction of technologies that provide new and economical ways for industry to prevent and correct environmental problems that are the predominant barriers to business growth. TVA continues in its efforts to transition funding requirements from appropriations to leveraging contracts and support from outside sources and private partners. Federal funding at ERC will be phased out over four years (1996–1999).

*Chickamauga Lock and Dam.*—Funds initial stages of a construction project for replacement of the navigation lock which has irreparably deteriorated and is inadequate for existing and projected river traffic.

*TVA's Power Program.*—TVA is the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at \$75,000,000 in 1998. Power generating facilities are financed from power proceeds and borrowings.

## APPROPRIATIONS AND NONPOWER PROCEEDS

	1996 actual	1997 est.	1998 est.
Program by activities:			
Operating expenses:			
1. Water and land stewardship .....	65	69	67
2. Land Between the Lakes .....	10	10	11
3. Economic development .....	16	18	6
4. Environmental research .....	15	19	6
5. General services .....	15	0	0
Total operating expenses .....	121	116	90
Capital investment:			
1. Water and land stewardship .....	8	7	16
2. Chickamauga lock .....	0	0	7
3. Land Between the Lakes .....	2	1	2
Total capital investment .....	10	8	25
Total obligations .....	131	124	115
Unobligated balance available, start of year, Fund balance .....	(21)	(23)	(13)
Unobligated balance available, end of year: Fund balance .....	23	13	12
Budget authority (gross) .....	133	114	114
Budget authority:			
Current: appropriation .....	109	106	106

**Public enterprise funds—Continued**

TENNESSEE VALLEY AUTHORITY FUND—Continued

APPROPRIATIONS AND NONPOWER PROCEEDS—Continued

	1996 actual	1997 est.	1998 est.
Permanent:			
Spending authority from offsetting collections (new) .....	24	8	8
Capital transfer to general fund .....	0	0	0
Spending authority for offsetting collections (total) .....	133	114	114
Relation of obligations to outlays:			
Total obligations .....	131	124	115
Obligated balance, start of year: Fund balance .....	53	53	60
Obligated balance, end of year: Fund balance .....	(53)	(60)	(60)
Outlays (gross) .....	131	117	115
Adjustments to budget authority and outlays:			
Deductions for offsetting collections:			
Federal funds .....	0	0	0
Non-federal sources .....	(24)	(8)	(8)
Total, offsetting collections .....	(24)	(8)	(8)
Budget authority (net) .....	109	106	106
Outlays (net) .....	107	109	109

DEFEASANCE TRUST

	1996 actual	1997 est.	1998 est.
Program by activities:			
Capital investment .....	157	64	0
Total obligations .....	157	64	0
Budget authority (gross) .....	157	64	0
Relation of obligations to outlays:			
Total obligations .....	157	64	0
Outlays (gross) .....	157	64	0
Adjustments to budget authority and outlays:	(110)	(54)	0
Budget authority (net) .....	47	10	0
Outlays (net) .....	47	10	0

Budget authority:			
Permanent:			
Spending authority from offsetting collections (total) .....	157	54	0

**General services.**—Operating costs for general service activities include reimbursable services furnished at the request and expense of other agencies.

**Financing.**—Amounts estimated to become available in 1998 are to be derived from (1) the requested appropriation of \$106,000,000; (2) nonpower revenues and receipts of \$22,548,000; and (3) power revenues and receipts of \$5,994,000,000. A summary of the application of appropriations follows:

APPLICATION OF APPROPRIATIONS

(In millions of dollars)

	1996 actual	1997 est.	1998 est.
Operations:			
1. Water and Land Stewardship .....	61	63	65
2. Land Between the Lakes .....	5	5	6
3. Economic development .....	16	15	4
4. Environmental research .....	17	15	6
Total operations .....	99	98	81
Capital investment:			
1. Water and Land Stewardship .....	8	7	16
2. Chickamauga Lock .....	1	0	7
3. Land Between the Lakes .....	1	1	2
Total capital investment .....	10	8	25
Total appropriations .....	109	106	106
Unobligated balance brought forward .....	2	8	0
Unobligated balance carried forward .....	(8)	0	0
Obligations, appropriated funds .....	103	114	106

**Operating results and financial conditions.**—Payments to the Treasury from power proceeds in 1998 are estimated at

\$59,000,000—\$39,000,000 as a dividend (return on the appropriation investment in the power program) and \$20,000,000 as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to decrease by \$225,000,000 during 1998.

Total assets are estimated to decrease by \$270,000,000 during 1998. The estimate of liabilities at September 30, 1998, is \$94,000,000 less than the estimate at September 30, 1997. Total Government equity at September 30, 1998, is estimated to be \$122,000,000 greater than that at September 1997. This change includes the requested appropriation for 1998 and the net income from power operations, less payments to the Treasury and the net expense of nonpower programs.

Statement of Operations (in millions of dollars)

Identification code 64-4110-0-3-999	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	5,375	5,693	5,777	5,994
0102 Expense .....	-5,365	-5,632	-5,675	-5,919
0109 Net income or loss (-) .....	10	61	102	75

Balance Sheet (in millions of dollars)

Identification code 64-4110-0-3-999	1995 actual	1996 actual	1997 est.	1998 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury .....	131	279	150	150
Investments in US securities:				
1106 Receivables, net .....	26	25	25	25
Non-Federal assets:				
1201 Investments in non-Federal securities, net .....	260	440	485	534
1206 Receivables, net .....	772	663	673	761
1207 Advances and prepayments .....	6	3	5	7
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross .....	293	379	388	432
1603 Allowance for estimated uncollectible loans and interest (-) .....	-5	-7	-7	-8
1604 Direct loans and interest receivable, net .....	288	372	381	424
1699 Value of assets related to direct loans .....	288	372	381	424
Other Federal assets:				
1801 Cash and other monetary assets .....	3,530	3,442	2,415	2,416
1802 Inventories and related properties .....	355	379	391	399
1803 Property, plant and equipment, net .....	30,137	30,354	30,377	30,111
1999 Total assets .....	35,505	35,957	34,902	34,827
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable .....	-6	18	18	18
2102 Interest payable .....	69	70	70	70
2104 Resources payable to Treasury .....	4,559	4,150	3,200	3,200
Non-Federal liabilities:				
2201 Accounts payable .....	728	399	423	471
2202 Interest payable .....	386	428	440	396
2203 Debt .....	23,750	24,538	24,257	24,157
2207 Other .....	1,025	1,382	1,481	1,488
2999 Total liabilities .....	30,511	30,985	29,889	29,800
NET POSITION:				
3200 Invested capital .....	628	608	588	568
3300 Cumulative results of operations .....	4,366	4,364	4,425	4,459
3999 Total net position .....	4,994	4,972	5,013	5,027
4999 Total liabilities and net position .....	35,505	35,957	34,902	34,827

Note.—Not included in these figures are the following undelivered orders (in millions of dollars):

	1995 actual	1996 actual	1997 est.	1998 est.
Coal .....	2,175	2,440	2,400	2,400
Nuclear fuel .....	(10)	72	81	183
Total .....	2,165	2,512	2,481	2,583

Object Classification (in millions of dollars)

Identification code 64-4110-0-3-999	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	55	50	49
12.1 Civilian personnel benefits .....	14	14	12
21.0 Travel and transportation of persons .....	2	2	2
23.2 Rental payments to others .....	2	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	25	24	22
25.7 Operation and maintenance of equipment .....	14	14	11
26.0 Supplies and materials .....	14	13	12
31.0 Equipment .....	3	3	3
33.0 Investments and loans .....	1	1	1
99.0 Subtotal, direct obligations .....	131	124	115
<b>Reimbursable obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	941	854	861
11.5 Other personnel compensation .....	110	100	100
11.9 Total personnel compensation .....	1,051	954	961
12.1 Civilian personnel benefits .....	204	184	187
21.0 Travel and transportation of persons .....	24	22	22
22.0 Transportation of things .....	105	95	96
23.2 Rental payments to others .....	88	79	80
24.0 Printing and reproduction .....	2	1	2
25.1 Advisory and assistance services .....	32	29	29
25.2 Other services .....	589	534	539
25.7 Operation and maintenance of equipment .....	358	323	329
26.0 Supplies and materials .....	1,525	1,352	1,350
31.0 Equipment .....	140	126	128
32.0 Land and structures .....	10	9	9
33.0 Investments and loans .....	248	225	226
41.0 Grants, subsidies, and contributions .....	256	269	276
42.0 Insurance claims and indemnities .....	9	8	8
43.0 Interest and dividends .....	1,614	1,466	1,474
99.0 Subtotal, reimbursable obligations .....	6,255	5,676	5,716
99.9 Total obligations .....	6,386	5,800	5,831

Personnel Summary

Identification code 64-4110-0-3-999	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	950	920	757
<b>Reimbursable:</b>			
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	15,075	14,780	14,793
2005 Full-time equivalent of overtime and holiday hours .....	1,292	1,280	1,280

**UNITED MINE WORKERS OF AMERICA  
BENEFIT FUNDS**

**Trust Funds**

**UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND**

Unavailable Collections (in millions of dollars)

Identification code 95-8295-0-7-551	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Premiums, combined benefit fund & 1992 pension plan, UMWA .....	304	311	280
02.03 Transfers from abandoned mine reclamation fund .....	47	31	56
02.99 Total receipts .....	351	342	336
<b>Appropriation:</b>			
05.01 United mine workers of America 1992 benefit plan .....	-17	-17	-18
05.02 United mine workers of America combined benefit fund .....	-334	-325	-318
05.99 Subtotal appropriation .....	-351	-342	-336
07.99 Total balance, end of year .....			

Note.—The unavailable collections table (above) includes entries that pertain both to the Combined Benefit Fund and the 1992 Pension Plan.

Program and Financing (in millions of dollars)

Identification code 95-8295-0-7-551	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	334	325	318
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	334	325	318
23.95 New obligations .....	-334	-325	-318
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	334	325	318
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	334	325	318
73.20 Total outlays (gross) .....	-334	-325	-318
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	334	325	318
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	334	325	318
90.00 Outlays .....	334	325	318

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an overfunded United Mine Workers pension fund; and, beginning in 1996, transfers from the Abandoned Mine Land Reclamation Fund.

**UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN**

Program and Financing (in millions of dollars)

Identification code 95-8260-0-7-551	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	17	17	18
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	17	17	18
23.95 New obligations .....	-17	-17	-18
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	17	17	18
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	17	17	18
73.20 Total outlays (gross) .....	-17	-17	-18
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	17	17	18
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	17	17	18
90.00 Outlays .....	17	17	18

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signatories to the 1988 labor agreement with the United Mine Workers of America.

## UNITED STATES ENRICHMENT CORPORATION

### Federal Funds

#### Public enterprise fund:

#### UNITED STATES ENRICHMENT CORPORATION FUND

#### Program and Financing (in millions of dollars)

Identification code 95-4054-0-3-271	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Operating Expenses .....	1,234	1,603	
00.02 Capital Expenses .....	18	24	
10.00 Total obligations .....	1,252	1,627	
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Fund balance .....	412	612	491
22.00 New budget authority (gross) .....	1,532	1,626	
22.10 Resources available from recoveries of prior year obligations .....			720
22.22 Unobligated balance transferred from other accounts .....	40		
22.40 Capital transfer to general fund .....	-120	-120	-1,211
23.90 Total budgetary resources available for obligation .....	1,864	2,118	
23.95 New obligations .....	-1,252	-1,627	
24.90 Unobligated balance available, end of year: Fund balance .....	612	491	
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	1,532	1,626	
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance .....	750	748	720
73.10 New obligations .....	1,252	1,627	
73.20 Total outlays (gross) .....	-1,254	-1,655	
73.45 Adjustments in unexpired accounts .....			-720
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance .....	748	720	
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	1,254	1,626	
86.98 Outlays from permanent balances .....		29	
87.00 Total outlays (gross) .....	1,254	1,655	
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1,532	-1,626	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-278	29	

The United States Enrichment Corporation (USEC or the Corporation) was created by the Energy Policy Act of 1992 and began operations on July 1, 1993, when the Department of Energy's (DOE) uranium enrichment activities were transferred to USEC. Uranium enriched by USEC or purchased for resale is sold globally to utilities for use as fuel for nuclear power plants. USEC was created as a wholly-owned government corporation as the first step in a series of actions designed to culminate in privatization. These steps included restructuring DOE's uranium enrichment activities, establishing a track record of successful operations, and finally, selling the business to the private sector. It is the policy of the U.S. Government to sell USEC as soon as possible. Recognizing that it is a complex sales process, the transaction is likely to be completed early in 1998.

Uranium enrichment is the only segment in the nuclear fuel cycle operated by the U.S. Government. The Government enrichment facilities were originally built over forty years ago to support military programs, but they are no longer needed for this purpose. By moving the uranium enrichment

activities out of the Government, and by applying private-sector discipline, USEC will remain a viable competitor in the global market for uranium enrichment services and preserve a source of domestic enrichment capacity. As a private corporation, USEC will be able to make the financial investment necessary to commercialize the Atomic Vapor Laser Isotope Separation (AVLIS) process, the next generation of uranium enrichment technology. USEC's ability to implement AVLIS, which is expected to lower production costs, should enhance the long-term viability of the Corporation.

**Budget Program.**—During 1996, USEC maintained its position as the world leader in uranium enrichment by pursuing value-added sales and controlling costs. USEC also continued with AVLIS technology pre-deployment activities. In addition, USEC, acting as Executive Agent for the U.S. Government, continued to receive shipments from Russia of low enriched uranium (LEU) blended down from highly enriched uranium (HEU) taken from Soviet-era nuclear warheads. USEC's privatization continues to progress with the enactment of the USEC Privatization Act as part of the Omnibus Consolidated Rescissions and Appropriations Act of 1996 (Public Law 104-134).

In November 1996, the Nuclear Regulatory Commission (NRC) issued certificates of compliance for USEC's two gaseous diffusion plants. These certificates represent NRC's conclusion that the plants comply with NRC's safety, safeguards and security requirements. Additionally, as Executive Agent for the Russian HEU contract, USEC reached a five-year agreement with the Russian Federation in November 1996 to purchase LEU derived from 132 metric tons of HEU. The new agreement increases the LEU to be delivered by approximately 50% during the five-year term when compared to the original agreement. In December 1996, USEC transferred to DOE the natural uranium component of the Russian HEU contract with purchased value of approximately \$160 million. Consistent with the USEC Privatization Act, DOE will transfer 7,000 metric tons of natural uranium and 50 metric tons of HEU to USEC in advance of privatization so that it can be appropriately included in all of the sale documentation.

In 1998 or earlier, the Administration plans to sell USEC to the private sector with estimated net proceeds to the Treasury of approximately \$1.6 billion.

**Financing.**—\$40 million was transferred to the Corporation by DOE during 1996 in accordance with a determination order issued by the Office of Management and Budget.

**Operating Results.**—The Corporation's net income for 1996 was \$313 million and is expected to increase to \$322 million in 1997. USEC paid a \$120 million dividend to the Treasury in 1996 in accordance with the Energy Policy Act of 1992 and expects to pay a \$120 million dividend in 1997.

Note.—Receipts from the sale of USEC appears in the General Fund Receipt Accounts table in the Treasury Chapter.

#### Statement of Operations (in millions of dollars)

Identification code 95-4054-0-3-271	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue .....	1,395	1,609	1,709	
0102 Expense .....	-1,022	-1,296	-1,387	
0109 Net income or loss (-) .....	373	313	322	

#### Balance Sheet (in millions of dollars)

Identification code 95-4054-0-3-271	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
<b>Federal assets:</b>				
1101 Fund balances with Treasury .....	1,162	1,361	1,211	
Investments in US securities:				
1106 Receivables, net .....	92	129	158	
1107 Advances and prepayments .....	23	27	34	
<b>Non-Federal assets:</b>				
1206 Receivables, net .....	156	263	350	
1207 Advances and prepayments .....	127	53		

Other Federal assets:				
1802	Inventories and related properties .....	1,655	1,513	1,578
1803	Property, plant and equipment, net .....	99	103	124
1999	Total assets .....	3,314	3,449	3,455
LIABILITIES:				
Non-Federal liabilities:				
2201	Accounts payable .....	190	164	170
2207	Other .....	1,156	1,090	1,071
2999	Total liabilities .....	1,346	1,254	1,241
NET POSITION:				
3200	Invested capital .....	1,240	1,225	1,042
3300	Cumulative results of operations .....	728	970	1,172
3999	Total net position .....	1,968	2,195	2,214
4999	Total liabilities and net position .....	3,314	3,449	3,455

Object Classification (in millions of dollars)

Identification code 95-4054-0-3-271	1996 actual	1997 est.	1998 est.	
11.1	Personnel compensation: Full-time permanent .....	10	10	
12.1	Civilian personnel benefits .....	3	4	
21.0	Travel and transportation of persons .....	1	1	
22.0	Transportation of things .....	1	1	
23.2	Rental payments to others .....	2	2	
23.3	Communications, utilities, and miscellaneous charges .....	813	507	
25.1	Advisory and assistance services .....	24	26	
25.2	Other services .....	359	785	
26.0	Supplies and materials .....	38	289	
31.0	Equipment .....	1	2	
99.0	Subtotal, reimbursable obligations .....	1,252	1,627	
99.9	Total obligations .....	1,252	1,627	

Personnel Summary

Identification code 95-4054-0-3-271	1996 actual	1997 est.	1998 est.	
2001	Total compensable workyears: Full-time equivalent employment .....	155	192	

**UNITED STATES HOLOCAUST MEMORIAL COUNCIL**

**Federal Funds**

**General and special funds:**

HOLOCAUST MEMORIAL COUNCIL

For expenses of the Holocaust Memorial Council, as authorized by Public Law 96-388 (36 U.S.C. 1401), as amended, [**\$30,707,000**], **\$31,707,000** of which \$1,575,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's exhibitions program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

[For an additional amount for the Holocaust Memorial Council, \$1,000,000, to remain available until expended, to address anti-terrorism requirements: Provided, That Congress hereby designates this amount as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That this amount shall be available only to the extent that an official budget request for a specific dollar amount, that includes designation of the entire amount as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.] (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 95-3300-0-1-808	1996 actual	1997 est.	1998 est.	
Obligations by program activity:				
10.00	Total obligations .....	28	31	32
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year: Uninvested balance .....	2	2	2
22.00	New budget authority (gross) .....	29	32	32

23.90	Total budgetary resources available for obligation .....	31	34	34
23.95	New obligations .....	-28	-31	-32
24.40	Unobligated balance available, end of year: Uninvested balance .....	2	2	2

New budget authority (gross), detail:

40.00	Appropriation .....	29	32	32
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Change in unpaid obligations:

72.40	Unpaid obligations, start of year: Obligated balance: Appropriation .....	7	9	8
73.10	New obligations .....	28	31	32
73.20	Total outlays (gross) .....	-26	-33	-33
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation .....	9	8	7

Outlays (gross), detail:

86.90	Outlays from new current authority .....	21	25	26
86.93	Outlays from current balances .....	5	8	7
87.00	Total outlays (gross) .....	26	33	33

Net budget authority and outlays:

89.00	Budget authority .....	29	32	32
90.00	Outlays .....	26	33	33

The Council operates a permanent living memorial museum to the victims of the Holocaust. The memorial, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

Object Classification (in millions of dollars)

Identification code 95-3300-0-1-808	1996 actual	1997 est.	1998 est.	
Personnel compensation:				
11.1	Full-time permanent .....	6	9	10
11.3	Other than full-time permanent .....	2		
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	9	9	10
12.1	Civilian personnel benefits .....	2	3	3
21.0	Travel and transportation of persons .....		1	1
23.1	Rental payments to GSA .....		1	1
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
25.2	Other services .....	5	6	6
25.4	Operation and maintenance of facilities .....	5	5	5
25.7	Operation and maintenance of equipment .....	1	2	2
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	3	1	1
99.9	Total obligations .....	28	31	32

Personnel Summary

Identification code 95-3300-0-1-808	1996 actual	1997 est.	1998 est.	
Total compensable workyears:				
1001	Full-time equivalent employment .....	202	246	246
1005	Full-time equivalent of overtime and holiday hours .....	5	4	4

**UNITED STATES INFORMATION AGENCY**

**Federal Funds**

**General and special funds:**

[SALARIES AND EXPENSES] INTERNATIONAL INFORMATION PROGRAMS

For expenses, not otherwise provided for, necessary to enable the United States Information Agency, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), to carry out international communication, educational and cultural activities; and to carry out related activities authorized by law, including employment, without regard to civil service and classification laws, of persons on a temporary basis (not to exceed \$700,000 of this appropriation), as authorized by section 801 of such Act of 1948 (22 U.S.C. 1471), and entertainment, including official receptions, within the United States, not to exceed \$25,000 as authorized by section 804(3) of such Act of

**General and special funds—Continued**

**[SALARIES AND EXPENSES] INTERNATIONAL INFORMATION PROGRAMS—Continued**

1948 (22 U.S.C. 1474(3)); [\$440,000,000] \$434,097,000. *Provided*, That not to exceed \$1,400,000 may be used for representation abroad as authorized by section 302 of such Act of 1948 (22 U.S.C. 1452) and section 905 of the Foreign Service Act of 1980 (22 U.S.C. 4085): *Provided further*, That not to exceed [\$7,615,000] \$6,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching, library, motion pictures, and publication programs as authorized by section 810 of such Act of 1948 (22 U.S.C. 1475e) [and, notwithstanding any other law, fees from student advising and counseling]: *Provided further*, That not to exceed [\$1,100,000] \$920,000 to remain available until expended may be used to carry out projects involving security construction and related improvements for agency facilities not physically located together with Department of State facilities abroad.

[For an additional amount for necessary expenses relating to security, \$1,375,000: *Provided*, That the entire amount is designated by Congress as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.] (*The Department of State and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 67-0201-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Overseas missions .....	272	266	264
00.04 Educational and Cultural Affairs .....	17	13	
00.06 Bureau of information .....	31	33	33
00.07 Agency direction and management .....	63	63	63
00.08 Administrative support from other agencies .....	69	73	74
00.91 Total direct program .....	452	448	434
01.01 Reimbursable program .....	9	9	9
10.00 Total obligations .....	461	457	443
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	7	7	
22.00 New budget authority (gross) .....	460	450	443
22.10 Resources available from recoveries of prior year obligations .....	1		
22.22 Unobligated balance transferred from other accounts .....	1		
22.30 Unobligated balance expiring .....	-2		
23.90 Total budgetary resources available for obligation .....	467	457	443
23.95 New obligations .....	-461	-457	-443
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	7		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	445	441	434
42.00 Transferred from other accounts .....	6		
43.00 Appropriation (total) .....	451	441	434
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	9	9	9
70.00 Total new budget authority (gross) .....	460	450	443
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	95	126	101
73.10 New obligations .....	461	457	443
73.20 Total outlays (gross) .....	-431	-482	-444
73.40 Adjustments in expired accounts .....	2		
73.45 Adjustments in unexpired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	126	101	100
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	349	370	365
86.93 Outlays from current balances .....	73	103	70
86.97 Outlays from new permanent authority .....	9	9	9

87.00	Total outlays (gross) .....	431	482	444
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-5	-5	-5
88.40	Non-Federal sources .....	-4	-4	-4
88.90	Total, offsetting collections (cash) .....	-9	-9	-9
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	451	441	434
90.00	Outlays .....	421	473	435

Note.—In FY 1998, staff and associated support costs for Educational and Cultural Affairs will be funded in the Exchanges programs account.

The United States Information Agency conducts the international informational, educational, cultural and exchange programs of the United States and advises the President, the National Security Council, and the Secretary of State on these matters. The Agency defines, explains, and advocates U.S. policies abroad and seeks to increase knowledge and understanding among foreign audiences of U.S. society and its values.

In 1996, the Agency completed a strategic plan and identified preliminary goals, objectives and measurements for all key programs in accordance with the Government Performance and Results Act of 1993. In 1998, USIA will continue to collect information on performance that will be used to develop future budget requests.

Agency overseas information and cultural program operations and support functions are financed from this appropriation and consist of the following major elements.

**Overseas missions.**—The Agency currently operates 190 U.S. Information Service posts in 140 countries. These USIS posts administer exchange-of-persons programs and conduct informational and cultural activities using, primarily, materials and programs provided by support offices in Washington, D.C.

**Bureau of Information.**—This technologically advanced Bureau supports U.S. foreign policy by means of instant and in-depth communications with international opinion leaders and policy makers. The Bureau provides information electronically through the Agency's World Wide Web home pages, its daily Washington File and biweekly thematic journals. It supports the Agency's U.S. foreign press centers and overseas Information Resource Centers, which draw on databases and other electronically delivered information. The Bureau supports U.S. speakers and specialists at U.S. Information Service posts not only through overseas travel, but also through interactive dialogues via telephone and digitized video conferences. It also publishes pamphlets and other printed materials for distribution to those who influence international opinion.

**Agency direction and management.**—This activity includes managerial staffs, research and centralized servicing functions for the Agency.

**Administrative support from other agencies.**—This activity covers payments to the Department of State for USIA's share of the costs of services provided for overseas operations, to the General Services Administration for space and services provided under the Federal building rent system, and payments to other agencies for services provided.

Object Classification (in millions of dollars)

Identification code 67-0201-0-1-154	1996 actual	1997 est.	1998 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	178	180	175
11.3	Other than full-time permanent .....	3	3	3
11.5	Other personnel compensation .....	10	10	10
11.9	Total personnel compensation .....	191	193	188

12.1	Civilian personnel benefits .....	46	48	47
13.0	Benefits for former personnel .....	3	3	3
21.0	Travel and transportation of persons .....	12	15	15
22.0	Transportation of things .....	10	9	8
23.1	Rental payments to GSA .....	36	38	37
23.2	Rental payments to others .....	13	12	11
23.3	Communications, utilities, and miscellaneous charges .....	22	20	18
24.0	Printing and reproduction .....	1	1	1
25.2	Other services .....	31	28	26
25.3	Purchases of goods and services from Government accounts .....	35	35	37
26.0	Supplies and materials .....	15	13	12
31.0	Equipment .....	18	16	15
41.0	Grants, subsidies, and contributions .....	14	12	12
42.0	Insurance claims and indemnities .....	5	5	4
99.0	Subtotal, direct obligations .....	452	448	434
99.0	Reimbursable obligations .....	9	9	9
99.9	Total obligations .....	461	457	443

Personnel Summary

Identification code 67-0201-0-1-154	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment .....	4,182	3,983	3,823
1005 Full-time equivalent of overtime and holiday hours .....	80	80	80
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	8	9	9

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 67-0301-0-1-154	1996 actual	1997 est.	1998 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....		5	5
22.00 New budget authority (gross) .....	5		
23.90 Total budgetary resources available for obligation .....	5	5	5
23.95 New obligations .....			
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	5	5	5
New budget authority (gross), detail:			
50.00 Reappropriation .....	5		
Change in unpaid obligations:			
73.10 New obligations .....			
Net budget authority and outlays:			
89.00 Budget authority .....	5		
90.00 Outlays .....			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. As authorized, gains due to fluctuations will be deposited into this account to be available to offset future losses.

TECHNOLOGY FUND

For expenses necessary to enable the United States Information Agency to provide for the procurement of information technology improvements, as authorized by the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), **[\$5,050,000] \$7,000,000**, to remain available until expended. (*The Department of State and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 67-0400-0-1-154	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations .....	2	8	7
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....		3	
22.00 New budget authority (gross) .....	5	5	7
23.90 Total budgetary resources available for obligation .....	5	8	7
23.95 New obligations .....	-2	-8	-7
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	3		
New budget authority (gross), detail:			
40.00 Appropriation .....	5	5	7
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....		1	1
73.10 New obligations .....	2	8	7
73.20 Total outlays (gross) .....	-1	-8	-7
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	1	4	6
86.93 Outlays from current balances .....		4	1
87.00 Total outlays (gross) .....	1	8	7
Net budget authority and outlays:			
89.00 Budget authority .....	5	5	7
90.00 Outlays .....	1	8	7

This appropriation provides funding for non-broadcasting information technology improvements for USIA, including purchases and development of hardware, software, contractual services, and training.

In 1998, USIA will continue its pilot project to upgrade telecommunications links with overseas posts, providing modern, high-speed, two-way digital communications and continue the implementation of a modern core Financial Management System. In addition, the Agency will proceed with development of mission-oriented technology innovations.

Object Classification (in millions of dollars)

Identification code 67-0400-0-1-154	1996 actual	1997 est.	1998 est.
25.2 Other services .....	2	6	5
26.0 Supplies and materials .....		1	1
31.0 Equipment .....		1	1
99.9 Total obligations .....	2	8	7

RADIO FREE ASIA

Program and Financing (in millions of dollars)

Identification code 67-0212-0-1-154	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....	5		
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	5		
23.95 New obligations .....	-5		
24.40 Unobligated balance available, end of year:			
Uninvested balance .....			
Change in unpaid obligations:			
73.10 New obligations .....	5		
73.20 Total outlays (gross) .....	-5		

**General and special funds—Continued**

**RADIO FREE ASIA—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 67-0212-0-1-154	1996 actual	1997 est.	1998 est.
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	5		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	5		

In 1995, Congress provided funding for a new service to provide accurate and timely information, news, and commentary to the people of Asia. Beginning in FY 1996, funding for this service is included in the International Broadcasting Operations account.

This schedule displays expenditure of funds provided prior to the funding consolidation.

**EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS**

For expenses of educational and cultural exchange programs, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), [**\$185,000,000**] *\$197,731,000*, to remain available until expended as authorized by section 105 of such Act of 1961 (22 U.S.C. 2455): *Provided, That not to exceed \$500,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching and publication programs as authorized by section 810 of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1475e). (The Department of State and Related Agencies Appropriations Act, 1997.)*

**Program and Financing (in millions of dollars)**

Identification code 67-0209-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Academic Programs .....	119	118	117
00.02 Professional/Cultural Exchanges .....	69	62	59
00.03 Freedom Support Act Exchanges .....	40	35	
00.04 SEED Exchanges .....	5	2	
00.05 Exchanges Support .....	13	14	22
00.91 Total direct program .....	246	231	198
01.01 Reimbursable program .....	12	12	12
10.00 Total obligations .....	258	243	210
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	17	13	
22.00 New budget authority (gross) .....	247	229	210
22.10 Resources available from recoveries of prior year obligations .....	6		
22.22 Unobligated balance transferred from other accounts .....	2		
23.90 Total budgetary resources available for obligation .....	272	242	210
23.95 New obligations .....	-258	-243	-210
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	13		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	200	185	198
41.00 Transferred to other accounts .....	-4		
42.00 Transferred from other accounts .....	39	32	
43.00 Appropriation (total) .....	235	217	198
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	12	12	12
70.00 Total new budget authority (gross) .....	247	229	210

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	267	229	229
73.10 New obligations .....	258	243	210
73.20 Total outlays (gross) .....	-290	-244	-221
73.45 Adjustments in unexpired accounts .....	-6		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	229	229	218

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	119	109	99
86.93 Outlays from current balances .....	159	123	110
86.97 Outlays from new permanent authority .....	12	12	12
87.00 Total outlays (gross) .....	290	244	221

<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-12	-12	-12

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	235	217	198
90.00 Outlays .....	278	232	209

This appropriation provides funding for programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic and security policy objectives by fostering increased mutual understanding between the people of the United States and other nations. Programs under this appropriation include:

**Academic Programs.**—Includes the J. William Fulbright Educational Exchange Program for the exchange of students, scholars and teachers between the United States and foreign countries; Hubert H. Humphrey and Edmund S. Muskie fellowship programs of academic study and internships for mid-career professionals from developing countries and the Newly Independent States of the former Soviet Union (NIS); graduate- and postdoctoral-level Near and Middle East studies and research by U.S. students and scholars; and programs in support of the study of the United States in other countries designed to promote better foreign understanding of the United States.

**Professional/Cultural Exchanges.**—Includes the International Visitor Program which supports travel in the United States by emerging foreign political leaders, professionals and educators to obtain firsthand knowledge about the United States, its people, politics and culture; and cooperative exchange programs with non-governmental organizations, such as the Citizen Exchanges Program which awards grants to U.S. non-profit organizations for professional, cultural, institutional, and grassroots community exchanges with foreign counterparts; the Congress-Bundestag Exchange Program; and other special programs.

**NIS and SEED Exchanges.**—Includes democracy and free-market development programs for the exchange of students, scholars and professionals between the United States and the NIS and Central and Eastern Europe under the FREEDOM Support Act of 1992 and the Support for East European Democracy Act of 1989.

**Exchanges Support.**—Includes staff and associated support costs required to administer the programs noted above. It also provides support costs for the J. William Fulbright Foreign Scholarship Board, binational centers, English teaching and other educational and cultural activities. In the past several years, funding for these costs was divided between the Salaries and Expenses and Educational and Cultural Exchange Programs accounts. In 1998, funding for all staff and associated support costs for programs managed by the Bureau of Educational and Cultural Affairs is requested in this appropriation.

The Agency has developed a strategic framework for performance measurement of Educational and Cultural Exchange Programs in accordance with the Government Performance and Results Act of 1993 (GPRA). In 1998, performance meas-

urement data will be collected, evaluated and used in policy implementation and program management.

Finally, the Administration is taking steps to establish an Office of U.S. Government International Exchange and Training Coordination in USIA's Educational and Cultural Affairs Bureau. This office will lead an effort to increase cooperation and eliminate duplication of effort among over 35 Federal agencies that administer international exchange and training programs.

Object Classification (in millions of dollars)

Identification code 67-0209-0-1-154	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	8	9	16
12.1 Civilian personnel benefits .....	2	2	4
21.0 Travel and transportation of persons .....	10	10	10
25.2 Other services .....	12	12	12
41.0 Grants, subsidies, and contributions .....	214	198	156
99.0 Subtotal, direct obligations .....	246	231	198
99.0 Reimbursable obligations .....	12	12	12
99.9 Total obligations .....	258	243	210

Personnel Summary

Identification code 67-0209-0-1-154	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	175	192	298

NATIONAL ENDOWMENT FOR DEMOCRACY

For grants made by the United States Information Agency to the National Endowment for Democracy as authorized by the National Endowment for Democracy Act, \$30,000,000, to remain available until expended. (The Department of State and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 67-0210-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	30	30	30
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	30	30	30
23.95 New obligations .....	-30	-30	-30
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	30	30	30
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	27	27	27
73.10 New obligations .....	30	30	30
73.20 Total outlays (gross) .....	-30	-30	-30
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	27	27	27
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	14	14	14
86.93 Outlays from current balances .....	16	16	16
87.00 Total outlays (gross) .....	30	30	30
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	30	30	30
90.00 Outlays .....	30	30	30

The National Endowment for Democracy is a private, non-profit corporation established in the District of Columbia to encourage and strengthen the development of democratic institutions and processes internationally, including activities which promote individual rights and freedom, through private sector initiatives, training programs, and other activities.

The National Endowment for Democracy Act provides that the U.S. Information Agency will make an annual grant to the Endowment to enable the Endowment to fulfill the purposes of the Act. The Endowment does not carry out programs directly but provides funding for activities of the Free Trade Union Institute, the Center for International Private Enterprise, the National Republican Institute for International Affairs, the National Democratic Institute for International Affairs, and other private sector organizations, both in the United States and abroad.

[BROADCASTING TO CUBA]

[For expenses necessary to enable the United States Information Agency to carry out the Radio Broadcasting to Cuba Act, as amended, the Television Broadcasting to Cuba Act, and the International Broadcasting Act of 1994, including the purchase, rent, construction, and improvement of facilities for radio and television transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception, \$25,000,000, to remain available until expended.] (The Department of State and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 67-0208-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Program operations .....	26	29	
10.00 Total obligations .....	26	29	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested balance .....	1	4	
22.00 New budget authority (gross) .....	25	25	
22.10 Resources available from recoveries of prior year obligations .....	4		
23.90 Total budgetary resources available for obligation .....	30	4	
23.95 New obligations .....	-26	-29	
24.40 Unobligated balance available, end of year: Uninvested balance .....	4		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	25	25	
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	4	1	5
73.10 New obligations .....	26	29	
73.20 Total outlays (gross) .....	-25	-25	-5
73.45 Adjustments in unexpired accounts .....	-4		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	1	5	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	20	20	
86.93 Outlays from current balances .....	5	5	5
87.00 Total outlays (gross) .....	25	25	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	25	25	
90.00 Outlays .....	25	25	5

Beginning in 1998, Radio and TV Marti will be funded through the International Broadcasting Operations account shown below.

Object Classification (in millions of dollars)

Identification code 67-0208-0-1-154	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	11	11	
11.5 Other personnel compensation .....	1	1	
11.9 Total personnel compensation .....	12	12	
12.1 Civilian personnel benefits .....	3	3	
21.0 Travel and transportation of persons .....	1	1	

**General and special funds—Continued**

**[BROADCASTING TO CUBA]—Continued**

Object Classification (in millions of dollars)—Continued

Identification code 67-0208-0-1-154	1996 actual	1997 est.	1998 est.
23.1 Rental payments to GSA .....	2	3	
23.3 Communications, utilities, and miscellaneous charges .....	2	2	
25.2 Other services .....	5	6	
26.0 Supplies and materials .....		1	
31.0 Equipment .....	1	1	
99.9 Total obligations .....	26	29	

**Personnel Summary**

Identification code 67-0208-0-1-154	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	223	223	
1005 Full-time equivalent of overtime and holiday hours .....	5	5	

**EAST-WEST CENTER**

To enable the Director of the United States Information Agency to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960 (22 U.S.C. 2054-2057), by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, **[\$10,000,000] \$7,000,000. Provided, [that] That none of the funds appropriated herein shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376. (The Department of State and Related Agencies Appropriations Act, 1997.)**

**Program and Financing (in millions of dollars)**

Identification code 67-0202-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	12	10	7
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	12	10	7
23.95 New obligations .....	-12	-10	-7
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	12	10	7
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	4	3	3
73.10 New obligations .....	12	10	7
73.20 Total outlays (gross) .....	-13	-10	-7
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	3	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	11	10	7
86.93 Outlays from current balances .....	2		
87.00 Total outlays (gross) .....	13	10	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	12	10	7
90.00 Outlays .....	13	10	7

The Center for Cultural and Technical Interchange Between East and West is a national educational institution administered by a public, nonprofit educational corporation. The Center promotes better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative programs of research, study, and training, which bring qualified persons from the countries of the area to work jointly on problems of mutual concern.

**NORTH/SOUTH CENTER**

To enable the Director of the United States Information Agency to provide for carrying out the provisions of the North/South Center Act of 1991 (22 U.S.C. 2075), by grant to an educational institution in Florida known as the North/South Center, **[\$1,495,000] \$1,500,000, to remain available until expended. (The Department of State and Related Agencies Appropriations Act, 1997.)**

**Program and Financing (in millions of dollars)**

Identification code 67-0203-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	1	2
23.95 New obligations .....	-2	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	1	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	7	4	3
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-5	-2	-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	4	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
86.93 Outlays from current balances .....	4	1	1
87.00 Total outlays (gross) .....	5	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	1	2
90.00 Outlays .....	5	2	2

The Center for Cultural and Technical Interchange Between North and South is a national educational institution that promotes better relations between the U.S. and the nations of Latin America and the Caribbean and Canada by bringing together scholars and students from nations of the hemisphere for cooperative study, training, and research.

**RADIO CONSTRUCTION**

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized by section 801 of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1471), **[\$35,490,000] \$32,710,000, to remain available until expended, as authorized by section 704(a) of such Act of 1948 (22 U.S.C. 1477b(a)). (The Department of State and Related Agencies Appropriations Act, 1997.)**

**Program and Financing (in millions of dollars)**

Identification code 67-0204-0-1-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 New construction .....	12	6	4
00.02 Upgrade of existing relay station capabilities .....	27	9	5
00.03 Maintenance, improvements, replacement and repair .....	21	19	22
00.04 Broadcast facility leases and rentals .....	2	1	1
00.05 Satellite and terrestrial feed systems .....	2	1	1
00.06 Construction Facility Support Costs .....	9	5	
10.00 Total obligations .....	73	41	33
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	47	6	
22.00 New budget authority (gross) .....	29	35	33
22.10 Resources available from recoveries of prior year obligations .....	1		
22.22 Unobligated balance transferred from other accounts .....	2		

23.90	Total budgetary resources available for obligation	79	41	33
23.95	New obligations	-73	-41	-33
24.40	Unobligated balance available, end of year:			
	Uninvested balance	6		
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation	33	35	33
41.00	Transferred to other accounts	-4		
43.00	Appropriation (total)	29	35	33
70.00	Total new budget authority (gross)	29	35	33
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	93	94	91
73.10	New obligations	73	41	33
73.20	Total outlays (gross)	-69	-46	-35
73.45	Adjustments in unexpired accounts	-1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	94	91	89
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority	9	11	10
86.93	Outlays from current balances	60	35	25
87.00	Total outlays (gross)	69	46	35
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	29	35	33
90.00	Outlays	69	46	35

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's worldwide transmission network.

**New construction.**—In 1998, the agency will continue the Administration-approved streamlined modernization plan to expand the transmission capability of the International Broadcasting Bureau (IBB). This plan includes funding for construction of a new transmission facility in the Pacific Islands to enhance the broadcasting coverage to Asia.

**Upgrade of existing relay station capabilities.**—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

**Major improvements, replacements and repairs.**—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain.

**Broadcast leases and land rentals.**—This activity primarily funds the placement of IBB products with regional affiliates.

**Satellite and Terrestrial Feed Systems.**—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

Object Classification (in millions of dollars)

Identification code 67-0204-0-1-154		1996 actual	1997 est.	1998 est.
25.2	Other services	22	15	12
31.0	Equipment	42	24	19
41.0	Grants, subsidies, and contributions	9	2	2
99.9	Total obligations	73	41	33

INTERNATIONAL BROADCASTING OPERATIONS

For expenses necessary to enable the United States Information Agency, as authorized by the United States Information and Educational Exchange Act of 1948, as amended, the *Radio Broadcasting to Cuba Act, as amended, the Television Broadcasting to Cuba Act, the United States International Broadcasting Act of 1994, as amended, and Reorganization Plan No. 2 of 1977, to carry out international communication activities, including the purchase, installation, rent, construction, and improvement of facilities for radio and television*

*transmission and reception to Cuba; [\$325,000,000] \$366,750,000, of which not to exceed \$16,000 may be used for official receptions within the United States as authorized by section 804(3) of such Act of 1948 (22 U.S.C. 1747(3)), not to exceed \$35,000 may be used for representation abroad as authorized by section 302 of such Act of 1948 (22 U.S.C. 1452) and section 905 of the Foreign Service Act of 1980 (22 U.S.C. 4085), and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, not to exceed \$250,000 from fees as authorized by section 810 of such Act of 1948 (22 U.S.C. 1475e), to remain available until expended for carrying out authorized purposes; and in addition, notwithstanding any other provision of law, not to exceed \$1,000,000 in monies received (including receipts from advertising, if any) by or for the use of the United States Information Agency from or in connection with broadcasting resources owned by or on behalf of the Agency, to be available until expended for carrying out authorized purposes: Provided, That funds may be used to purchase or lease, maintain, and operate such aircraft (including aerostats) as may be required to house and operate necessary television broadcasting equipment. (The Department of State and Related Agencies Appropriations Act, 1997.)*

Program and Financing (in millions of dollars)

Identification code 67-0206-0-1-154		1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>				
10.00	Total obligations	329	325	366
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross)	329	325	367
23.95	New obligations	-329	-325	-366
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation	325	325	367
42.00	Transferred from other accounts	4		
43.00	Appropriation (total)	329	325	367
70.00	Total new budget authority (gross)	329	325	367
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	55	57	55
73.10	New obligations	329	325	366
73.20	Total outlays (gross)	-327	-327	-360
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	57	55	61
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority	279	273	308
86.93	Outlays from current balances	48	54	52
87.00	Total outlays (gross)	327	327	360
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	329	325	367
90.00	Outlays	327	327	360

This appropriation provides operational funding for all United States non-military international broadcasting. The account reflects the requirements of the International Broadcasting Act of 1994 (the Act) to consolidate all non-military international broadcasting activities. Specifically, the appropriation will fund the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia, the WORLDNET Television and Film Service, Radio and Television Broadcasting to Cuba, and the necessary engineering, technical, and administrative support activities.

In 1997 and prior years, funding for Radio and Television Broadcasting to Cuba was provided in a separate appropriation.

Object Classification (in millions of dollars)

Identification code 67-0206-0-1-154		1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>				
11.1	Full-time permanent	112	118	132

**General and special funds—Continued**

**INTERNATIONAL BROADCASTING OPERATIONS—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 67-0206-0-1-154	1996 actual	1997 est.	1998 est.
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	8	7	8
11.9 Total personnel compensation .....	124	130	145
12.1 Civilian personnel benefits .....	27	27	31
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	4	4	5
22.0 Transportation of things .....	2	4	5
23.1 Rental payments to GSA .....			2
23.2 Rental payments to others .....	12	11	13
23.3 Communications, utilities, and miscellaneous charges	34	32	41
25.2 Other services .....	15	17	23
25.3 Purchases of goods and services from Government			
accounts .....	1	1	1
25.4 Operation and maintenance of facilities .....	3	3	3
25.7 Operation and maintenance of equipment .....	4	4	5
26.0 Supplies and materials .....	12	9	12
31.0 Equipment .....	7	2	2
41.0 Grants, subsidies, and contributions .....	82	81	78
42.0 Insurance claims and indemnities .....	1		
99.9 Total obligations .....	329	325	366

**Personnel Summary**

Identification code 67-0206-0-1-154	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	2,461	2,550	2,745
1005 Full-time equivalent of overtime and holiday hours	90	90	95

**AMERICAN STUDIES COLLECTIONS ENDOWMENT FUND**

**Program and Financing (in millions of dollars)**

Identification code 67-8166-0-7-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1		
23.95 New obligations .....	-1		
24.40 Unobligated balance available, end of year:			
Uninvested balance .....			
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1		
73.20 Total outlays (gross) .....	-1		
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	1		

This program, established by section 235 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, provides for the establishment of collections of American studies materials at university libraries abroad. All available funds will be used to complete the purchase of these collections. No additional funding is requested for this activity.

**Trust Funds**

**ISRAELI ARAB AND EISENHOWER EXCHANGE FELLOWSHIP PROGRAMS  
EISENHOWER EXCHANGE FELLOWSHIP PROGRAM TRUST FUND**

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Ex-

change Fellowship Act of 1990 (20 U.S.C. 5204-5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, [1997] 1998, to remain available until expended: *Provided*, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A-110 (Uniform Administrative Requirements) and A-122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personal services. (*The Department of State and Related Agencies Appropriations Act, 1997.*)

**ISRAELI ARAB SCHOLARSHIP PROGRAM**

For necessary expenses of the Israeli Arab Scholarship Program as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, [1997] 1998, to remain available until expended. (*The Department of State and Related Agencies Appropriations Act, 1997.*)

**Unavailable Collections (in millions of dollars)**

Identification code 95-8276-0-7-154	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	13	12	11
<b>Appropriation:</b>			
05.01 Israeli Arab and Eisenhower exchange fellowship program .....	-1	-1	-1
07.99 Total balance, end of year .....	12	11	10

**Program and Financing (in millions of dollars)**

Identification code 95-8276-0-7-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	1
23.95 New obligations .....	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.27 Appropriation (trust fund, indefinite) .....	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	1	1
73.20 Total outlays (gross) .....	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

This presentation includes interest and earnings from the Eisenhower Exchange Fellowship Trust Fund and the Israeli-Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust fund was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli-Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 67-8341-0-7-602	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0)	6	3	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	5	1	
22.00 New budget authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	7	3	2
23.95 New obligations	-6	-3	-2
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	2	2	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	2	9	9
73.10 New obligations	6	3	2
73.20 Total outlays (gross)	1	-3	-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	9	9	9
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	-1	2	2
86.98 Outlays from permanent balances		1	
87.00 Total outlays (gross)	-1	3	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	2	2	2
90.00 Outlays	-1	3	2

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Information Agency in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, is maintained by annual government contributions which are appropriated in the Agency's Salaries and expenses account.

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 67-9971-0-7-154	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year		1	2
Receipts:			
02.02 Contributions, Educational and Cultural Exchange, USIA	1	1	1
02.03 Interest, Miscellaneous trust funds, USIA	1	1	1
02.99 Total receipts	2	2	2
04.00 Total: Balances and collections	2	3	4
Appropriation:			
05.01 Miscellaneous trust funds	-1	-1	-1
07.99 Total balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 67-9971-0-7-154	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	1	1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance	3	3	3
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	4	4	4
23.95 New obligations	-1	-1	-1

24.40 Unobligated balance available, end of year:			
Uninvested balance	3	3	3
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	1	1	1
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	3	3	3
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	3	3	3
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Funds advanced by other governments, business concerns, and private organizations are used to send experts abroad to perform requested services; to give foreign nationals scientific, technical, or other training; to purchase films and other products owned or controlled by the United States Information Agency; to replace damaged or destroyed United States Information Agency property; and for international exhibitions (22 U.S.C. 1431-1479; 70 Stat. 778).

Funds contributed by private individuals and concerns, foreign governments, and international organizations are used for the purposes of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455) and for carrying out other functions of the Agency (22 U.S.C. 809a and 22 U.S.C. 2697).

UNITED STATES INSTITUTE OF PEACE

Federal Funds

General and special funds:

OPERATING EXPENSES

For necessary expenses of the United States Institute of Peace as authorized in the United States Institute of Peace Act, \$11,160,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 95-1300-0-1-153	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	11	11	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	11	11	11
23.95 New obligations	-11	-11	-11
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	11	11	11
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	1	1	
73.10 New obligations	11	11	11
73.20 Total outlays (gross)	-11	-12	-11
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	10	11	11
86.93 Outlays from current balances	1	1	
87.00 Total outlays (gross)	11	12	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	11	11	11
90.00 Outlays	11	12	11

**General and special funds—Continued**

**OPERATING EXPENSES—Continued**

The United States Institute of Peace (USIP) was established by Congress to conduct and support research and scholarship in the fields of international peace and conflict resolution. Program activity includes grants and fellowships, publishing research and educational materials, preparing educational video materials, presenting public workshops, developing a research library network, and sponsoring a national student essay contest.

**Object Classification (in millions of dollars)**

Identification code 95-1300-0-1-153	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services .....	1	2	2
41.0 Grants, subsidies, and contributions .....	5	4	4
99.9 Total obligations .....	11	11	11

**Personnel Summary**

Identification code 95-1300-0-1-153	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....	55	56	56

**WASHINGTON METROPOLITAN AREA  
TRANSIT AUTHORITY**

**Federal Funds**

**General and special funds:**

**INTEREST PAYMENTS AND REPAYMENTS OF PRINCIPAL**

**Program and Financing (in millions of dollars)**

Identification code 46-0300-0-1-401	1996 actual	1997 est.	1998 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	1		

73.20 Total outlays (gross) .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....			

**Outlays (gross), detail:**

86.93 Outlays from current balances .....	1		
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**Net budget authority and outlays:**

89.00 Budget authority .....			
90.00 Outlays .....	1		

The Washington Metropolitan Area Transit Authority (WMATA) is a non-Federal agency established pursuant to an interstate compact among Maryland, Virginia, and the District of Columbia. The Authority's primary functions are to plan, develop, finance, and construct the Metrorail rapid transit system and to operate the bus/rail transit system in the National Capital area.

Through 1994, this WMATA account provided the annual Federal share (two-thirds) of interest payments on outstanding WMATA bonds sold in support of the rail construction program.

In December 1993, the WMATA bonds were refinanced and the Department of Transportation borrowed \$665 million from the Federal Financing Bank (FFB) to pay off the Federal share of the original bonds.

In 1995, \$665 million was appropriated to repay the principal owed by the Department of Transportation to the FFB. In addition, an indefinite appropriation was enacted to pay \$12 million in interest costs to the FFB. The only remaining activity in this account was the spend-out of obligated balances of funds that were directly appropriated to WMATA for the construction of the Metrorail system and for the construction of facilities throughout the system for senior citizens and persons with disabilities. No funds are requested for this account in 1998.